

# UNIVERSIDADE ESTADUAL DE CAMPINAS

Instituto de Matemática, Estatística e Computação Científica

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Topological methods and the existence/non-existence problem of Einstein metrics on homogeneous spaces

Métodos topológicos aplicados ao problema de existência/não-existência de métricas de Einstein em espaços homogêneos

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Dissertação apresentada ao Instituto de Matemática, Estatística e Computação Científica da Universidade Estadual de Campinas como parte dos requisitos exigidos para a obtenção do título de Mestre em Matemática.

Dissertation presented to the Institute of Mathematics, Statistics and Scientific Computing of the University of Campinas in partial fulfillment of the requirements for the degree of Master in Mathematics.

Supervisor: Lino Anderson da Silva Grama

Este trabalho corresponde à versão final da Dissertação defendida pelo aluno Otávio Cunha Oliviera e orientada pelo Prof. Dr. Lino Anderson da Silva Grama.

Campinas

#### Ficha catalográfica

# Universidade Estadual de Campinas (UNICAMP) Biblioteca do Instituto de Matemática, Estatística e Computação Científica Ana Regina Machado - CRB 8/5467

Oliveira, Otávio Cunha, 1999-

OL4t

Topological methods and the existence/non-existence problem of Einstein metrics on homogeneous spaces / Otávio Cunha Oliveira. – Campinas, SP: [s.n.], 2024.

Orientador: Lino Anderson da Silva Grama.

Dissertação (mestrado) – Universidade Estadual de Campinas (UNICAMP), Instituto de Matemática, Estatística e Computação Científica.

1. Espaços homogêneos. 2. Teoria de Lie. 3. Geometria riemaniana. 4. Métricas de Einstein. I. Grama, Lino Anderson da Silva, 1981-. II. Universidade Estadual de Campinas (UNICAMP). Instituto de Matemática, Estatística e Computação Científica. III. Título.

#### Informações Complementares

**Título em outro idioma:** Métodos topológicos aplicados ao problema de existência/não-existência de métricas de Einstein em espaços homogêneos

#### Palavras-chave em inglês:

Homogeneous spaces Lie theory Riemannian geometry

Einstein metrics

Área de concentração: Matemática Titulação: Mestre em Matemática

Banca examinadora:

Lino Anderson da Silva Grama [Orientador]

Luiz Antonio Barrera San Martin

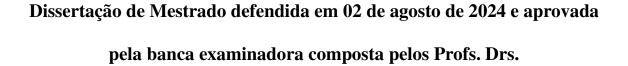
Neiton Pereira da Silva

Data de defesa: 02-08-2024

Programa de Pós-Graduação: Matemática

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A Ata da Defesa, assinada pelos membros da Comissão Examinadora, consta no SIGA/Sistema de Fluxo de Dissertação/Tese e na Secretaria de Pós-Graduação do Instituto de Matemática, Estatística e Computação Científica.

## Acknowledgements

I would like to thank my supervisor, professor Lino Anserson da Silva Grama, for the guidance in these years of master's studies and the introduction to invariant geometry back in 2020. Thanks for showing me the way to understand this fascinating area and the patience in helping me.

I also would like to thank professor Christopher Böhm of University of Münster for receiving me in the geometry group. Thanks for the long conversations where you taught me the intricacies of the fascinating work you have done. My stay abroad was essential to for the development of this project and my own development as a mathematician. I also want to thank the geometry group in University Münster for the great welcoming and for the talks.

I want to give a very big thanks to my parents, Marta and Saulo, for raising me and supporting me in during my studies in another city and, for some time, in another country, even though they understand nothing of my work.

I am very thankful for my friends for every talk that we had. In special, my friend Leandro that consistently made me remember that there is knowledge without human philosophy.

I would like to thank my professor in the classes of IMECC for all the knowledge and all the employee in IMECC for all the work that made my mathematical journey possible.

I am very thankful to the São Paulo Research Foundation (FAPESP) for funding this project through the grant number 2021/13585-3 and funding my stay abroad through the grant number 2023/04484-4. This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Finance Code 001.

## Resumo

Sejam G um grupo de Lie e H um subgrupo fechado de G. Nesta dissertação, associaremos ao espaço homogêneo compacto G/H um complexo simplicial abstrato  $\Delta_{G/H}^T$ . Foi provado que a não-contrabilidade de  $\Delta_{G/H}^T$  implica a existência de uma métrica Einstein G-invariante em G/H. Será mostrada uma maneira de calcular uma classe de homologia reduzida não-nula de  $\Delta_{G/H}^T$  para subgrupos intermediários H < K < G sobre algumas hipóteses, o que implica a não-contrabilidade de  $\Delta_{G/H}^T$ . Esse método será aplicado ao caso em que G é simples clássico e H tem posto maximal.

Palavras-Chave: Espaços homogêneos, Teoria de Lie, Geometria Riemanniana, Métricas de Einstein

## **Abstract**

Let G be a compact Lie group and H be closed subgroup of G. In this dissertation, we will associate to the compact homogeneous space G/H a abstract simplicial complex  $\Delta_{G/H}^T$ . It was proved that the non-contractility of  $\Delta_{G/H}^T$  implies that the existence of a G-invariant Einstein metric in G/H. It will be shown a way to compute a non-zero reduced homology class of  $\Delta_{G/H}^T$  for intermediate subgroups H < K < G under some hypothesis, which implies the non-contractility of  $\Delta_{G/H}^T$ . This method will be applied to case where G is classical simple and H has maximal rank.

Keywords: Homogeneous spaces, Lie theory, Riemannian geometry, Einstein metrics

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## Introduction

In the studies of Riemannian metrics, we are faced with the concept of Einstein metrics, a type of Riemannian metrics well studied and of great importance to Geometry and applications to Physics. Its definition is

**Definition 0.0.1.** [Lee19, p. 210] Given a differentiable manifold M, a Riemannian metric g on M is said to be an Einstein metric if there exists  $\lambda \in \mathbb{R}$  such that

$$Ric(g) = \lambda \cdot g$$

where Ric(g) is the Ricci tensor of the metric g.

When the manifold is a homogeneous space of a Lie group G, i.e., a manifold with a transitive action of G, many studies restrict themselves to G-homogeneous Einstein metrics, i.e., Einstein metrics preserved by the action of G.

It is well known that if H is a closed subgroup of G, then G/H, the set of left cosets of H in G, has a unique differentiable structure such that the natural projection is a submersion [War83, p. 120]. If H is a isotropy group for the action at a point of M, then M is diffeomorphic to G/H [War83, p. 123]. Since G acts in G/H, by  $(g_1, g_2H) \mapsto g_1g_2H$  for all  $g_1, g_2 \in G$  with isotropy group equal to H at o := eH and the above diffeomorphism between M and G/H is equivariant between the two actions, then we can always understand a homogeneous space as a coset space G/H for a Lie group G and a closed Lie group G/H. We also fix  $\mathfrak{g} := Lie(G)$  and  $\mathfrak{h} := Lie(H)$ .

Many studies have already been done about homogeneous Einstein metrics, but we do not have a general result about the existence/non-existence of these type of metrics.

The beginning of the methods described in this work about existence/non-existence of homogeneous space is this variational characterisation:

**Theorem 0.0.2.** [Bes87, p. 121] Let  $\mathcal{M}_1^G$  be the space of G-homogeneous metrics of volume 1 in G/H. Then,  $\mathcal{M}_1^G$  is a finite dimensional manifold and a metric  $g \in \mathcal{M}_1^G$  is an Einstein metric if, and only if, it is a critical point of the scalar curvature functional  $sc: \mathcal{M}_1^G \to \mathbb{R}, g \mapsto sc(g)$ .

In this work, sc always means scalar curvature and the scalar curvature functional above is well define, since scalar curvature is preserved by isometries and  $G \subseteq Isom(G/H, g)$  acts transitively.

Using this characterisation, in [WZ86], W. Ziller and M. Wang proved the following theorem

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**Theorem 0.0.3.** [WZ86, p. 183] The scalar curvature functional sc is bounded from above and proper if, and only if, H is a maximal connected subgroups of G, or, equivalently,  $\mathfrak{h}$  is a maximal subalgebra of  $\mathfrak{g}$ . In this case, sc has a global maximum, which must be a G-invariant Einstein metric on G/H.

(Here, proper means a continuous map such its inverse images of compacts are compacts.)

The studies of existence or non-existence of homogeneous Einstein metrics in G/H follows the idea of trying to find the hypothesis for the existence/non-existence in terms of the algebraic structure of  $\mathfrak{g}$  and  $\mathfrak{h}$ , used in the theorem above.

In this dissertation, we study the following method:

In [Bö04], Böhm introduced for a compact homogeneous space a abstract simplicial complex  $\Delta_{G/H}^T$ , which can be thought as polyhedron in a Euclidean space, and proved that if  $\Delta_{G/H}^T$  is a not contractible topological space, then G/H admits a G-invariant Einstein metric.

The concept of contractible space is given by

**Definition 0.0.4.** [Mau96, p. 27, 30] Let X, Y be two topological spaces. Then, a continuous map  $f: X \to Y$  is called a homotopy equivalence if there exist a continuous map  $g: Y \to X$ , called homotopy inverse of f, such that  $f \circ g$  is homotopic to  $Id_Y$  and  $g \circ f$  is homotopic to  $Id_X$ . In this case, X and Y are called homotopy equivalent. A homeomorphism is clearly a homotopy equivalence.

A topological space X is called contractible if it is homotopic equivalent to a point. The empty set  $\emptyset$  is non-contractible by vacuous truth.

A topological space X is contractible if, and only if, the identity  $Id_X : X \to X$  is homotopic to a constant map: Let  $p \in X$ ,  $f : X \to \{p\}$  and  $g : \{p\} \to X$  be any continuous functions, then  $f \circ g$  is a constant function and any constant function can be described this way. So f is a homotopy equivalence if, and only if, the constant function  $f \circ g$  is homotopic to  $Id_X$ .

In this work, we write, for groups, H < G if H is a subgroup of G different of G and, for Lie algebras,  $\mathfrak{h} < \mathfrak{g}$  if  $\mathfrak{h}$  is a Lie subalgebra of  $\mathfrak{g}$  different of  $\mathfrak{g}$ . Whenever G is a Lie group,  $G_0$  denotes the connected component of the neutral element of G.

Now, to construct  $\Delta_{G/H}^T$ , let H < G be compact Lie groups and  $\mathfrak{g} := Lie(G), \mathfrak{h} := Lie(H)$ . An intermediate subalgebra  $\mathfrak{h} < \mathfrak{k} < \mathfrak{g}$  is called an H-subalgebra if it is Ad(H)-invariant. Let  $\mathfrak{m}$  be a complement Ad(H)-invariant to  $\mathfrak{h}$  in  $\mathfrak{g}$  and  $\mathfrak{m}_0 := \{X \in \mathfrak{m} \mid [X, \mathfrak{h}] = 0\}$ . In [Bö04, p. 109], it was proved that  $\mathfrak{m}_0$  is a compact Lie subalgebra of  $\mathfrak{g}$ . So, let T be a torus of the compact Lie subgroup of G associated with  $\mathfrak{m}_0$ . By

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[Bö04, p.154], there exists only finitely many H-subalgebras  $\mathfrak{k}$  which are minimal among all H-subalgebras that are non-toral, i.e., for  $\mathfrak{m}_{\mathfrak{k}} := \mathfrak{m} \cap \mathfrak{k}$ , we have that  $[\mathfrak{m}_{\mathfrak{k}}, \mathfrak{m}_{\mathfrak{k}}] \neq \{0\}$ , and  $\mathfrak{k}$  is T-adapted, i.e.,  $\mathfrak{k}$  is Ad(T)-invariant.

 $\Delta_{G/H}^T$  is defined as the abstract simplicial complex with vertices being H-subalgebras which are generated by minimal non-toral T-adapted H-subalgebras and the n-simplices of  $\Delta_{G/H}^T$  are given by all chains, i.e., totally ordered sets,  $(\mathfrak{k}_0 < \ldots < \mathfrak{k}_n)$  with  $\mathfrak{k}_i$  a vertex of  $\Delta_{G/H}^T$ .

For the case that  $\mathfrak{m}_0 = \{0\}$ , we have  $T = \{e\}$ , the condition of T-adapted is always satisfied and we define  $\Delta_{G/H}^{min} := \Delta_{G/H}^{\{e\}}$ . In addition, if, in this case, there exists only finitely many H-subalgebras, if we consider the simplicial complex  $\Delta_{G/H}$  defined the same way as before, but with vertices being all H-subalgebras. It was proved in [Bö04, p. 153], that  $\Delta_{G/H}$  and  $\Delta_{G/H}^{min}$  are homotopy equivalent. So,  $\Delta_{G/H}$  is not contractible if, and only if,  $\Delta_{G/H}^{min}$  is not contractible and, in this case, G/H admits a G-invariant Einstein metric.

In chapter 1, we define what are simplicial complexes and introduce the concept of homology, a classical method to show that some simplicial complexes are not contractible.

Let  $\mathfrak{g}$  be a simple classical real Lie algebra with rank n. Up to covering G is one of SU(n+1), SO(2n), SO(2n+1), Sp(n). Let T < G be a fixed maximal torus of G, we will prove that the T-subalgebras are finite for G simple classical and if  $\Delta_{G/H}$  is contractible or not, where H is connected of maximal rank, i.e., contains a torus of G.

In chapter 4, we prove that  $\Delta_{G/H}$  is not-contractible if G and H of maximal rank are given by

$$G = SU(n),$$
  $H \cong S(U(n_1) \times ... \times U(n_k))$   
 $G = SO(2n),$   $H \cong U(n_1) \times ... \times U(n_k)$  or  $H \cong SO(2n_1) \times ... \times SO(2n_k)$   
 $G = SO(2n+1),$   $H \cong SO(2n_1) \times ... \times SO(2n_k)$  or  $H \cong SO(2n_1) \times ... \times SO(2n_k+1)$   
 $G = Sp(n)$   $H \cong U(n_1) \times ... \times U(n_k)$  or  $H \cong Sp(n_1) \times ... \times Sp(n_k)$ 

and  $\Delta_{G/H}$  is contractible if H is of "mixed type", example G = Sp(8) and  $H = U(5) \times Sp(3)$ .

In chapter 5, we describe the simplicial complex  $\Delta_{G/H}^T$  of the real flag manifold  $G/H = SO(4)/S(O(1) \times O(1) \times O(1) \times O(1))$ . It is a space with just two points, hence not-contractible.

The notation of this introduction will be used in the rest of this work.

# 1 Simplicial Complexes and Homology

We begin with basic notions of simplicial complex and homology based on [Arm13], [Mau96] and [Hat02].

Simplicials complexes are the main tools of this work and homology is a possible way to discover if a simplicial complex is not contractible.

#### 1.1 Simplicial Complexes

**Definition 1.1.1.** Points  $\{v_0, ..., v_k\} \subset \mathbb{R}^n$  are said affinely independent if they span an affine n-plane, i.e., if

$$\sum_{i=0}^{k} \lambda_i v_i = 0 \quad and \quad \sum_{i=0}^{k} \lambda_i = 0 \implies \lambda_i = 0 \quad \forall i \in \{0, ..., k\}$$

Observe that, from definition, any  $\{v_{i_0}, \ldots, v_{i_p}\}$  with  $\{i_0, \ldots, i_p\} \subset \{0, \ldots, k\}$  subset of  $\subseteq \{v_0, \ldots, v_k\} \subset \mathbb{R}^n$  affinely independent is also affinely independent if and only if its points are all different, since the sums in the definition applied to  $\{v_{i_0}, \ldots, v_{i_p}\}$  can be extended to  $\{v_0, \ldots, v_k\}$  with 0's in the missing constants.

**Proposition 1.1.2.** Let  $\{v_0,...,v_k\} \subset \mathbb{R}^n$ , then the following statements are equivalent:

- 1.  $\{v_0, ..., v_k\}$  is affinely independent;
- 2.  $\{v_1 v_0, ..., v_k v_0\}$  is linearly independent;
- 3. For every  $\{\lambda_0, ..., \lambda_k, \mu_0, ..., \mu_k\} \subset \mathbb{R}$  such that  $\sum_{i=0}^k \lambda_i = \sum_{i=0}^k \mu_i = 1$  and  $\sum_{i=0}^k \lambda_i v_i = \sum_{i=0}^k \mu_i v_i$ , we have  $\lambda_0 = \mu_0, ..., \lambda_n = \mu_k$ .

Proof. (1)  $\Longrightarrow$  (2): Let  $\{\lambda_1, ..., \lambda_k\} \subset \mathbb{R}$  be such that  $\sum_{i=1}^k \lambda_i (v_i - v_0) = 0$ . Define  $\lambda_0 := -\sum_{i=1}^k \lambda_i$ . Then  $\sum_{i=0}^k \lambda_i v_i = 0$  and

$$\sum_{i=1}^k \lambda_i (v_i - v_0) = 0 \implies \sum_{i=1}^k \lambda_i v_i = \lambda_0 v_0 \implies \sum_{i=0}^k \lambda_i v_i = 0.$$

Since  $\{v_0, ..., v_k\}$  is affinely independent, we have  $\lambda_0 = ... = \lambda_k = 0$ . So  $\{v_1 - v_0, ...., v_k - v_0\}$  is linearly independent.

(2) 
$$\Longrightarrow$$
 (1): Let  $\{\lambda_0, ..., \lambda_k\} \subset \mathbb{R}$  be such that  $\sum_{i=0}^k \lambda_i v_i = 0$  and  $\lambda_0 + \sum_{i=1}^k \lambda_i = 0$ .

So

$$\left(-\sum_{i=1}^k \lambda_i\right)v_0 + \sum_{i=1}^k \lambda_i v_i = 0 \implies \sum_{i=1}^k \lambda_i (v_i - v_0) = 0$$

Since  $\{v_1 - v_0, \dots, v_n - v_0\}$  is linearly independent, we have that  $\lambda_1 = \dots = \lambda_k = 0$ . And  $\lambda_0 = -\sum_{i=1}^k \lambda_i = 0$ . So  $\{v_0, \dots, v_k\}$  is affinely independent.

(1)  $\Longrightarrow$  (3): Since  $\sum_{i=0}^{k} (\lambda_i - \mu_i) v_i = 0$ ,  $\sum_{i=0}^{k} (\lambda_i - \mu_i) = 0$  and  $\{v_0, ..., v_k\}$  is affinely independent, then  $\lambda_0 = \mu_0, ..., \lambda_k = \mu_k$ .

(3)  $\Longrightarrow$  (1): Suppose that  $\{v_0,...,v_k\}$  is not affinely independent, so we can suppose, without loss of generality, that there exists  $\{\lambda_0,...,\lambda_k\}\subset\mathbb{R}$  such that  $\sum_{i=0}^k\lambda_iv_i=0$  and  $\lambda_0=-\sum_{i=0}^k\lambda_i\neq 0$ . Then

$$v_0 = \sum_{i=1}^k \left(-\frac{\lambda_i}{\lambda_0}\right) v_i$$
 and  $\sum_{i=1}^k \left(-\frac{\lambda_i}{\lambda_0}\right) = 1$ 

So,  $1v_0 + 0v_1 + \dots + 0v_n = 0v_0 + \left(\frac{-\lambda_1}{\lambda_0}\right)v_1 + \dots + \left(\frac{-\lambda_k}{\lambda_0}\right)v_k$  and  $1 + 0 + \dots + 0 = 0 + \frac{-\lambda_1}{\lambda_0} + \dots + \frac{-\lambda_k}{\lambda_0} = 1$ , which contradicts the hypothesis, since  $1 \neq 0$  in  $\mathbb{R}$ . We conclude that  $\{v_0, \dots, v_k\}$  is affinely independent.

The last proposition, in particular, says that the maximum cardinality of an affinely independent set in  $\mathbb{R}^n$  is n+1.

Remark 1.1.3. In this work, we use  $\mathbb{N} := \{0, 1, 2, 3, \ldots\}$  for the definition of the naturals numbers. Given  $X \subseteq \mathbb{R}^n$ , its convex hull will be denoted by conv(X).

**Definition 1.1.4.** If  $v_0, ..., v_k$  are affinely independent in  $\mathbb{R}^n$ , then

$$conv(v_0, \dots, v_k) = \left\{ \sum_{i=0}^k \lambda_i v_i \mid \sum_{i=0}^k \lambda_i = 1, \ 0 \le \lambda_i \le 1 \right\}$$

is said to be a geometric simplex of dimension k with vertices  $\{v_0, \ldots, v_k\}$ . If  $\sigma$  is a geometric simplex, its dimension is denoted by dim  $\sigma$ . A geometric simplex of dimension k can be denoted as a k-simplex.

Given  $\sigma := conv(v_0, \ldots, v_k)$  a geometric simplex and  $w \in \sigma$ , the real numbers  $\lambda_0 \geq 0, \ldots, \lambda_k \geq 0$  such that  $w = \sum_{i=0}^n \lambda_i v_i$  are called the barycentric coordinates of w, which are well defined by the last proposition.

For  $p \leq k$ , a k-face of the simplex  $conv(v_0, ..., v_k)$  is a set  $conv(v_{i_0}, ..., v_{i_p})$  with  $\{i_0, ..., i_p\} \subseteq \{0, ..., k\}$  and the elements of  $\{v_{i_0}, ..., v_{i_p}\}$  are all distinct, then affinely independent.

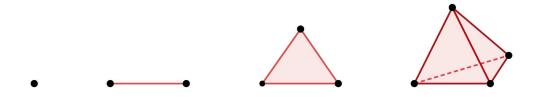


Figure 1 – From left to right: a 0-simplex, a 1-simplex, a 2-simplex and a 3-simplex

**Definition 1.1.5.** A geometric simplicial complex K of  $\mathbb{R}^n$  is a finite set of geometric simplices of  $\mathbb{R}^n$  such that:

- (a) If  $\sigma \in K$  and if  $\tau$  is a face of  $\sigma$ , then  $\tau \in K$ .
- (b) If  $\sigma, \tau \in K$ , then  $\sigma \cap \tau$  is either empty or a common face of  $\sigma$  and  $\tau$ .

The dimension of K is dim  $K := max\{dim \ \sigma \mid \sigma \in K\}$  for  $K \neq \emptyset$  and dim K = -1 for  $K = \emptyset$ . A subcomplex L of K is a subset of K which satisfies (a) and (b).

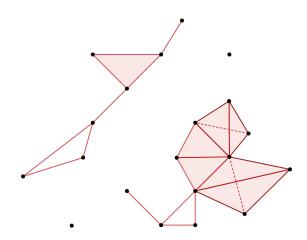


Figure 2 – A geometric simplicial complex

The polyhedron of K is defined as

$$||K|| := \bigcup_{\sigma \in K} \sigma \subseteq \mathbb{R}^n$$

given the subspace topology of  $\mathbb{R}^n$ . If  $L \subseteq K$  is a subcomplex, then ||L|| is called a subpolyhedron of ||K||.

For  $m \in \mathbb{N}$ , the m-skeleton of K is defined as  $K^m := \{ \sigma \in K \mid \dim \sigma \leq m \}$ , the subcomplex consisting of of simplices of dimension less or equal m. The 0-skeleton  $K^0$  is also called the vertex set of K. If  $\{v\} \in K^0$ , then v is called a vertex of K and we write  $v \in K$  instead of  $\{v\} \in K$ .

Given a geometric simplicial complex K, we have the following basic results that can be found in [Mau96]:

- If  $L_1$  and  $L_2$  are subcomplexes of K, then  $L_1 \cap L_2$  and  $L_1 \cup L_2$  also are.
- For any subset  $S \subseteq K$ , there is a minimal subcomplex  $\langle S \rangle$  of K containing S.  $\langle S \rangle$  is called the *subcomplex of* K *generated by* S. For any  $\sigma \in K$ , the subcomplex  $\langle \sigma \rangle = \{ \tau \in K \mid \emptyset \subsetneq \tau \subseteq \sigma \}$  is also denoted by  $\sigma$ .
- ||K|| is compact.
- $A \subseteq ||K||$  is closed in ||K|| if and only if  $A \cap ||\sigma||$  is closed in  $||\sigma||$  for all  $\sigma \in K$ .
- If X is any topological space, then a map  $f: ||K|| \to X$  is continuous if and only if  $f|_{||\sigma||}: ||\sigma|| \to X$  is continuous for every  $\sigma \in K$ .

**Definition 1.1.6.** Let  $K_1$  be a geometric simplicial complex of  $\mathbb{R}^{n_1}$  and  $K_2$  be a geometric simplicial complex of  $\mathbb{R}^{n_2}$  for some  $n_1, n_2 \in \mathbb{N}$ . For any  $\sigma = conv(v_0, \ldots, v_{k_1}) \in K_1$  and  $\tau = conv(w_0, \ldots, w_{k_2}) \in K_2$ , the set

$$\{(v_0,0,0),\ldots,(v_{k_1},0,0),(0,w_0,1),\ldots,(0,w_{k_2},1)\}$$

is an independent subset of  $\mathbb{R}^{n_1+n_2+1}$ . So, we can define the joins

$$\sigma * \tau := conv((v_0, 0, 0), \dots, (v_{k_1}, 0, 0), (0, w_0, 1), \dots, (0, w_{k_2}, 1))$$
$$\sigma * \emptyset := conv((v_0, 0, 0), \dots, (v_{k_1}, 0, 0))$$
$$\emptyset * \tau := conv((0, w_0, 1), \dots, (0, w_{k_2}, 1))$$

Then.

$$K_1*K_2 := \{\sigma * \tau \mid \sigma \in K_1, \ \tau \in K_2\} \cup \{\sigma * \emptyset \mid \sigma \in K_1\} \cup \{\emptyset * \tau \mid \tau \in K_2\}$$

is a geometric simplicial complex of  $\mathbb{R}^{m_1+m_2+1}$  with dimension dim  $K_1+\dim K_2+1$ , called the join of  $K_1$  and  $K_2$ . Its polyhedron is

$$||K_1 * K_2|| = \{(tp, (1-t)q, t) \mid p \in ||K_1||, q \in ||K_2||, t \in [0, 1]\}$$

Remark 1.1.7. Let  $v \in \mathbb{R}^n$  and K a geometric simplicial complex of  $\mathbb{R}^n$ , then ||v \* K|| is homeomorphic to  $conv(v, ||K||) = \{tv + (1-t)p \mid t \in [0, 1], p \in ||K||\}$  in  $\mathbb{R}^n$ .

**Definition 1.1.8.** Let K, L be geometric simplicial complexes and let  $f^0: K^0 \to L^0$  be a map such that whenever  $v_0, \ldots, v_k$  are vertices of a simplex of K, then  $f^0(v_0), \ldots, f^0(v_k)$  are vertices of a simplex of L. The induced map

$$f: K \to L; \quad conv(v_0, \dots, v_k) \mapsto conv(f^0(v_0), \dots, f^0(v_k))$$

is called a simplicial map. Additionally, if  $f^0: K^0 \to L^0$  is a bijection whose inverse also induces a simplicial map, then  $f: K \to L$  is called a simplicial isomorphism and the complexes K and L are called isomorphic, written as  $K \cong L$ .

A simplicial map f also induces a map of polyhedrons  $||f||: ||K|| \to ||L||$ , which is also called a simplicial map [Mun18, p. 12], by:

$$||f||: ||K|| \to ||L||; \quad \sum_{i=0}^{n} \lambda_i v_i \mapsto \sum_{i=0}^{n} \lambda_i f(v_i)$$

||f|| is well defined and continuous. Furthermore, ||f|| is a homeomorphism if and only if f is a simplicial isomorphism [Mun18, p. 12, 13].

For this work, we need a generalisation of the concept of geometric simplicial complex that allows every type of object to be vertices of simplices.

Remark 1.1.9. In this work, # denotes the cardinality of a set.

**Definition 1.1.10.** An abstract simplicial complex  $\Delta$  is a finite set of non-empty finite sets, which are called abstract simplices, such that if  $\sigma \in \Delta$  and  $\emptyset \neq \tau \subseteq \sigma$ , then  $\tau \in \Delta$ . A subset  $\Gamma \subseteq \Delta$  is called a subcomplex of  $\Delta$  if  $\Gamma$  is an abstract simplicial complex.

If  $\sigma \in \Delta$  and  $n := \#\sigma - 1$ , then  $\sigma$  is called an n-simplex and dim  $\sigma := n$  is the dimension of  $\sigma$ . The elements of  $\sigma$  are called the vertices of  $\sigma$ . As in the geometric case, the dimension of  $\Delta$  is dim  $\Delta := \max\{\dim \sigma \mid \sigma \in \Delta\}$  for  $\Delta \neq \emptyset$  and dim  $\Delta := -1$  for  $\Delta = \emptyset$ .

A simplex  $\tau \subseteq \sigma$  is called a face of  $\sigma$ . Moreover, if  $\sigma$  is not a face of any other simplex, then it is called a maximal simplex or a facet.

The subcomplex  $\Delta^m := \{ \sigma \in \Delta \mid \dim \sigma \leq m \}, \ m \in \mathbb{N}, \ is \ called \ the \ m$ -skeleton of  $\Delta$  and  $\Delta^0$  is called the vertex set of  $\Delta$ . If  $\{v\} \in \Delta^0$ , then v is called a vertex of  $\Delta$  and one writes  $v \in \Delta$  instead of  $\{v\} \in \Delta$ .

Given an abstract simplicial complex  $\Delta$ , we have the following basic results that can be found in [Mau96]:

• If  $\Gamma_1, \Gamma_2$  are subcomplexes of  $\Delta$ , then so are  $\Gamma_1 \cap \Gamma_2$  and  $\Gamma_1 \cup \Gamma_2$ .

• As in the geometric case, for any subset  $S \subseteq \Delta$ , there is a minimal subcomplex  $\langle S \rangle$  of  $\Delta$  containing S. For  $\sigma \in \Delta$ , the subcomplex  $\langle \sigma \rangle = \{ \tau \in \Delta \mid \emptyset \subsetneq \tau \subseteq \sigma \}$  is denoted by  $\sigma$ .

**Definition 1.1.11.** Let  $\Delta_1, \Delta_2$  be any two simplicial complexes. Then we can define

$$\Delta_1 * \Delta_2 := \{ \sigma \sqcup \tau \mid \sigma \in \Delta_1 \cup \{\emptyset\}, \ \tau \in \Delta_2 \cup \{\emptyset\}, \ \sigma \sqcup \tau \neq \emptyset \}$$

is an abstract simplicial complex of dimension dim  $\Delta_1$  + dim  $\Delta_2$  + 1, called the join of  $\Delta_1$  and  $\Delta_2$ . In particular,  $\Delta * \emptyset = \Delta = \emptyset * \Delta$ . The join  $\Delta * S^0$  is called the suspension over  $\Delta$ , in which  $S^0 := \{-1, 1\}$ . Moreover, \* is commutative and associative, i.e.,  $\Delta_1 * \Delta_2 = \Delta_2 * \Delta_1$  and  $(\Delta_1 * \Delta_2) * \Delta_3 = \Delta_1 * (\Delta_2 * \Delta_3)$ .

**Definition 1.1.12.** Let  $\Delta, \Gamma$  be abstracts simplicial complexes. Let  $f^0 : \Delta^0 \to \Gamma^0$  be a map such that, if  $\{v_0, ..., v_k\} \in \Delta$ , then  $\{f^0(v_0), ..., f^0(v_k)\} \in \Gamma$ . The induced map

$$f: \Delta \to \Gamma; \{v_0, \dots, v_k\} \mapsto \{f^0(v_0), \dots, f^0(v_k)\}$$

is called a simplicial map. Furthermore, if  $f^0: \Delta^0 \to \Gamma^0$  is a bijection whose inverse induces a simplicial map, them  $f: \Delta \to \Gamma$  is called a simplicial isomorphism and  $\Delta$  and  $\Gamma$  are called isomorphic, written as  $\Delta \cong \Gamma$ .

The correspondence between geometric and abstract simplicial complexes is given as follows:

Let K be a geometric simplicial complex of  $\mathbb{R}^n$  and  $\sigma = conv(v_0, ..., v_k) \in K$ . Since all subsets  $conv(v_{i_0}, ..., v_{i_m}), \emptyset \neq \{i_0, ..., i_k\} \subseteq \{0, ..., n\}$ , are elements of K, we can define an abstract simplex

$$\mathcal{K} := \{ \{v_0, ..., v_n\} \subseteq \mathbb{R}^n | conv(v_0, ..., v_n) \in K \}$$

called the abstraction of K.

Now let  $\Delta$  be an abstract simplicial complex. A geometric simplicial complex  $K(\Delta)$  is called a realisation of  $\Delta$  if its abstraction is isomorphic to  $\Delta$ . For the existence and uniqueness of a realisation we have the following Lemma:

**Lemma 1.1.13** ([Mau96],p. 37-40). Let  $\Delta$  be an abstract simplicial complex of dimension m. Then,  $\Delta$  has a realisation  $K(\Delta)$  in  $\mathbb{R}^{2m+1}$ . Furthermore, if  $K_1(\Delta)$  in  $\mathbb{R}^{n_1}$  and  $K_2(\Delta)$  in  $\mathbb{R}^{n_2}$  are two realisations of  $\Delta$ , then  $K_1(\Delta)$  is isomorphic to  $K_2(\Delta)$ . In particular, their polyhedrons  $||K_1(\Delta)||$  and  $||K_2(\Delta)||$  are homeomorphic. Moreover, for abstract simplicial complexes  $\Delta_1, \Delta_2, K(\Delta_1) * K(\Delta_2)$  is a realisation of  $\Delta_1 * \Delta_2$ .

A realisation  $K(\Delta)$  of  $\Delta$  can be constructed as a geometric simplicial complex with vertices in bijection with the vertices of  $\Delta$  and vertices of a simplex in  $K(\Delta)$  correspond to vertices of a simplex in  $\Delta$ . The above lemma guarantees that, given  $\Delta$  an abstract simplicial complex, we gain a topological space  $||\Delta|| := ||K(\Delta)||$  which is unique up to homeomorphism. This way we can assign to  $\Delta$  topological and homotopical properties and topological and homotopical invariants from  $||\Delta||$  without ambiguity.

So,  $\Delta$  is called *connected or compact*, if and only if,  $||\Delta||$  is contractible or connected or compact, respectively. Furthermore, if  $v \in \Delta$  is a vertex and  $k \in \mathbb{N}$ , we define the k-th homotopy group of  $\Delta$  as  $\pi_k(\Delta, v) := \pi_k(||\Delta||, ||v||)$ .

**Definition 1.1.14.** An abstract simplicial complex  $\Delta$  is said to be contractible if  $||\Delta||$  is contractible.

One of the simple contractible simplicial complexes are the cones.

**Definition 1.1.15.** A geometric simplicial complex K is said to be a cone if there are a vertex  $v \in K^0$  and a subsimplex K' such that K = v \* K'. We also say that K is a cone over v. An abstract simplicial complex  $\Delta$  is called a cone if there is a vertex  $v \in \Delta^0$  such that, given  $\sigma \in \Delta$ , then  $\sigma \cup \{v\} \in \Delta$ . As before,  $\Delta$  is also called a cone over v.

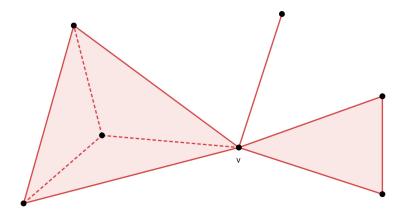


Figure 3 – A cone over v

Remark 1.1.16. An abstract simplicial complex  $\Delta$  is a cone if and only if a realisation  $K(\Delta)$  is a cone by Remark 1.1.7 and the construction of a realisation of an abstract simplicial complex.

#### **Proposition 1.1.17.** Cones are contractible.

*Proof.* We just need to prove for a geometric simplicial complex K that is a cone by the remark above. Let v like in the definition 1.1.17, we define the homotopy  $H: ||K|| \times [0,1] \to ||K||$  given by H(p,t) := tv + (1-t)p, which is a homotopy between the identity of ||K|| and a constant map.

#### 1.2 Simplicial Homology

**Definition 1.2.1.** Let K a non-empty geometric simplicial complex. For  $n \in \mathbb{N}$ , define  $C_n(K) := F_n(K)/R_n(K)$  where  $F_n$  is the free abelian group generated by all n-tuples

$$\{(v_0,\ldots,v_n)\mid conv(v_0,\ldots,v_n) \text{ is } n\text{-simplex of } K\}$$

and  $R_n(K)$  is the free abelian subgroup of  $F_n(K)$  generated by

$$\{(v_{\rho(0)},\ldots,v_{\rho(n)}) - sgn(\rho) \cdot (v_0,\ldots,v_n) \mid \rho \in Sym(n+1)\}$$

where Sym(n+1) is (n+1)-th symmetric group of permutations and sgn is the sign function of Sym(n+1).

For  $n \in \mathbb{Z}$ , n < 0, define  $C_n(K) := \{0\}$  and let

$$C_*(K) := \bigoplus_{n \in \mathbb{Z}} C_n(K)$$

The coset of  $(v_0, \ldots, v_n)$  in  $C_n(K)$  is denoted by  $[v_0, \ldots, v_n]$ .

The boundary operator  $\partial_n: C_n(K) \to C_{n-1}(K)$  is the group homomorphism defined by

$$\partial_n([v_0, \dots, v_n]) := \sum_{i=0}^n (-1)^i [v_0, \dots, \hat{v_i}, \dots, v_n]$$

if n > 0 and the zero map for  $n \leq 0$ . The boundary operator is a well-defined group homomorphism since  $\partial_n([v_{\rho(0)}, \dots, v_{\rho(n)}]) = sgn(\rho) \cdot \partial([v_0, \dots, v_n])$  for every  $\rho \in S_n$ .

**Lemma 1.2.2.** [Hat02, p. 105] For every  $n \in \mathbb{Z}$ , we have that  $\partial_{n-1} \circ \partial_n = 0$ .

*Proof.* For n > 2, we have that

$$\partial_{n-1}(\partial_n([v_0, \dots, v_n])) = \sum_{j < i} (-1)^i (-1)^j [v_0, \dots, \hat{v_j}, \dots, \hat{v_i}, \dots, v_n] + \sum_{j > i} (-1)^i (-1)^{j-1} [v_0, \dots, \hat{v_i}, \dots, \hat{v_j}, \dots, v_n]$$

for every  $[v_0, \ldots, v_n] \in C_n(K)$ . The latter two summations cancel, since after switching i and j in the second sum, it becomes the negative of the first.

For 
$$n \leq 1$$
,  $\partial_{n-1} = 0$ , so we have the wanted result.

So, define

$$Z_n(K) := Ker \ \partial_n$$
 the group of *n-cycles* of K  
 $B_n(K) := Im \ \partial_{n+1}$  the group of *n-boundaries* of K

The Lemma above guarantees that  $B_n(K) \subseteq Z_n(K) \ \forall n \in \mathbb{Z}$ , so we can define

$$H_n(K) := Z_n(K)/B_n(K)$$
 the *n-th homology group* of K

We observe that if  $\dim K = n$ , then  $H_m(K) = 0$  if m > n. The simplicial homology group of K is

$$H_*(K) := \bigoplus_{n \in \mathbb{Z}} H_n(K) \tag{1.2.1}$$

The zero-th group of homology  $H_0(K)$  is given by

**Proposition 1.2.3.** [Hat02, p. 109] Let K be a non-empty geometric simplicial complex. Then  $H_0(K) = \mathbb{Z} \oplus \ldots \oplus \mathbb{Z}$ , m times, such that m is the quantity of path connected components of ||K||. So ||K|| is path connected if, and only if,  $H_0(K) = \mathbb{Z}$ .

Remark 1.2.4. If ||K|| is not connected, then let  $p, q \in K^0$  in two different connected components in ||K||, then [p-q] is a non-zero homology class in  $H_0(K)$ .

**Example 1.2.5.** Let K be a simplicial complex of dimension 2 of a full triangle, i.e., let  $a, b, c \in \mathbb{R}^2$  affinely independent, then  $K := \{\{a\}, \{b\}, \{c\}, conv(a, b), conv(b, c), conv(a, c), conv(a, b, c)\}$ . Observe that an abstraction of K is given by  $K := \{\{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{a, c\}, \{a, b, c\}\}$ .

We have that  $H_n(K) = 0$  if n > 2 and n < 0.

 $C_0(K) = \mathbb{Z}a + \mathbb{Z}b + \mathbb{Z}c$  is the free abelian group generated by a, b, c, the vertices of the triangle,  $C_1(K) = \mathbb{Z}[a, b] + \mathbb{Z}[b, c] + \mathbb{Z}[c, a]$  is the free abelian group generated by [a, b], [b, c], [a, c], the sides of the triangle, and  $C_2(K) = \mathbb{Z}[a, b, c]$  the free group generated by [a, b, c], the triangle itself.  $C_n(K) = 0$  if n < 0 or n > 2.

The boundary operators  $\partial_2: C_2(K) \to C_1(K)$ ,  $\partial_1: C_1(K) \to C_0(K)$  are the only ones that are not the zero operator and they are given by

$$\partial_2[a, b, c] = [b, c] - [a, c] + [a, b] = [a, b] + [b, c] + [c, a]$$
  
 $\partial_1[a, b] = b - a, \quad \partial_1[b, c] = c - b, \quad \partial_1[c, a] = a - c$ 

Observe that  $\partial_1(\partial_2[a,b,c]) = 0$ .

We have that  $B_2(K) = Im \ \partial_3 = 0$  and  $Z_2(K) = Ker \ \partial_2 = 0$ , so the second homology group of K is given by  $H_2(K) = 0$ .

Let  $x \in C_1(K)$  and  $p, q, r \in \mathbb{Z}$  such that  $x = p \cdot [a, b] + q \cdot [b, c] + r \cdot [c, a]$ , so  $\partial_1 x = p \cdot b - p \cdot a + q \cdot c - q \cdot b + r \cdot a - r \cdot c = (r - p) \cdot a + (p - q) \cdot b + (q - r) \cdot c$ 

So  $\partial_1 x = 0 \iff p = q = r \iff x = p([a,b] + [b,c] + [c,a])$ . Then [a,b] + [b,c] + [c,a] is the generator of  $Z_1(K)$ . As  $\partial_2[a,b,c] = [a,b] + [b,c] + [c,a]$ , we have that  $B_1(K) = Im \ \partial_2 = Z_1(K)$ . So we conclude that  $H_1(K) = 0$ .

By the proposition 1.2.3,  $H_0(K) \cong \mathbb{Z}$ , since |K| is connected, in fact, a full triangle in  $\mathbb{R}^2$ .

### 1.3 Singular homology

We defined homology groups for simplicial complexes. Now we will generalise it for topological spaces.

**Definition 1.3.1.** For  $n \in \mathbb{N}$ , let

$$\Delta_{std}^n := \{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum t_i = 0, \ t_i \ge 0 \ \forall i \in \{0, \dots, n\} \}$$

be the standard n-simplex of  $\mathbb{R}^{n+1}$ , the convex hull of the standard basis of  $\mathbb{R}^{n+1}$ .

Let X be a non-empty topological space. For  $n \in \mathbb{N}$ , a singular n-simplex  $\sigma_n$  in X is a continuous map

$$\sigma_n:\Delta^n_{std}\to X$$

and the points in X given by  $\{\sigma_n(e_1), \ldots, \sigma_n(e_{n+1})\}$  are called the vertices of  $\sigma_n$ .

The free abelian group generated by all singular n-simplices is denoted by  $S_n(X)$  and its elements are called singular n-chains of X. For  $n \in \mathbb{Z}$ , n < 0, we define  $S_n(X) := 0$  and

$$S_*(X) := \bigoplus_{n \in \mathbb{Z}} S_n(X)$$

Observe that, for  $n \geq 0$ ,  $S_n(X)$  may not be finitely generated as the case for simplicial homology.

For the standard n-simplex, given  $i \in \{0, ..., n\}$ , we define the i-th face map by

$$\delta_i^n : \Delta_{std}^{n-1} \to \Delta_{std}^n$$

$$(x_0, \dots, x_n) \mapsto (x_0, \dots, x_{i-1}, 0, x_i, \dots, x_n)$$

This map can be seen as the embedding of  $\Delta_{std}^{n-1}$  in  $\Delta_{std}^n$  as the (n-1)-subsimplex of  $\Delta_{std}^n$  opposing the vertex  $e_i$ . Observe that if  $\sigma_n$  is a singular n-simplex, then  $\sigma_n \circ \delta_i^n$  is a singular (n-1)-simplex for every  $i \in \{1, \ldots, n\}$ .

The boundary operator  $\partial_n: S_n(X) \to S_{n-1}(X)$  is the group homomorphism defined by

$$\partial_n(\sigma_n) := \sum_{i=1}^n (-1)^{i-1} \sigma_n \circ \delta_i^n \in S_{n-1}(X)$$
 for all singular n-simplices  $\sigma_n$ 

for  $n \ge 0$  and the zero map for n < 0.

As in the case in simplicial homology, for  $n \in \mathbb{Z}$  we have that  $\partial_{n-1} \circ \partial_n = 0$  [Hat02, p. 108]. And, for  $n \in \mathbb{Z}$ , we define

$$Z_n(X) := Ker \ \partial_n$$
, the group of singular n-cycles of X,  
 $B_n(X) := Im \ \partial_{n+1}$ , the group of singular n-boundaries of X,

and

$$H_n(X) := Z_n(X)/B_n(X)$$
, the n-th singular homology group of X

The singular homology group of X is

$$H_*(X) := \bigoplus_{n \in \mathbb{Z}} H_n(X)$$

From now on we write  $\partial$  instead of  $\partial_n$  for the boundary maps for both simplicial and singular homology. In this notation,  $\partial_{n-1} \circ \partial_n = 0$  is written as  $\partial^2 = 0$  which is more concisely.

**Proposition 1.3.2.** [Mun18, p. 164] Let X be a non-empty topological space. Then,  $H_0(X)$  is free abelian. If  $\{C_{\alpha}\}_{{\alpha}\in\Lambda}$  is the family of path components of X and if  $\{p_{\alpha}\}_{{\alpha}\in\Lambda}\subseteq X$  is a family of points in X such that  $p_{\alpha}\in C_{\alpha}$  for all  $\alpha\in\Lambda$ , then the homology classes of all  $p_{\alpha}$  form a basis for  $H_0(X)$ . In particular, X is path connected if, and only if,  $H_0(X)\cong\mathbb{Z}$ .

The fundamental property of the singular homology group of X is that it is a homotopy invariant, so it becomes a strong tool for any problem that deals with homotopy type of topological spaces. This property is given by:

**Theorem 1.3.3.** [Hat02, p. 111] Let X,Y be non-empty topological spaces and let  $f: X \to Y$  be a continuous map, the group homomorphism  $f_*: S_*(X) \to S_*(Y)$  defined  $f_*(\sigma_n) := f \circ \sigma_n$  for all  $\sigma_n \in S_n(X)$  satisfies  $\partial \circ f_* = f_* \circ \partial$ . Hence, it induces a homomorphism

$$f_*: H_n(X) \to H_n(Y)$$

for every  $n \in \mathbb{Z}$ . The assignment  $f \mapsto f_*$  is functorial, i.e.,  $(g \circ f)_* = g_* \circ f_*$  and  $id_* = id$ . Moreover, if  $f, g : X \to Y$  are homotopic maps, then  $f_* = g_*$ . In particular, if f is a homotopy equivalence, then  $f_*$  is a group isomorphism.

Example 1.3.4. [Hat02, p. 110](Homology of a point)

Let  $X = \{p\}$  be a unitary set with trivial topology, for every  $n \in \mathbb{Z}$ , there is a unique  $\sigma_n \in S_n(X)$  the constant map  $\sigma_n(x) = p \ \forall x \in \Delta^n_{std}$ . So  $\partial(\sigma_n)(x) = \sum_{i=0}^n (-1)^i \sigma_n(\delta^n_i(x)) = \sum_{i=0}^n (-1)^i p$ . If n is odd, we have that  $\partial(\sigma_n) = 0$ . If n is even and  $n \neq 0$ ,

we have that  $\partial(\sigma_n)$  is the unique element of  $S_{n-1}$ , so we have the singular homology chain complex for  $n \geq 0$ :

$$\cdots \longrightarrow \mathbb{Z} \xrightarrow{\approx} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{\approx} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{0} 0 \xrightarrow{0} 0 \xrightarrow{0} \cdots$$

with the boundary maps alternately being isomorphisms and zero maps, except at the last  $\mathbb{Z}$ . So, the singular homology groups are given by  $H_n(X) = 0$  for  $n \neq 0$  and  $H_0(X) = \mathbb{Z}$ .

Corollary 1.3.5. Let X be a non-empty contractible topological space, its singular homology groups are given by:

$$H_n(X) := \begin{cases} \mathbb{Z}, & n = 0\\ 0, & n \neq 0 \end{cases}$$
 (1.3.1)

The following result shows the relation between the simplicial homology of a simplicial complex and the singular homology of its polyhedron

**Theorem 1.3.6.** [Mau96, p. 117,119] Let K be a non-empty geometric simplicial complex. Then  $H_n(K)$  and  $H_n(||K||)$  are isomorphic groups for all  $n \in \mathbb{Z}$ . More precisely, the homomorphism  $\alpha: C_*(K) \to S_*(||K||)$  which maps the coset  $[v_0, \ldots, v_n]$  to the corresponding simplicial map, i.e.,  $\alpha([v_0, \ldots, v_n])$  is the singular n-simplex in  $||\Delta||$  given by

$$\alpha([v_0, \dots, v_n]): \Delta_{std}^n \to ||K||$$
  
 $(\lambda_0, \dots, \lambda_n) \mapsto \sum_{i=0}^n \lambda_i v_i$ 

is a chain homotopy equivalence. Hence,  $\alpha$  induces group isomorphisms

$$\alpha_K: H_n(K) \to H_n(||K||) \tag{1.3.2}$$

for each  $n \in \mathbb{Z}$ .

Corollary 1.3.7. Let K and L be geometric simplicial simplexes and let  $f: ||K|| \to ||L||$  be continuous.

- f induces a group homomorphism  $f_*: H_n(K) \to H_n(L)$  for each  $n \in \mathbb{Z}$ ;
- The correspondence  $f \mapsto f_*$  is functorial;
- If  $f, g: ||K|| \to ||L||$  are homotopic maps, then  $f_* = g_*$ ;
- If f is a homotopy equivalence, then  $f_*$  is an isomorphism.

In particular, since a simplicial isomorphism  $h: K \to L$  induces a homeomorphism  $||h||: ||K|| \to ||L||$ , we have that  $||h||_*: H_n(K) \to H_n(L)$  is a isomorphism for every  $n \in \mathbb{Z}$ . So, with this topology invariance, we can define the homology groups of abstract simplicial complexes:

**Definition 1.3.8.** If  $\Delta$  is an abstract simplicial complex and  $n \in \mathbb{Z}$ , the n-homology group of  $\Delta$  is given by

$$H_n(\Delta) := H_n(K(\Delta)) \tag{1.3.3}$$

and the homology group is given by

$$H_*(\Delta) := H_*(K(\Delta)) \tag{1.3.4}$$

for a realisation  $K(\Delta)$  of  $\Delta$ .

These are well-defined, since the polyhedrons of two realisations of  $\Delta$  are homeomorphic.

In sight of the last results and definition, we write just simplicial complex for an abstract simplicial complex and the result is valid for a geometric simplicial complex too.

#### 1.3.1 Reduced homology

**Definition 1.3.9.** Let X be a non-empty topological space. We define  $\tilde{S}_{-1}(X) := \mathbb{Z}$ ,  $\tilde{S}_n(X) := S_n(X)$  for  $n \neq -1$  and the homomorphisms of groups  $\tilde{\partial}_{-1} := 0$ ,  $\tilde{\partial}_n := \partial_n$  for  $n \notin \{0,1\}$  and  $\tilde{\partial}_0 : \tilde{S}_0(X) \to \tilde{S}_{-1}(X) = \mathbb{Z}$ , given by  $\tilde{\partial}_0(\sum n_i \sigma_i) := \sum n_i$  for all  $n_i \in \mathbb{Z}$  and  $\sigma_i \in S_0(X)$ .

As before, we have that  $\tilde{\partial}_{n-1} \circ \tilde{\partial}_n = 0$ . Hence, we define the n-th reduced singular homology group of X as

$$\tilde{H}_n(X) := \frac{Ker \ \tilde{\partial}_n}{Im \ \tilde{\partial}_{n+1}}$$

The reduced singular homology group of X is defined as

$$\tilde{H}_*(X) := \bigoplus_{n \in \mathbb{Z}} \tilde{H}_n(X)$$

From now on, we write  $\tilde{\partial}$  instead of  $\tilde{\partial}_n$  like before.

Of course, the reduced singular homology group is closely related to the singular homology group.

**Lemma 1.3.10.** Given X and Y non-empty topological spaces and  $n \in \mathbb{Z}$ , we have the following properties

- 1.  $H_0(X) \cong \tilde{H}_0(X) \oplus \mathbb{Z};$
- 2. For  $n \neq 0$ ,  $H_n(X) = \tilde{H}_n(X)$ ;
- 3. X is path connected if, and only if,  $\tilde{H}_0(X) = 0$ ;

- 4. Given a continuous map  $f: X \to Y$ , we have the induced homomorphism  $\tilde{f}_*: \tilde{H}_n(X) \to \tilde{H}_n(Y)$  like 1.3.3 and the association  $f \mapsto \tilde{f}_*$  is functorial.
- 5. If the map f above is a homotopy equivalence, then  $\tilde{f}_*: \tilde{H}_n(X) \to \tilde{H}_n(Y)$  is an isomorphism of groups for every  $n \in \mathbb{Z}$ .

Now, let  $\Delta$  be a simplicial complex. We define the *n*-th reduced homology group of  $\Delta$  as

$$\tilde{H}_n(\Delta) = \tilde{H}_n(||\Delta||)$$

and the reduced homology group as

$$\tilde{H}_*(\Delta) = \tilde{H}_*(||\Delta||)$$

which are well-defined by the Lemma above.

We define  $\tilde{H}_n(\emptyset) := \{0\}$  if  $n \neq -1$  and  $\tilde{H}_{-1}(\emptyset) = \mathbb{Z}$ . So,  $\tilde{H}_{-1}(\Delta) = 0$  if and only if  $\Delta \neq \emptyset$ .

Corollary 1.3.11. Let X be a contractible topological space. Then,  $\tilde{H}_*(X) = 0$ .

*Proof.* This is direct consequence of corollary 1.3.5 and parts 1 and 2 of 1.3.10 and the definition of reduced homology of the empty space.

#### 1.3.2 Homology with coefficients

This subsection is based on section 50 of [Mun18] and section 4.5 of [Mau96]. In these sections, we can found the notion of tensor products of abelian groups that will be used in the subsection. The notion of rank of a finitely generated abelian group will also be used and can be found in section 4 of [Mun18].

**Definition 1.3.12.** Let X be a non-empty topological space. Given G abelian group, so also a  $\mathbb{Z}$ -module, for any  $n \in \mathbb{Z}$ , we have that  $\partial_n \otimes id_G : S_n(X) \otimes G \to S_{n-1}(X) \otimes G$  and  $\tilde{\partial}_n \otimes id_G : \tilde{S}_n(X) \otimes G \to \tilde{S}_{n-1}(X) \otimes G$  satisfy  $(\partial_{n-1} \otimes id_g) \circ (\partial_n \otimes id_G) = 0$  and  $(\tilde{\partial}_{n-1} \otimes id_G) \circ (\tilde{\partial}_n \otimes id_G) = 0$ .

Then, we define the n-th singular homology group with coefficients in G and reduced n-th singular homology group with coefficients in G as

$$H_n(X,G) := \frac{Ker (\partial_n \otimes id_G)}{Im (\partial_{n+1} \otimes id_G)}$$

$$\tilde{H}_n(X,G) := \frac{Ker (\tilde{\partial}_n \otimes id_G)}{Im (\tilde{\partial}_{n+1} \otimes id_G)}$$

and the correspondent homology groups

$$H_*(X,G) := \bigoplus_{n \in \mathbb{Z}} H_n(X,G) \qquad \tilde{H}_*(X,G) := \bigoplus_{n \in \mathbb{Z}} \tilde{H}_n(X,G)$$

Let  $\Delta$  be a simplicial complex and let G be any abelian group. Given  $n \in \mathbb{Z}$ , we define  $H_n(\Delta, G)$ ,  $\tilde{H}_n(\Delta, G)$ ,  $H_*(\Delta, G)$ ,  $\tilde{H}_(\Delta, G)$  as above by replacing  $S_n(X)$  with  $C_n(\Delta)$ . Given  $\sigma \in C_n(\Delta)$  and  $g \in G$ , we will write  $\sigma \otimes g$  as  $g \cdot \sigma$ .

Observe that  $H_n(\Delta, \mathbb{Z}) = H_n(X)$ ,  $\tilde{H}_n(X, \mathbb{Z}) = \tilde{H}_n(X)$  for every  $n \in \mathbb{Z}$ . Like before, we have the correspondence  $H_n(||\Delta||, G) \cong H_n(\Delta, G)$ ,  $\tilde{H}_n(||\Delta||, G) \cong \tilde{H}_n(\Delta, G)$  for every  $n \in \mathbb{Z}$ .

**Lemma 1.3.13.** Given X and Y non-empty topological spaces, G abelian group and  $n \in \mathbb{Z}$ , we have the following properties

- $H_0(X,G) \cong \tilde{H}_0(X,G) \oplus G$ ;
- For  $n \neq 0$ ,  $H_n(X,G) = \tilde{H}_n(X,G)$ ;
- X is path connected if, and only if,  $\tilde{H}_0(X,G) = 0$ ;
- Given a continuous map  $f: X \to Y$ , we have the induced homomorphisms  $f_*: H_n(X,G) \to H_n(X,G)$  and  $\tilde{f}_*: \tilde{H}_n(X,G) \to \tilde{H}_n(X,G)$  like 1.3.3 and the associations  $f \mapsto f_*, f \mapsto \tilde{f}_*$  are functorial;
- If the map f above is a homotopy equivalence, then  $f_*$  and  $\tilde{f}_*$  are isomorphism of groups;
- $H_n(X,G) \cong (H_n(X) \otimes G) \oplus Tor(H_{n-1}(X),G)$  and  $\tilde{H}_n(X,G) \cong (\tilde{H}_n(X) \otimes G) \oplus Tor(\tilde{H}_{n-1}(X),G)$  where Tor is the Tor-functor defined in [Mun18, p. 317] and this result can be found in [Mun18, p. 332]

If  $G = \mathbb{F}$  is a field, then  $H_n(\Delta, \mathbb{F})$  and  $\tilde{H}_n(\Delta, \mathbb{F})$  also have the structure of  $\mathbb{F}$ -vectors spaces such that  $f_*$ ,  $\tilde{f}_*$  are  $\mathbb{F}$ -linear. From 1.3.13, we have that  $H_n(X, \mathbb{Q}) = H_n(X) \otimes \mathbb{Q}$ , since  $\mathbb{Q}$  is torsion free [Hat02, p. 265]. Since  $\mathbb{Z}_p \otimes \mathbb{Q} = \mathbb{Q}$  [Mun18, p. 305] for  $p \in \mathbb{N} \setminus \{0, 1\}$ , if  $H_n(X)$  is finitely generated, we have that the dimension of  $H_n(X, \mathbb{Q}) = H_n(X) \otimes \mathbb{Q}$  as a  $\mathbb{Q}$ -vector space is the rank of  $H_n(X)$ , also known as the n-th Betti number of X.

The result  $H_n(X, \mathbb{Q}) = H_n(X) \otimes \mathbb{Q}$  implies that, if  $H_n(X, \mathbb{Q}) \neq 0$ , we have that  $H_n(X)$  has non-zero rank (possibly infinite), then  $H_n(X, \mathbb{F}) \neq 0$  for every field  $\mathbb{F}$ . This fact and the non-existence of a torsion part in  $H_n(X, \mathbb{Q})$  are the reason we use homology over the rationals in chapter 4.

Corollary 1.3.14. Let X be a contractible topological space and G any abelian group, then  $\tilde{H}_*(X,G) = 0$ .

*Proof.* Follows from Lemma 1.3.10 and 1.3.13.

The corollary above is extremely important for this work, since it says that if, for a G abelian group, a  $\Delta$  simplicial complex and  $n \in \mathbb{Z}$ ,  $\tilde{H}_n(\Delta, G) \neq 0$ , then we have that  $\Delta$  is not contractible, which are the hypothesis in 2.3.2 and 2.3.1 theorems, the motivations of this work.

#### 1.3.3 The Mayers-Vietoris sequence

In this subsection, we will present the Mayers-Vietoris sequence for reduced simplicial homology. We just have to remember that any notion of homology have a equivalent Mayers-Vietoris sequence, so the next result can be much more general.

**Theorem 1.3.15.** [Mau96, p. 128] Let  $\Delta$  be a simplicial complex and let  $\Delta_1, \Delta_2$  be subcomplexes such that  $\Delta_1 \cup \Delta_2 = \Delta$  and  $\Delta_1 \cap \Delta_2 \neq \emptyset$ . We have the inclusions

$$i_1: \Delta_1 \cap \Delta_2 \hookrightarrow \Delta_1, \quad i_2: \Delta_1 \cap \Delta_2 \hookrightarrow \Delta_2, \quad i_3: \Delta_1 \hookrightarrow \Delta, \quad i_4: \Delta_2 \hookrightarrow \Delta$$

Let R be a commutative unitary ring and  $n \in \mathbb{Z}$  Then, there exists an R-linear homomorphism  $\partial_* : \tilde{H}_n(\Delta, R) \to \tilde{H}_{n-1}(\Delta_1 \cap \Delta_2, R)$  such that

$$\dots \longrightarrow \tilde{H}_n(\Delta_1 \cap \Delta_2, R) \stackrel{(\tilde{i}_{1*}, -\tilde{i}_{2*})}{\longrightarrow} \tilde{H}_n(\Delta_1, R) \oplus \tilde{H}_n(\Delta_2, R) \stackrel{\tilde{i}_{3*} + \tilde{i}_{4*}}{\longrightarrow} \dots$$
$$\dots \longrightarrow \tilde{H}_n(\Delta, R) \stackrel{\partial_*}{\longrightarrow} \tilde{H}_{n-1}(\Delta_1 \cap \Delta_2, R) \longrightarrow \dots$$

is a long exact sequence. This sequence is called the Mayer-Vietoris sequence for reduced homology of the triple  $(\Delta, \Delta_1, \Delta_2)$ .

Observe that, if  $\Delta_1$  and  $\Delta_2$  are contractible, their reduced homology groups are trivial, so the exactness of the Mayer-Vietoris sequence gives that  $\partial_*$  is an isomorphism between the homology of  $\Delta$  and the homology of  $\Delta_1 \cap \Delta_2$ .

# 2 The Simplicial Complex of a Homogeneous Space

#### 2.1 Order complexes

The simplicial complexes associated to a homogeneous space are order complexes. In this section, we will introduce the concept of order complexes.

**Definition 2.1.1.** [Bjö96, p. 1843], [BW96, p. 1312] Let  $P = (P, \leq)$  be a poset, i.e., a partially ordered set. A finite totally ordered subset  $C := \{p_0 < \ldots < p_k\}$  is called a chain of P. The number k is called the length of C and is denoted by l(C).

A maximal chain is a chain  $C = \{p_0 < \ldots < p_k\}$  such that there exists no  $p \in P \setminus C$  such that  $C \cup \{p\}$  is a chain.

For a given poset P, let  $\hat{0}$  and  $\hat{1}$  be two distinct elements not contained in P. Then  $\hat{P} := P \dot{\cup} \{\hat{0}, \hat{1}\}$  becomes a poset by  $\hat{0} < x < \hat{1}$  for all  $x \in P$ .  $\hat{0}$  and  $\hat{1}$  are called the bottom element and the top element of  $\hat{P}$ , respectively. Moreover,  $\hat{P}$  is called a *lattice*, if for all  $x, y \in P$  there exists a least upper bound (join) in  $\hat{P}$ , denoted by  $x \vee y$ , and a greatest lower bound (meet) in  $\hat{P}$ , denoted by  $x \wedge y$ . Furthermore, for all  $x \in P$  let

$$P_{\leq x} := \{ z \in P \mid z \leq x \}$$

and similarly  $P_{< x}, P_{\ge x}$  and  $P_{> x}$ .

**Definition 2.1.2** ([Bjö96], p.1844). Let  $(P, \leq)$  be a finite poset. We define the order complex  $\Delta(P, \leq) =: \Delta(P)$  of P as the abstract simplicial complex whose k-simplices are the chains of length k of P for  $k \geq 0$ . A polyhedron of  $\Delta(P)$  will be denoted by ||P|| instead of  $||\Delta(P)||$ .

To understand the topology of order complexes, we first need to understand when a map between posets can induce a map in the associated order complexes.

Let P,Q be finite posets and let  $f:P\to Q$  be a monotonic map, i.e., f preserves order or reverses order. Then, for any chain C of P,f(C) is a chain of Q. Thus, it induces a simplicial map from  $\Delta(P)$  to  $\Delta(Q)$ , which is again denoted by f. Two monotonic maps  $f,g:P\to Q$  are called homotopic, denoted by  $f\sim g$ , if the induced maps  $\|f\|,\|g\|:\|P\|\to\|Q\|$  are homotopic.

**Proposition 2.1.3.** Let  $(P, \leq)$  be a finite poset and  $p \in P$ , then the subset  $C = \{\sigma \in \Delta(P) \mid \min \sigma \geq p\}$  of  $\Delta(P)$  is a cone over p. Hence, it is contractible by 1.1.17.

*Proof.*  $\mathcal{C}$  is a subcomplex of  $\Delta(P)$ : if  $C_1 \in \mathcal{C}$  and  $C_2 \subseteq C_1$ , we have that  $\min \sigma \geq p$  for all  $\sigma \in C_2$ , then  $C_2 \in \mathcal{C}$ .

If 
$$C = \{p_0 < \ldots < p_k\} \in \mathcal{C}$$
, then  $C \cup \{p\} = \{p \le p_0 < \ldots < p_k\} \in \mathcal{C}$ , since  $p \le p$ .

# 2.2 The Simplicial Complexes $\Delta_{G/H}$ , $\Delta_{G/H}^{min}$ and $\Delta_{G/H}^{T}$

In this section, the simplicial complexes  $\Delta_{G/H}$ ,  $\Delta_{G/H}^{min}$ ,  $\Delta_{G/H}^{T}$  associated to homogeneous spaces will be introduced. Let H < G be compact Lie groups such that G/H is connected with finite fundamental group and G acts almost effectively, i.e.,  $\mathfrak{h}$  does not contain an ideal of  $\mathfrak{g}$ . Moreover, let Q be a fixed Ad(G)-invariant inner product on  $\mathfrak{g}$  which exists by [BD13, p. 68]. The orthogonal complement of  $\mathfrak{h}$  in  $\mathfrak{g}$  will be denoted by  $\mathfrak{m}$ , which is Ad(H)-invariant so it can be identified with  $T_o(G/H)$  where o := eH: If  $X \in \mathfrak{m}$ ,  $h \in H$  and  $Y \in \mathfrak{h}$ , then

$$Q(Ad(h)X, Y) = Q(X, Ad(h^{-1})Y) = 0$$

since  $Ad(h^{-1})Y \in \mathfrak{h} = \mathfrak{m}^{\perp_Q}$  and Q is Ad(G)-invariant. So,  $Ad(h)X \in \mathfrak{m}$ .

Furthermore, let

$$\mathfrak{m}_0 := \{ X \in \mathfrak{m} \mid [X, \mathfrak{h}] = 0 \}$$

The Lie algebra of  $N_{G_0}(H_0)$  is  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h})$  that can be described by:

**Lemma 2.2.1.** [BK23, p. 102] Let G/H be a compact homogeneous space. Then  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h} \oplus \mathfrak{m}_0$  and this decomposition is Q-orthogonal. Moreover,  $\mathfrak{m}_0$  is a compact subalgebra of  $\mathfrak{g}$ .

**Definition 2.2.2.** A Lie subalgebra  $\mathfrak{k}$  of  $\mathfrak{g}$  is called an H-subalgebra, if the following hold:

- 1.  $\mathfrak{h} < \mathfrak{k} < q$ ,
- 2.  $\mathfrak{k}$  is Ad(H)-invariant.

Furthermore, let  $\mathfrak{m}_{\mathfrak{k}} := \mathfrak{m} \cap \mathfrak{k}$ , then  $\mathfrak{k}$  is called toral if  $[\mathfrak{m}_{\mathfrak{k}}, \mathfrak{m}_{\mathfrak{k}}] = 0$ , otherwise  $\mathfrak{k}$  is called non-toral.

Observe that  $\mathfrak{k} = \mathfrak{h} \oplus \mathfrak{m}_{\mathfrak{k}}$  and it is a Q-orthogonal decomposition, since  $\mathfrak{m}_{\mathfrak{k}}$  is the Q-orthogonal complement of  $\mathfrak{h}$  in  $\mathfrak{k}$ , and  $\mathfrak{m}_{\mathfrak{k}}$  is Ad(H)-invariant.

Every intermediary Lie subalgebra  $\mathfrak{h} < \mathfrak{k} < \mathfrak{g}$  is  $ad(\mathfrak{h})$ -invariant, so, for H connected, every intermediary Lie subalgebra is Ad(H)-invariant, thus an H-subalgebra. Hence, in the case of H connected, H-subalgebras are in one-to-one correspondence with

connected Lie subgroups K of G with H < K < G and we also use the term intermediary subalgebra for an H-subalgebra.

Remark 2.2.3. In this work, if V is a vector space over a field  $\mathbb{F}$  and  $A_1, \ldots, A_k \subseteq V$ , then  $span_{\mathbb{F}}\{A_1, \ldots, A_k\}$  means the subspace of V generated by  $A_1 \cup \ldots \cup A_k$ . If  $\mathfrak{g}$  is a Lie algebra over a field  $\mathbb{F}$  and  $\mathfrak{a}_1, \ldots, \mathfrak{a}_k \subseteq \mathfrak{g}$ , then  $\langle \mathfrak{a}_1, \ldots, \mathfrak{a}_k \rangle$  means the Lie subalgebra generated by  $\mathfrak{a}_1 \cup \ldots \cup \mathfrak{a}_k$ . Also, if  $\mathfrak{h} < \mathfrak{g}$ ,  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) := \{X \in \mathfrak{g} \mid [X, \mathfrak{h}] \subseteq \mathfrak{h}\}$  is the normalizer of  $\mathfrak{h}$ .

#### 2.2.1 $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$

Let H < G be compact Lie groups as above such that  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$ . In particular,  $\mathfrak{m}_0 = \{0\}$  and every H-subalgebra is non-toral: if  $\mathfrak{k} = \mathfrak{h} \oplus \mathfrak{m}_{\mathfrak{k}}$  is a H-subalgebra, then  $[\mathfrak{m}_{\mathfrak{k}}, \mathfrak{m}_{\mathfrak{k}}] = 0 \implies Q([\mathfrak{h}, \mathfrak{m}_{\mathfrak{k}}], \mathfrak{m}_{\mathfrak{k}}) = Q(\mathfrak{h}, [\mathfrak{m}_{\mathfrak{k}}, \mathfrak{m}_{\mathfrak{k}}]) = \{0\} \implies [\mathfrak{h}, \mathfrak{m}_{\mathfrak{k}}] \subseteq \mathfrak{h} \cap \mathfrak{m}_{\mathfrak{k}} = \{0\} \implies \mathfrak{m}_{\mathfrak{k}} \subseteq \mathfrak{m}_0 = \{0\}$  which contradicts  $\mathfrak{m}_{\mathfrak{k}} \neq 0$ .

Let  $P_{G/H}$  be the set of all H-subalgebras of  $\mathfrak{g}$ , which might be infinite. However, by [Bö04, p. 144], there exists at most finitely many minimal, by inclusion, H-subalgebras. Hence, let  $\{\mathfrak{k}_1,\ldots,\mathfrak{k}_n\}$  be the set of all minimal H-subalgebras and let  $P_{G/H}^{min}$  be the set of all H-subalgebras generated by minimal ones, i.e.

$$P_{G/H}^{min} = \{ \langle \mathfrak{k}_{i_1}, \dots, \mathfrak{k}_{i_l} \rangle \mid 1 \le l \le n, \ 1 \le i_1 < \dots < i_l \le n \}$$

 $P_{G/H}$  and  $P_{G/H}^{min}$  are partially ordered by inclusion  $\subseteq$ .

**Definition 2.2.4.** With the notation as above, the order complex of  $P_{G/H}^{min}$  is called the simplicial complex of G/H and is denoted by  $\Delta_{G/H}^{min}$ . If  $P_{G/H}$  is finite, the order complex of  $P_{G/H}$  is called the extended simplicial complex G/H and is denoted by  $\Delta_{G/H}$ .

The following properties of  $\Delta_{G/H}^{min}$  and  $\Delta_{G/H}$  are very important for this work.

- 1. If H is connected, then  $\Delta_{G/H}^{min}$  and  $\Delta_{G/H}$  only depend on the Lie algebras  $\mathfrak{h}$  and  $\mathfrak{g}$  instead of the Lie groups H and G. In particular, if  $\pi: \tilde{G} \to G$  is a covering map and  $\tilde{H} := \pi^{-1}(H)_0$ , it follows that  $\Delta_{G/H}^{min} = \Delta_{\tilde{G}/\tilde{H}}^{min}$  ( $\Delta_{G/H} = \Delta_{\tilde{G}/\tilde{H}}$ ).
- 2. If  $rank \ H = rank \ G$ , it follows that  $\mathfrak{m}_0 = \{0\}$ , i.e.,  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$ : Suppose that exists  $X \in \mathfrak{m}_0 \setminus \{0\}$  and let  $\mathfrak{t}$  be a maximal abelian Lie subalgebra (a Cartan subalgebra) of  $\mathfrak{h}$ . Then  $\mathfrak{t} \oplus \langle X \rangle$  is also an abelian Lie subalgebra of  $\mathfrak{g}$ , since  $[\mathfrak{t}, X] = 0$ . It follows that  $rank \ G > rank \ H$ , which contradicts the hypothesis.
- 3. If  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$  and  $\mathfrak{h} < \mathfrak{k}$ , then  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{k}) = \mathfrak{k}$ : Suppose that  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{k}) \neq \mathfrak{k}$ . By 2.2.1, there exists  $X \notin \mathfrak{k}$  such that  $[X, \mathfrak{k}] = 0$ . In particular,  $[X, \mathfrak{h}] = 0$  which implies  $X \in \mathfrak{n}(\mathfrak{h}) = \mathfrak{h} < \mathfrak{k}$ , a contradiction with the hypothesis.

If, in addition, H is connected and  $P_{G/H}$  is finite, then  $P_{G/K}$  is finite and  $\Delta_{G/K}$  is well-defined for every compact Lie subgroup H < K < G. Moreover, every K-subalgebra is also an H-subalgebra. Hence,  $P_{G/K}$  can be identified as a subposet of  $P_{G/H}$  and  $\Delta_{G/K}$  can be identified as a subcomplex of  $\Delta_{G/H}$ 

#### 2.2.2 $\mathfrak{n}_{\mathfrak{q}}(\mathfrak{h}) \neq \mathfrak{h}$

Let H < G be compact Lie groups as above such that  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) \neq \mathfrak{h}$ , i.e.,  $\mathfrak{m}_0 \neq 0$ . By 2.2.1,  $\mathfrak{m}_0 \cong \mathfrak{n}(\mathfrak{h})/\mathfrak{h}$  is a compact Lie subalgebra of  $\mathfrak{g}$ . So, fix a maximal torus T of the compact connected Lie subgroup of G with Lie algebra  $\mathfrak{m}_0$  and let  $\mathfrak{t} := Lie(T)$ .

**Definition 2.2.5.** An H-subalgebra  $\mathfrak{k}$  is called T-adapted if it is invariant under the adjoint action of T, i.e.,  $Ad(T)\mathfrak{k} \subseteq \mathfrak{k}$ . A non-toral T-adapted H-subalgebra is called T-minimal non-toral if it is minimal by inclusion in the set of all non-toral T-adapted H-subalgebras.

Remark 2.2.6. Since T is connected, if  $\mathfrak{t} := Lie(T)$ , the above condition of Ad(T)-invariance is equivalent to  $ad(\mathfrak{t})$ -invariance.

By [Bö04, p. 154] there exists at most finitely many T-minimal non-toral H-subalgebras. As above, let  $P_{G/H}^T$  be the set of all non-toral T-adapted H-subalgebras which are generated by minimal ones. Again,  $P_{G/H}^T$  is a finite, partially ordered set by inclusion  $\subseteq$ .

**Definition 2.2.7.** The order complex of the chains of  $P_{G/H}^T$  is called the simplicial complex of G/H and is denoted by  $\Delta_{G/H}^T$ .

The following properties of  $\Delta^T_{G/H}$  are of interest, see [Bö04, p. 154].

- 1.  $\Delta_{G/H}^T$  is a generalisation of  $\Delta_{G/H}^{min}$ , since both definitions coincide for  $\mathfrak{m}_0 = \{0\}$  defining  $T := \{e\}$ .
- 2. If H is connected, then  $\Delta_{G/H}^T$  only depends on  $\mathfrak{g}$  and  $\mathfrak{h}$  and not on the choice of T up to isomorphism. In particular, again,  $\Delta_{G/H}^T = \Delta_{\tilde{G}/\tilde{H}}^T$  for a covering map  $\pi : \tilde{G} \to G$  and  $\tilde{H} := \pi^{-1}(H)_0$ .
- 3. If both G and H are connected and  $\#\pi_1(G/H, eH) < \infty$ , then there is a bijection between the minimal non-toral TH-subalgebras and the T-minimal non-toral H-subalgebras. Furthermore,  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{t} \oplus \mathfrak{h}) = \mathfrak{t} \oplus \mathfrak{h}$ . By [Bö04, p. 154], it follows  $\Delta_{G/H}^T \cong \Delta_{G/TH}^{min}$ .

We can always may assume the following conditions

1. G/H is connected (but G and H might be disconnected).

- 2. The group action of G on G/H is almost effective, i.e., any normal subgroups of G which is contained in H is discrete or  $\mathfrak{h}$  does not contain ideals of  $\mathfrak{g}$ ,
- 3.  $\pi_1(G/H, eH)$  is finite.

For the first condition, if  $\hat{G}$  is the union of all connected components of G which intersects H, then  $\hat{G}$  is a compact subgroup of G such that  $\hat{G}/H$  is the connected component of G/H which contains o. Moreover,  $\Delta_{\hat{G}/H}^T = \Delta_{G/H}^T$ , since the complex depends only on  $\mathfrak{h}, \mathfrak{g}, H$  and T.

For the second condition, if  $N \subseteq G, N \subseteq H$ , one may consider the homogeneous space  $M := (G/N)/(H/N) \cong G/H$ . Then  $\Delta_{G/H}^T \cong \Delta_M^T$ , since  $\mathfrak{k}$  is  $\mathrm{Ad}(H)$ -invariant if and only if  $\mathfrak{k}/\mathfrak{n}$  is  $\mathrm{Ad}(H/N)$ -invariant.

For the third condition,  $\#\pi(G/H, eH) = \infty$  implies that  $\Delta_{G/H}^T$  is contractible or  $\Delta_{G/H}^T = \emptyset$  and G/H is a torus, see [Bö04, p. 155].

When dealing with product spaces  $G_1 \times G_2/H_1 \times H_2$ ,  $\Delta^{T_1 \times T_2}_{G_1 \times G_2/H_1 \times H_2}$  is obtained from  $\Delta^{T_1}_{G_1/H_1}$  and  $\Delta^{T_2}_{G_2/H_2}$  in the following manner:

**Lemma 2.2.8.** For  $i \in \{1, 2\}$  let  $H_i < G_i$  be compact Lie groups as above and let  $T_i$  be a maximal torus as above. Then:

$$\Delta^{T_1 \times T_2}_{G_1 \times G_2/H_1 \times H_2} \simeq \Delta^{T_1}_{G_1/H_1} * \Delta^{T_2}_{G_2/H_2} * S^0$$

where \* is the join defined in 1.1.11.

where  $\simeq$  means homotopy equivalence. A proof is given by [Bö04, p. 95].

Since 
$$\underbrace{S^0 * \dots * S^0}_{n \text{ times}} = S^{n-1}$$
, it follows

**Lemma 2.2.9.** For  $i \in \{0, ..., n\}$ , let  $H_i < G_i$  be compact Lie groups as above and  $T_i$  be a maximal torus as above. Then:

$$\Delta_{\prod_{i=1}^{n} G_i/\prod_{i=1}^{n} H_i}^{\prod_{i=1}^{n} T_i} \simeq \Delta_{G_1/H_1}^{T_1} * \dots * \Delta_{G_n/H_n}^{T_n} * S^{n-2}$$

by induction for compact Lie groups  $H_i < G_i$  and maximal tori  $T_i$  as above.

**Lemma 2.2.10.** Let G/H be a compact homogeneous space, P a generic notation for  $P_{G/H}$ ,  $P_{G/H}$  or  $P_{G/H}^T$ . Then,  $\hat{P} := P \dot{\cup} \{\mathfrak{g}, \mathfrak{h}\}$  is a lattice.

*Proof.*  $\mathfrak{h}$  is the bottom element of  $\hat{P}$  and  $\mathfrak{g}$  is the top element pf  $\hat{P}$ . For  $\mathfrak{k}_1, \mathfrak{k}_2 \in P$ , there exists a least upper bound  $\langle \mathfrak{k}_1, \mathfrak{k}_2 \rangle$  in  $\hat{P}$  and a greatest lower bound  $\mathfrak{k}_1 \cap \mathfrak{k}_2$  in  $\hat{P}$ .

#### 2.3 The simplicial complex theorems for invariant Einstein metrics

In [Bö04], studying the problem of existence or non-existence of Einstein invariant metrics on compact homogeneous spaces, it was proved the following theorem, that is the central result of this work:

**Theorem 2.3.1.** [Bö04, p. 156] Let G/H be a compact homogeneous space. If a simplicial complex  $\Delta_{G/H}^T$  is not contractible, then G/H admits a G-invariant Eintein metric.

And

**Theorem 2.3.2.** [Bö04, p. 87] Let G/H be a compact homogeneous space, such that  $\mathfrak{n}(\mathfrak{h}) = \mathfrak{h}$ . If the simplicial complex  $\Delta_{G/H}^{min}$  is not contractible, then G/H admits a G-invariant Einstein metric. And, if  $\Delta_{G/H}$  is well-defined, then  $\Delta_{G/H}$  being not contractible also implies that G/H admits a G-invariant Einstein metric.

The second part of the corollary above comes from the fact that, if  $\Delta_{G/H}$  is well-defined, then  $\Delta_{G/H}^{min}$  and  $\Delta_{G/H}$  are homotopic equivalent, which will be proved in the section [2.4.6].

We will give a brief outline of the mains steps for the proof of both theorems above in this section based on [Bö04] and [BK23].

Let  $\mathcal{M}_1^G$  be the space of G-invariant, unit volume metrics on G/H. Fix Q a Ad(G)-invariant inner product in  $\mathfrak{g}$  with volume 1 after rescaling. Let  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}$  be the Ad(H)-invariant decomposition of  $\mathfrak{g}$  with  $Q(\mathfrak{h},\mathfrak{m})=0$ . The set of G-invariant metric on G/H, denoted by  $\mathcal{M}^G$ , can be identified with the set of Ad(H)-invariant inner products on  $\mathfrak{m}$ . Furthermore, for every  $g \in \mathcal{M}^G$ , there exists  $\alpha_g$  an Ad(H)-equivariant, Q-self-adjoint and positive definite endomorphism of  $\mathfrak{m}$ . Then, we identify g with  $\alpha_g$  and  $\mathcal{M}_G$  will be thought as the space of Ad(H)-equivariant, Q-self-adjoint and positive definite endomorphism of  $\mathfrak{m}$  and it is a finite dimensional manifold.

In  $\mathcal{M}^G$ , we can define  $L^2$ -metric, denoted by  $\langle \cdot, \cdot \rangle$ , given by

$$\langle \varphi, \psi \rangle_{\alpha_g} = tr(\alpha_g^{-1} \varphi \alpha_g^{-1} \psi)$$

for  $\alpha_g \in \mathcal{M}^G$  and  $\varphi, \psi \in T_{\alpha_g} \mathcal{M}^G$  which is the space of Ad(H)-equivariant, Q-self-adjoint endomorphism of  $\mathfrak{m}$ .

**Lemma 2.3.3.** Let G/H be a compact homogeneous space. Then,  $(\mathcal{M}^G, L^2)$  is a non-compact symmetric space.

Corollary 2.3.4. Let G/H be a compact homogeneous space with dim  $\mathcal{M}^G \geq 2$ . Then,  $\mathcal{M}_1^G$  is the subspace of Ad(H)-equivariant, Q-self-adjoint endomorphism of  $\mathfrak{m}$  with determinant 1 and  $(\mathcal{M}^G, L^2)$  is a non-compact symmetric space.

Corollary 2.3.5. Let G/H be a compact homogeneous space with dim  $\mathcal{M}^G \geq 2$ . Then, for any  $v \in S := \{v \in T_Q \mathcal{M}_1^G \mid ||v|| = 1\}$ , the curve

$$\gamma_v(t) = \exp(t \cdot v), \ t \in \mathbb{R}$$
 (2.3.1)

is a unit speed geodesic in  $(\mathcal{M}_1^G, L^2)$ , where denotes exp the exponential of linear operators.

Proof. See [Hel78, p. 226]. 
$$\Box$$

**Lemma 2.3.6.** For any  $v \in T_Q \mathcal{M}^G$ , there exists a Q-orthogonal decomposition  $\mathfrak{m} = \bigoplus_{i=1}^l \mathfrak{m}_i$  of into irreducible, Ad(H)-invariant summands  $\mathfrak{m}_i$  and  $v_i \in \mathbb{R}$  for  $i = 1, \dots, l$ , such that

$$v = v_1 \cdot Id_{\mathfrak{m}_1} + \dots + v_l \cdot Id_{\mathfrak{m}_l} \tag{2.3.2}$$

Any such decomposition will be called a good decomposition with respect to v.

*Proof.* The eigenspace of v are Ad(H)-invariant and pairwise Q-orthogonal. Decomposing an eigenspace further into Q-orthogonal Ad(H)-irreducible summands shows the claim.  $\square$ 

By last Lemma, for each  $\alpha_g \in \mathcal{M}_1^G$ , there exists  $v \in S$  unity sphere in  $T_Q \mathcal{M}_1^G$  and  $t_0 \geq 0$  such that  $\alpha_g = \gamma_v(t_0)$ . By above lemma,

$$\gamma_v(t) = e^{tv_1} \cdot Id_{\mathfrak{m}_1} + \ldots + e^{tv_l} \cdot Id_{\mathfrak{m}_l}$$
(2.3.3)

for the good decomposition  $\mathfrak{m} = \bigoplus_{i=1}^{l} \mathfrak{m}_{i}$ .

Let  $v \in T_Q \mathcal{M}^G$  and the good decomposition for v from above. We denote by

$$\hat{v}_1 < \ldots < \hat{v}_{l_n} \tag{2.3.4}$$

the distinct eigenvalues of v ordered by size,  $1 \le l_v \le l$ . For each eigenvalue,  $1 \le m \le l_v$ , we define the index set (which depends on our choice of good decomposition)

$$I_m^v := \{ i \in \{1, \dots, l\} \mid v_i = \hat{v}_m \}$$
 (2.3.5)

Let

$$\lambda(v) = \hat{v}_1 \quad \text{and} \quad \Lambda(v) = \hat{v}_{l_v}$$
 (2.3.6)

denote the smallest and the largest eigenvalues of v, respectively.

So we have

**Lemma 2.3.7.** Let G/H be a compact homogeneous space. Any  $v \in S$  must have at least 2 distinct eingenvalues and there exists a constant  $c_{G/H} < 0$  such that the following holds:

$$\lambda(v) \le c_{G/H} \quad and \quad -c_{G/H} \le \Lambda(v)$$
 (2.3.7)

Let  $\mathfrak{m} = \bigoplus_{i=1}^{l} \mathfrak{m}_i$  be a decomposition of  $\mathfrak{m}$  into Q-orthogornal, Ad(H)-irreducible summands, that will be called a decomposition of  $\mathfrak{m}$ , for any non-empty subset I of  $\{1,\ldots,l\}$ , we define

$$\mathfrak{m}_I := \bigoplus_{i \in I} \mathfrak{m}_i \quad \text{and} \quad d_I := \dim \mathfrak{m}_I$$
 (2.3.8)

For  $v \in T_Q \mathcal{M}_1^G$  and a good decomposition for v, the spaces  $\mathfrak{m}_{I_m^v}$  are the eigenspaces of v, thus we have

$$\gamma_v(t) = e^{t\hat{v_1}} \cdot Id_{\mathfrak{m}_{I_1^v}} + \dots e^{t\hat{v_{l_v}}} \cdot Id_{m_{I_{l_v}^v}}$$
(2.3.9)

Let  $\mathfrak{m} = \bigoplus_{i=1}^{l} \mathfrak{m}_i$  be a fixed decomposition of  $\mathfrak{m}$ , let  $\{e_1, \ldots, e_n\}$  be a Q-orthonormal basis of  $\mathfrak{m}$  adapted to the decomposition and I, J, K be non-empty subsets of  $\{1, \ldots, l\}$ . We define, following [WZ86],

$$[IJK] := \sum_{\alpha,\beta,\gamma} Q([e_{\alpha}, e_{\beta}], e_{\gamma})^{2}$$

where we sum over all indices  $\alpha, \beta, \gamma \in \{1, \dots, n\}$  with  $e_{\alpha} \in \mathfrak{m}_{I}, e_{\beta} \in \mathfrak{m}_{J}$  and  $e_{\gamma} \in \mathfrak{m}_{K}$ .

Since the adjoint maps ad(X) are Q-skew-adjoint  $\forall X \in \mathfrak{g}$ , it follows that [IJK] is symmetric in all three entries and is independent of the Q-orthonormal bases chosen for  $\mathfrak{m}_I, \mathfrak{m}_J$  and  $\mathfrak{m}_K$ . In case,  $I = \{i\}, J = \{j\}$  and  $K = \{k\}$ , we write [ijk] instead of [IJK].

We have that  $[ijk] \ge 0$ , with [ijk] = 0 if, and only if,  $Q([\mathfrak{m}_i, \mathfrak{m}_j], \mathfrak{m}_k) = 0$ .

**Definition 2.3.8.** [Hel78, p. 131] The Ad(G)-invariant symmetric bilinear form  $B: \mathfrak{g} \times \mathfrak{g} \to \mathbb{R}$  given by  $B(X,Y) = tr(ad(X) \circ ad(Y))$  for all  $X,Y \in \mathfrak{g}$  is called the Cartan-Killing form of  $\mathfrak{g}$ . Observe that this definition generalises to Lie algebras over any field.

Since both Q and the -B are Ad(G)-invariant non-negative forms on  $\mathfrak{g}$ , there exists  $b_i \geq 0$  for  $1 \leq i \leq l$  such that

$$B|_{\mathfrak{m}_{i}} = -b_{i} \cdot Q|_{\mathfrak{m}_{i}} \tag{2.3.10}$$

**Lemma 2.3.9.** Let  $v \in T_Q \mathcal{M}^G$  and let  $\mathfrak{m} = \bigoplus_{i=1}^l \mathfrak{m}_i$  be a good decomposition with respect to v. Then, the scalar curvature of  $\gamma_v(t)$  is given by

$$sc(\gamma_v(t)) = \frac{1}{2} \sum_{i=1}^{l} d_i b_i \cdot e^{t(-v_i)} - \frac{1}{4} \sum_{i,j,k=1}^{l} [ijk] \cdot e^{t(v_i - v_j - v_k)}$$
(2.3.11)

$$= \frac{1}{2} \sum_{i=1}^{l_v} \left( \sum_{j \in I_i^v} d_j b_j \right) \cdot e^{t(-\hat{v}_i)} - \frac{1}{4} \sum_{i,j,k=1}^{l_v} [I_i^v I_j^v I_k^v] \cdot e^{t(v_i - v_j - v_k)}$$
(2.3.12)

**Definition 2.3.10.** For each H-subalgebra  $\mathfrak{k}$ , the canonical direction  $v^{\mathfrak{k}} \in S$  associated to  $\mathfrak{k}$  is defined by

$$v^{\mathfrak{k}} := v_1^{\mathfrak{k}} \cdot Id_{\mathfrak{m}_{\mathfrak{k}}} + v_2^{\mathfrak{k}} \cdot Id_{\mathfrak{m}_{\mathfrak{k}}^{\perp}} \tag{2.3.13}$$

where  $\perp$  means orthogonal complement with respect to Q,  $(\dim \mathfrak{m}_{\mathfrak{k}}) \cdot v_1^{\mathfrak{k}} + (\dim \mathfrak{m}_{\mathfrak{k}}^{\perp}) \cdot v_2^{\mathfrak{k}} = 0$ ,  $||v^{\mathfrak{k}}|| = 1$  and  $v_1^{\mathfrak{k}} < v_2^{\mathfrak{k}}$ .

With Lemma 2.3.9 we can have information on the asymptotic behaviour of the scalar curvature functional:

Lemma 2.3.11. For any H-subalgebra \$\psi\$,

$$\lim_{t \to +\infty} sc(\gamma_{v^{\mathfrak{k}}}(t)) = \begin{cases} +\infty \\ 0 \end{cases} \iff \begin{cases} \mathfrak{k} \text{ non-toral} \\ \mathfrak{k} \text{ toral} \end{cases}$$
 (2.3.14)

If in addition G/H is not a torus, then  $sc(\gamma_{v^{\mathfrak{k}}}(t)) > 0$  for all  $t \geq 0$ .

Now, the results about the boundness of the curvature scalar curvature.

**Lemma 2.3.12.** If the scalar curvature functional is bounded from below along a geodesic  $\gamma_v$  for  $v \in S$ , that is,  $sc(\gamma_v(t)) \geq C$  for all  $t \geq 0$ , then  $\mathfrak{h} \oplus \mathfrak{m}_{I_i^v}$  is an H-subalgebra.

**Theorem 2.3.13.** Let G/H be a compact homogeneous space. Then, the scalar curvature functional  $sc: \mathcal{M}_1^G \to \mathbb{R}$  is bounded from above if, and only if, there exist no non-toral H-subalgebras.

Using theorems like the above one and variational methods, if  $\mathfrak{m}_0 = \{0\}$ , the non-contrability of  $\Delta_{G/H}^{min}$  implies the existence of a Palais-Smale sequence of G-invariant metrics of volume one with scalar curvature bounded from below by a positive constant, see [Bö04, p. 156]. A sequence  $(g_i)$  in  $\mathcal{M}_1^G$  is called a Palais-Smale sequence if  $sc(g_i)$  is bounded and  $||(grad\ sc)_{g_i}||$  converges to 0 in the norm induced by the  $L^2$ -metric.

Using this Palais-Smale sequence and the fact that a metric in  $\mathcal{M}_1^G$  are Einstein if, and only if, it is a critical point of  $sc: \mathcal{M}_1^G \to \mathbb{R}$  [0.0.2], we obtain theorem 2.3.2.

In the case  $\mathfrak{m}_0 \neq \{0\}$ , like before, T is the maximal torus of the compact Lie subgroup associated with  $\mathfrak{m}_0$  and we define  $(\mathcal{M}_1^G)^T := \mathcal{M}_1^G \cap \{g \in \mathcal{M}_1^{G_0} \mid g = (R_t)_* g$  for all  $t \in T\}$  where  $R_g$  is the right translation by  $g \in G$  and  $G_0$  is the connected component of G.

**Lemma 2.3.14.** Let G/H be a compact homogeneous space. Then,  $(\mathcal{M}_1^G)^T$  is a totally geodesic subspace of  $(\mathcal{M}_1^G, L^2)$  invariant under the Ricci flow.

As a consequences we can also apply the variational methods described above to  $sc: (\mathcal{M}_1^G)^T \to \mathbb{R}$  and obtain Theorem 2.3.1.

## 2.4 Algebraic topology of order complexes

In order to apply the Theorem 2.3.2 and 2.3.1, several tools will be introduced to determine whether the complex  $\Delta_{G/H}$  is contractible or not. Of course, these tools will be used in examples of chapter 4.

By [Mau96, p. 274], the polyhedron of a simplicial complex is a CW-complex(for a definition see [Hat02, p. 6]). Thus, we can apply the theorem of Whitehead for homotopy groups to simplicial complexes.

We use that a CW-complex is connected if, and only if, is path connected. If X is path connected and  $x_0 \in X$ , then the k-homotopy group  $\pi_k(X, x_0)$  does not depend on the basepoint  $x_0$  up to isomorphism, so we write just  $\pi_k(X)$ .

**Theorem 2.4.1.** (Theorem of Whitehead)[Hat02, p. 346] Let X, Y be non-empty connected CW-complexes and let  $f: X \to Y$  be a weak homotopy equivalence, i.e. f is continuous and  $f_*: \pi_n(X) \to \pi_n(Y)$  is an isomorphism for all  $n \in \mathbb{N}$ . Then f is a homotopy equivalence. If, in addition, X is a subcomplex of Y and  $f: X \hookrightarrow Y$  is the inclusion map, then X is a strong deformation retract of Y.

Corollary 2.4.2. Let X be a CW-complex. If X is simply connected and  $\tilde{H}_*(X) = 0$ , then X is contractible.

*Proof.* X is a non-empty connected CW-complex by assumption. By Theorem 2.4.1, it is enough to prove that for any vertex  $x_0$  of X the inclusion  $\iota: \{x_0\} \to X$  is a weak homotopy equivalence, which is equivalent to prove that  $\pi_n(X) = 0$  for all  $n \ge 1$ .

We prove this by induction. For n = 1, we have by hypothesis that  $\pi_1(X) = 0$ . Now, suppose  $n \geq 2$  and  $\pi_k(X) = 0$  for all  $1 \leq k \leq n - 1$ , which are the hypothesis to the theorem of Hurewicz, see [Hat02, p. 369], that implies that  $\pi_n(X) \cong \tilde{H}_n(X) = 0$ .

Now, using the corollary above and the Mayer-Vietoris, we prove that the union of contractible complexes is contractible, if the intersection of the complexes is contractible.

**Lemma 2.4.3.** [Rau16, p. 16] Let  $\Delta_1, \Delta_2$  be contractible subcomplexes of a simplicial complex  $\Delta$  such that  $\Delta_1 \cap \Delta_2$  is contractible. Then  $\Delta_1 \cup \Delta_2$  is also contractible.

Proof.  $\Delta_1 \cap \Delta_2$  is non-empty by assumption. So, let  $v \in \Delta_1 \cap \Delta_2$  be any vertex. Since  $\Delta_1, \Delta_2$  and  $\Delta_1 \cap \Delta_2$  are path-connected and since  $\pi_1(\Delta_1, v) = \pi_1(\Delta_2, v) = 0$ , it follows  $\pi_1(\Delta_1 \cup \Delta_2, v) = 0$  by van Kampen's theorem, see [Hat02, Theo. 1.20]. By Corollary 2.4, it remains to prove that  $\tilde{H}_*(\Delta_1 \cup \Delta_2, \mathbb{Z}) = 0$ . This follows from the Mayer-Vietoris sequence for reduced homology, see 1.3.15. More precisely, for all  $n \in \mathbb{Z}$  there is an exact sequence:

$$\tilde{H}_n(\Delta_1, \mathbb{Z}) \oplus \tilde{H}_n(\Delta_2, \mathbb{Z}) \xrightarrow{j_*} \tilde{H}_n(\Delta_1 \cup \Delta_2, \mathbb{Z}) \xrightarrow{\partial_*} \tilde{H}_{n-1}(\Delta_1 \cap \Delta_2, \mathbb{Z})$$

But  $\tilde{H}_n(\Delta_1, \mathbb{Z}) \oplus \tilde{H}_n(\Delta_2, \mathbb{Z}) = 0$  and  $\tilde{H}_{n-1}(\Delta_1 \cap \Delta_2, \mathbb{Z}) = 0$ . It follows  $\tilde{H}_n(\Delta_1 \cup \Delta_2, \mathbb{Z}) = 0$  for all  $n \in \mathbb{Z}$  and  $\Delta_1 \cup \Delta_2$  is contractible.

Corollary 2.4.4. [Rau16, p. 16] Let  $n \in \mathbb{N}$ ,  $n \geq 2$  and let  $\Delta$  be a simplicial complex with subcomplexes  $\Delta_1, \ldots, \Delta_n$  such that

$$\Delta = \bigcup_{i=1}^{n} \Delta_i$$

If  $\Delta_{i_1} \cap ... \cap \Delta_{i_l}$  is contractible for all non-empty subsets  $\{i_1, ..., i_l\} \subseteq \{1, ..., n\}$ , then  $\Delta$  is contractible.

*Proof.* If n=2, then  $\Delta_1, \Delta_2$  and  $\Delta_1 \cap \Delta_2$  are contractible by assumption. Hence,  $\Delta_1 \cup \Delta_2$  is contractible by Lemma 2.4.3. Now, let  $n \geq 3$  and let the claim be true for all  $n' \in \{2, \ldots, n-1\}$ . In particular,  $\bigcup_{i=1}^{n-1} \Delta_i$  is contractible. By Lemma 2.4.3, it remains to prove that

$$\Gamma := \left(\bigcup_{i=1}^{n-1} \Delta_i\right) \cap \Delta_n = \bigcup_{i=1}^{n-1} \Delta_i \cap \Delta_n$$

is contractible. For  $i \in \{1, \ldots, n-1\}$  let  $\Gamma_i := \Delta_i \cap \Delta_n$ . Then  $\Gamma = \bigcup_{i=1}^{n-1} \Gamma_i$  and by assumption  $\Gamma_{i_1} \cap \ldots \cap \Gamma_{i_l} = \Delta_{i_1} \cap \ldots \cap \Delta_{i_l} \cap \Delta_n$  is contractible for all non-empty subsets  $\{i_1, \ldots, i_l\} \subseteq \{1, \ldots, n-1\}$ . Hence, by the induction hypothesis,  $\Gamma$  is contractible. This proves the claim.

Our first result about the homology type of simplicial complexes associated to homogeneous spaces is that, whenever  $P_{G/H}$  is finite, then  $\Delta_{G/H}^{min}$  is homotopic equivalent to  $\Delta_{G/H}$ . Moreover,  $\Delta_{G/H}$  is a strong deformation retract of  $\Delta_{G/H}$ . To prove this we need the following technical lemma:

**Lemma 2.4.5.** [Wal81, p. 375] Let P, Q be finite posets and let  $f: P \to Q$  be a monotonic map. Suppose that either  $\Delta(f^{-1}(Q_{\leq q}))$  is a contractible subcomplex of  $\Delta(P)$  for all  $q \in Q$  or  $\Delta(f^{-1}(Q_{\geq q}))$  is a contractible subcomplex for all  $q \in Q$ . Then

$$||f||:||P||\longrightarrow ||Q||$$

is a homotopy equivalence.

**Theorem 2.4.6.** [Bö04, p. 153] Let G/H be a compact homogeneous space such that  $\mathfrak{n}(\mathfrak{h}) = \mathfrak{h}$  and  $P_{G/H}$  is finite. Then  $\Delta_{G/H}^{\min}$  is a strong deformation retract of  $\Delta_{G/H}$ .

*Proof.* Let  $P^{\min} := P_{G/H}^{\min}$  and  $P := P_{G/H}$ . Consider the inclusion map

$$\iota: P^{\min} \hookrightarrow P$$

 $\iota$  is monotonic by defintion. We have that for each  $\mathfrak{k} \in P$ , the set  $||\iota^{-1}(P_{\leq \mathfrak{k}})||$  is contractible: if  $\mathfrak{l} \in P^{\min}$ , then  $\mathfrak{l} \leq \mathfrak{k}$  if and only if  $\mathfrak{l}$  is generated by minimal H-subalgebras which are all contained in  $\mathfrak{k}$ . Then, for any  $\mathfrak{k} \in P$ , define  $\mathfrak{a} := \langle \mathfrak{l}_1, \ldots, \mathfrak{l}_s \rangle$ , where  $\mathfrak{l}_1, \ldots, \mathfrak{l}_s$  are all minimal H-subalgebras contained in  $\mathfrak{k}$ , and we have that

$$\iota^{-1}\left(P_{\leq \mathfrak{k}}\right) = P_{\leq \mathfrak{a}}.$$

Hence,  $\Delta\left(\iota^{-1}\left(P_{\leq \mathfrak{k}}\right)\right) = \Delta\left(P_{\leq \min(\mathfrak{k})}^{\min}\right)$  is a cone over  $\mathfrak{a}$  by 2.1.3. Thus, by Lemma 2.4.5,  $\|\iota\|: ||\Delta_{G/H}^{\min}|| \to ||\Delta_{G/H}||$  is a homotopy equivalence. The theorem of Whitehead 2.4.1 implies that  $\Delta_{G/H}^{\min}$  is a strong deformation retract of  $\Delta_{G/H}$ .

Now, we need a result about a method to obtain that certain order complexes are contractible.

**Definition 2.4.7.** Let P be a finite poset such that  $\hat{P} := P \dot{\cup} \{\hat{0}, \hat{1}\}$  is a lattice. For  $p \in P$ , the complement of p is defined as

$$c(p) := \{ x \in P \mid x \land p = \hat{0} \text{ and } x \lor p = \hat{1} \}$$

**Theorem 2.4.8.** [Bjö96, p. 1852] Let P be a finite poset such that  $\hat{P} = P \dot{\cup} \{\hat{0}, \hat{1}\}$  is a lattice. For a fixed  $p \in P$  let  $Q := P \backslash c(p)$ . Then  $\Delta(Q)$  is contractible.

When we apply this theorem to the simplicial complexes associated to a homogeneous space, we obtain the result used in this work to prove the some simplicial complexes are contractible.

Corollary 2.4.9. Let P be a generic notation for  $P_{G/H}^{min}$ ,  $P_{G/H}$  or  $P_{G/H}^{T}$  and  $\Delta$  for the correspondents order complexes. Given  $\mathfrak{k} \in P$ , if there is no  $\mathfrak{l} \in P$  such that  $\langle \mathfrak{k}, \mathfrak{l} \rangle = \mathfrak{g}$  or  $\mathfrak{k} \cap \mathfrak{l} = \mathfrak{h}$ , then  $\Delta$  is contractible.

*Proof.* The hypothesis is equivalent to  $c(\mathfrak{k}) = \emptyset$  for  $\hat{P} = P \cup \{\mathfrak{h}, \mathfrak{g}\}$ , then apply the last theorem.

#### 2.4.1 Rauße's theorem

In this subsection, we prove the main theorem used in this work to show that some simplicial complexes associated to homogeneous spaces are non-contractible. In the case of H < G compact connected Lie groups with  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$  and  $P_{G/H}$  finite, item 3 implies that for every K compact connected intermediary Lie group H < K < G

with  $\mathfrak{k} := Lie(K)$  we have  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{k}) = \mathfrak{k}$  and  $\Delta_{G/K}$  is a subcomplex of  $\Delta_{G/H}$ . In this case, the theorem describes how a non-zero homology class  $[\theta_{\text{new}}] \in \tilde{H}_m\left(\Delta_{G/H}, R\right) \setminus \{0\}$  can be constructed if a non-zero homology class  $[\theta] \in \tilde{H}_{m-1}\left(\Delta_{G/K}, R\right) \setminus \{0\}$  is given, where  $m \in \mathbb{N}$ , H < K < G, with H maximal in K and R is a commutative unitary ring. Since this theorem is proved using induction, we need to describe when  $\tilde{H}_0\left(\Delta_{G/H}, R\right)$  is non-zero:

**Lemma 2.4.10.** Let H < G and R as above. Assume that there exists a maximal H-subalgebra  $\mathfrak{k}_0$  of  $\mathfrak{g}$  such that  $\mathfrak{h}$  is maximal in  $\mathfrak{k}_0$ . Then  $\tilde{H}_0\left(\Delta_{G/H},R\right) \neq 0$  if and only if there exists another H-subalgebra  $\mathfrak{k}_1 \neq \mathfrak{k}_0$ .

Proof. The conditions of  $\mathfrak{h}$  being maximal in  $\mathfrak{k}_0$  and  $\mathfrak{k}_0$  being maximal in  $\mathfrak{g}$  imply that  $\mathfrak{k}_0$  is a maximal simplex, i.e. a facet, of  $\Delta_{G/H}$ . Hence, the existence of another vertex  $\mathfrak{k}_1$  is equivalent to  $\Delta_{G/H}$  being disconnected, since  $\mathfrak{k}_0 - \mathfrak{k}_1$  is a 0-cycle with  $[\mathfrak{k}_0 - \mathfrak{k}_1] \in \tilde{H}_0(\Delta_{G/H}, R) \setminus \{0\}$ .  $\square$ 

The following definitions are required for the theorems of this subsection:

**Definition 2.4.11.** [Kah09, p. 12] Let  $\Delta$  be a simplicial complex, R a commutative unitary ring and  $\theta = \sum_{i=1}^{N} r_i \cdot [v_0^i, \dots, v_n^i] \in C_n(\Delta) \otimes R$  an n-chain with coefficients in R with  $r_i \in R \setminus \{0\}$  for  $1 \le i \le N$ . We define the support of  $\theta$  by

$$\operatorname{supp}(\theta) := \{ \{v_0^1, \dots, v_n^1\}, \dots, \{v_0^N, \dots, v_n^N\} \}$$

i.e. the set of all n-simplices whose coset is a non-zero summand of  $\theta$ . If one of the elements of supp $(\theta)$  is a facet of  $\Delta$ , then we say that  $\theta$  is supported by a facet.

The vertex set of  $\theta$ , or 0-skeleton of  $\theta$ ,

$$vsupp(\theta) := \bigcup_{i=1}^{N} \left\{ v_0^i, \dots, v_n^i \right\}$$

is also called the vertex support of  $\theta$ .

Let P be a finite poset and  $\Delta := \Delta(P)$  be its order complex. A subset  $L \subseteq P$  is called a lower bound set of  $\theta$ , if there exists an  $l \in L$  such that  $l \leq v$  for all vertices  $v \in \text{vsupp}(\theta)$ .

**Theorem 2.4.12.** [Rau16, p. 26] Let H < G be compact connected Lie groups such that  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$  and  $P_{G/H}$  is finite and let R be a commutative unitary ring. Furthermore, let  $m \in \mathbb{N}, \mathfrak{k}_0$  a minimal H-subalgebra with  $K_0$  as the corresponding connected Lie subgroup of G and  $[\theta] \in \tilde{H}_{m-1}(\Delta_{G/K_0}, R) \setminus \{0\}$  a non-zero homology class with a representative  $\theta$  such that the following holds:

Given a lower bound set  $\{\mathfrak{l}_1,\ldots,\mathfrak{l}_N\}$  of  $\theta$  in  $P_{G/K_0}$ , there exist H-subalgebras  $\mathfrak{k}_1,\ldots,\mathfrak{k}_N$ , not necessarily distinct, with the following properties:

$$\mathfrak{k}_0 \neq \mathfrak{k}_i \qquad \forall i \in \{1, \dots, N\}; \tag{2.4.1}$$

$$\mathfrak{l}_i \ge \mathfrak{k}_i \qquad \forall i \in \{1, \dots, N\}; \tag{2.4.2}$$

$$\langle \mathfrak{k}_1, \dots, \mathfrak{k}_N \rangle < \mathfrak{g}$$
 (2.4.3)

and  $\theta$  is supported by a facet of  $\Delta_{G/K_0}$ . Then

$$\tilde{H}_m(\Delta_{G/H}, R) \neq 0$$

More precisely, there exists an m-cycle  $\theta_{new}$  with  $[\theta_{new}] \in \tilde{H}_m(\Delta_{G/H}, R) \setminus \{0\}$ , in which  $\theta_{new}$  is supported by a facet of  $\Delta_{G/H}$  and a lower bound set of  $\theta_{new}$  is given by  $\{\mathfrak{k}_0, \ldots, \mathfrak{k}_N\}$ .

*Proof.* Let  $\mathfrak{k}_1, \ldots, \mathfrak{k}_N$  be H-subalgebras satisfying properties 2.4.2, 2.4.3 and 2.4.4. For any simplex  $\sigma = (\mathfrak{k}_0^{\sigma} < \ldots < \mathfrak{k}_{\dim \sigma}^{\sigma}) \in \Delta_{G/H}$ , we denote its minimal element by  $\mathfrak{k}_{\sigma_{\min}} := \mathfrak{k}_0^{\sigma}$ . For  $l \in \{0, \ldots, N\}$ , define

$$C_l := \left\{ \sigma \in \Delta_{G/H} \mid \mathfrak{k}_{\sigma_{\min}} \ge \mathfrak{k}_l \right\} \text{ and}$$

$$D := \bigcup_{l=1}^N C_l$$

The  $C_l$ 's are contractible since they are cones over the  $\mathfrak{t}_l$ 's by 2.1.3.

Since  $\langle \mathfrak{k}_1, \dots, \mathfrak{k}_N \rangle < \mathfrak{g}$  by 2.4.4, we have that  $\langle \mathfrak{k}_{i_1}, \dots, \mathfrak{k}_{i_s} \rangle$  is an *H*-subalgebra for every non-empty subset  $\{i_1, \dots, i_s\} \subseteq \{1, \dots, N\}$ . Thus,

$$C_{i_1} \cap \ldots \cap C_{i_s} = \left\{ \sigma \in \Delta_{G/H} \mid \mathfrak{k}_{\sigma_{\min}} \ge \langle \mathfrak{k}_{i_1}, \ldots, \mathfrak{k}_{i_s} \rangle \right\}$$

is also a cone, hence contractible.

By Corollary 2.4.4, we have that D is contractible. Thus,  $\tilde{H}_k(C_0, R) \oplus \tilde{H}_k(D, R) = 0$  for all  $k \in \mathbb{Z}$ . Moreover,  $C_0 \cap D \neq \emptyset$ :  $\mathfrak{t}_i > \mathfrak{k}_0$ , then  $\mathfrak{t}_i \in C_0 \cap D$  for all  $i \in \{1, \ldots, N\}$ . Therefore, the Mayer-Vietoris sequence for reduced homology of the triple  $(C_0 \cup D; C_0, D)$ , 1.3.15, yields the following exact sequence for  $k \in \mathbb{Z}$ :

$$0 \longrightarrow \tilde{H}_k\left(C_0 \cup D, R\right) \xrightarrow{\partial_*} \tilde{H}_{k-1}\left(C_0 \cap D, R\right) \longrightarrow 0$$

Hence, the exactness of the sequence gives that the homomorphism  $\partial_*$ :  $\tilde{H}_m(C_0 \cup D, R) \to \tilde{H}_{m-1}(C_0 \cap D, R)$ , whose existence is given by 1.3.15, is an isomorphism.

The central idea of this proof is to use the isomorphism  $\partial_*$  to lift the homology class  $[\theta]$  using  $\partial_*$ . To do this, we first need to show that  $[\theta] \in \tilde{H}_{m-1}(C_0 \cap D, R) \setminus \{0\}$ .

Let  $\sigma \in \text{supp}(\theta)$ , so  $\mathfrak{k}_{\sigma_{\min}} \in \text{vsupp}(\theta)$ . By 2.4.3,  $\mathfrak{k}_{\sigma_{\min}} \geq \mathfrak{l}_i \geq \mathfrak{k}_i$  for some  $i \in \{1, \ldots, N\}$ , it follows  $\sigma \in C_i \subseteq D$ . Furthermore,  $\sigma \in \Delta_{G/K_0} \subseteq C_0$ . Thus,  $\text{supp}(\theta) \subseteq C_0 \cap D$  and  $\theta$  represents an element  $[\theta] \in \tilde{H}_{m-1}(C_0 \cap D, R)$ . It remains to show that  $[\theta] \neq 0$ .

Every vertex  $\mathfrak{l} \in C_0 \cap D$  satisfies  $\mathfrak{l} \geq \langle \mathfrak{k}_0, \mathfrak{k}_i \rangle$  for some  $i \in \{1, \ldots, N\}$ . By 2.4.2,  $\mathfrak{k}_0 \neq \mathfrak{k}_i \ \forall i \in \{1, \ldots, N\}$ , so  $\mathfrak{l} > \mathfrak{k}_0$ . Hence,  $C_0 \cap D \subseteq \Delta_{G/K_0}$ . Now,  $\theta$  is a facet of  $\Delta_{G/H}$  which implies it is not a boundary of  $\Delta_{G/H}$ , so it is also not a boundary of  $C_0 \cap D$ . Therefore,  $[\theta] \in \tilde{H}_{m-1}(C_0 \cap D, R) \setminus \{0\}$ .

With this information, we can lift the homology class. Let

$$[\theta'] := \partial_*^{-1}([\theta]) \in \tilde{H}_m(C_0 \cup D, R) \setminus \{0\}$$

where  $\theta'$  is a fixed representative. By the definition of  $\partial_*$ , see [Mun18, p. 137],  $\theta'$  can be constructed the following way:

 $\theta$  is a boundary of  $C_0$  and D, since  $\tilde{H}_{m-1}(C_0, R) = 0$  and  $\tilde{H}_{m-1}(D, R) = 0$ . So, there are m-chains  $\tau_1$  of  $C_0$  and  $\tau_2$  of D, such that  $\partial(\tau_1) = \theta, \partial(\tau_2) = -\theta$ . Then

$$\theta' := \tau_1 + \tau_2$$

is an *m*-cycle of  $C_0 \cup D$  such  $\partial_*([\theta']) = [\theta]$ .

We have that  $\theta$  is supported by a facet, so let  $s \in \operatorname{supp}(\theta)$  be a facet of  $\Delta_{G/K_0}$ , i.e.  $s = \{\tilde{\mathfrak{l}}_0 < \ldots < \tilde{\mathfrak{l}}_{m-1}\}$  is a maximal chain of  $K_0$ -subalgebras. Since  $\mathfrak{k}_0$  is a minimal subalgebra over  $\mathfrak{h}$ ,  $t := \{\mathfrak{k}_0 < \tilde{\mathfrak{l}}_0 < \ldots < \tilde{\mathfrak{l}}_{m-1}\}$  is a simplex of  $C_0$  and facet of  $\Delta_{G/H}$ , i.e. a maximal chain of H-subalgebras. t is the only simplex of  $C_0$  such that s is a proper face. Since  $s \in \operatorname{supp}(\theta) = \operatorname{supp}(\partial(\tau_1))$ , we have that  $t \in \operatorname{supp}(\tau_1)$ . On the other hand,  $\mathfrak{k}_0 \neq \mathfrak{k}_i$  given in 2.4.2 and the minimality of  $\mathfrak{k}_0$  imply  $\mathfrak{k}_0 \not > \mathfrak{k}_i$  for  $i \in \{1, \ldots, N\}$ . Hence, t is not a simplex of D, which guarantees that  $t \notin \operatorname{supp}(\tau_2)$  and therefore,  $t \in \operatorname{supp}(\theta')$  since it cannot be cancelled out by the sum  $\theta' := \tau_1 + \tau_2$ .

Now, the inclusion map  $\iota: C_0 \cup D \hookrightarrow \Delta_{G/H}$  induces a homomorphism in the homology groups  $i_*: \tilde{H}_m(C_0 \cup D, R) \to \tilde{H}_m(\Delta_{G/H}, R)$  and we can define

$$[\theta_{\mathrm new}] := i_*([\theta'])$$

with  $\theta_{new}$  being an m-cycle of  $\Delta_{G/H}$  such that  $\operatorname{supp}(\theta_{new}) = \operatorname{supp}(\theta')$ , so  $\operatorname{supp}(\theta_{new})$  contains the facet t. This implies  $\theta_{new}$  cannot be a boundary of  $\Delta_{G/H}$ , so  $[\theta_{new}] \in \tilde{H}_m(\Delta_{G/H}, R) \setminus \{0\}$ .

Moreover, since for  $\mathfrak{k} \in \text{vsupp}(\theta_{\text{new}}) \subseteq C_0 \cap D$  there exists  $i \in \{0, \dots, N\}$  with  $\mathfrak{k} \geq \mathfrak{k}_i$ , we have that a lower bound set of  $\theta_{\text{new}}$  is given by  $\{\mathfrak{k}_0, \dots, \mathfrak{k}_N\}$ .

Last theorem 2.4.12 yields a method to be applied iteratively along maximal chains of H-subalgebras, which is given by the next theorem.

**Theorem 2.4.13.** [Rau16, p. 28] Let H < G be compact connected Lie groups such that  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}, P_{G/H}$  is finite and let  $(\mathfrak{k}_0^0 > \ldots > \mathfrak{k}_N^0)$  be a maximal chain of H-subalgebras for some  $N \in \mathbb{N}$  with associated maximal chain of connected Lie subgroup  $(K_0^0 > \ldots > K_N^0)$  of G. Furthermore, let  $\mathfrak{k}_{N+1}^0 := \mathfrak{h}$  and assume that for each  $m \in \{1, \ldots, N+1\}$  there exist  $K_m^0$ -subalgebras  $\mathfrak{k}_{m-1}^1, \ldots, \mathfrak{k}_{m-1}^m$ , not necessarily distinct, with the following properties:

- 1.  $\mathfrak{k}_0^1 \neq \mathfrak{k}_0^0$
- 2. If  $N \ge 1$ , then for each  $m \in \{1, ..., N\}$  the  $K_{m+1}^0$ -subalgebras  $\mathfrak{t}_m^1, ..., \mathfrak{t}_m^{m+1}$  satisfy the following properties:
- $\mathfrak{k}_m^0 \neq \mathfrak{k}_m^i$   $\forall i \in \{1, \dots, m+1\}$
- $\bullet \ \mathfrak{k}_{m-1}^{i-1} \neq \mathfrak{k}_m^i \qquad \forall i \in \{1,\dots,m+1\}$
- $\langle \mathfrak{k}_m^1, \dots, \mathfrak{k}_m^{m+1} \rangle < \mathfrak{g}$

Then  $\tilde{H}_N(\Delta_{G/H}, R) \neq 0$  for any commutative unitary ring R.

*Proof.* Property 1 implies  $\theta_0 := \mathfrak{k}_0^0 - \mathfrak{k}_0^1 \neq 0$  and  $[\theta_0] \in \tilde{H}_0 \Delta_{G/K_1^0}, R) \setminus \{0\}$  as in the proof of Lemma 2.4.10. It follows that  $\theta_0$  is supported by the facet  $\mathfrak{k}_0^0$  of  $\Delta_{G/K_1^0}$  and  $\{\mathfrak{k}_0^0, \mathfrak{k}_0^1\}$  is a lower bound set for  $\theta_0$ .

Now suppose that for  $m \in \{1, ..., N\}$  there exists an (m-1)-cycle  $\theta_{m-1}$  of  $\Delta_{G/K_m^0}$  with  $[\theta_{m-1}] \in \tilde{H}_{m-1}(\Delta_{G/K_m^0}, R) \setminus \{0\}$ , that  $\theta_{m-1}$  is supported by a facet of  $\Delta_{G/K_m^0}$  and a lower bound set of  $\theta_{m-1}$  is given by  $\{\mathfrak{k}_{m-1}^0, ..., \mathfrak{k}_{m-1}^m\}$ . By assumption, the  $K_{m+1}^0$ -subalgebras  $\mathfrak{k}_m^1, ..., \mathfrak{k}_m^{m+1}$  satisfy the properties hypotheses of Theorem 2.4.12 with respect to  $\theta_{m-1}$ . Hence, Theorem 2.4.12 yields an m-cycle  $\theta_m$  of  $\Delta_{G/K_{m+1}^0}$  such that  $[\theta_m] \in \tilde{H}_m(\Delta_{G/K_{m+1}^0}, R) \setminus \{0\}$ ,  $\theta_m$  is supported by a facet of  $\Delta_{G/K_{m+1}^0}$  and a lower bound set of  $\theta_m^{m+1}$  is given by  $\{\mathfrak{k}_m^0, ..., \mathfrak{k}_m^{m+1}\}$ . By iteration, the case m = N gives a non-trivial homology class of  $\tilde{H}_N(\Delta_{G/H}, R)$ .

# 3 Lie Theory

## 3.1 Root Systems and Subalgebras of Maximal Rank

When we have H < G compact connected,  $\Delta_{G/H}^T$  only depends on the corresponding Lie algebras by Remark 2.2.2. So, if we want to understand the contractibility or not-contractibility of  $\Delta_{G/H}^T$  for G real compact semisimple and H connected of maximal rank, all possibilities of real compact semisimple Lie algebras  $\mathfrak{g}$  will be classified and we will show how to obtain all Lie subalgebras  $\mathfrak{h}$  of maximal rank.

## 3.1.1 Abstract Root Systems

**Definition 3.1.1.** A finite subset  $R \subseteq V \setminus \{0\}$  of a finite-dimensional Euclidean vector space  $(V, \langle \cdot, \cdot \rangle)$  is called a root system if the following conditions hold:

- 1. R spans V.
- 2. If  $\alpha, \beta \in R$  and  $s_{\alpha} : V \to V$  is the orthogonal reflection at  $\alpha$  with respect to  $\langle \cdot, \cdot \rangle$ , then  $s_{\alpha}(\beta) = \beta 2 \frac{\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \alpha \in R$ , i.e., R is invariant by the orthogonal reflections at the roots.
- 3.  $n_{\alpha\beta} := 2 \frac{\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \in \mathbb{Z} \text{ for all } \alpha, \beta \in R.$
- 4. For  $\alpha \in R$ , the only multiples of  $\alpha$  in R are  $-\alpha, \alpha$ .

The elements of R are called roots.

Two root systems  $R \subseteq V$  and  $R' \subseteq V'$  are called isomorphic, denoted by  $R \cong R'$ , if there exists a isomorphism of vector spaces  $\phi : V \to V'$  such that  $\phi(R) = R'$  and  $n_{\phi(\alpha)\phi(\beta)} = n_{\alpha\beta}$  for all  $\alpha, \beta \in R$ .

**Definition 3.1.2.** Let  $R \subseteq V$  be a root system. A subset  $\Lambda = \{\alpha_1, \ldots, \alpha_n\} \subseteq R$  is called a set of simple roots, if the following two conditions hold:

- 1.  $\Lambda$  is a basis of V.
- 2. For each  $\alpha \in R$ , the unique  $\{k_1, \ldots, k_n\} \subseteq \mathbb{R}$  such that

$$\alpha = \sum_{i=1}^{n} k_i \cdot \alpha_i$$

are either all non-negative integers or all non-positive integers.

A root  $\alpha$  is called a positive root (negative root), with respect to  $\Lambda$ , if the coefficients in 2 satisfy  $k_i \geq 0$  ( $k_i \leq 0$ ).

Each root system contains a set of simple roots and the simple roots determine the root system up to isomorphism as the following lemma shows.

**Lemma 3.1.3.** [Hum94, p. 55] Let  $R \subseteq V$  and  $R' \subseteq V'$  be root systems with simple roots  $F = \{\alpha_1, \ldots, \alpha_n\}$  and  $F' = \{\alpha'_1, \ldots, \alpha'_n\}$ . If  $n_{\alpha_i \alpha_j} = n_{\alpha'_i \alpha'_j}$  for all  $1 \le i, j \le n$ , then  $R \cong R'$ .

We want to classify all root systems. For this purpose, let R be a root system and  $\Lambda = \{\alpha_1, \ldots, \alpha_n\}$  be a set of simple roots. Then  $n_{\alpha_i \alpha_j} \cdot n_{\alpha_j \alpha_i} \in \{0, 1, 2, 3\}$  for all  $1 \leq i < j \leq n$ , see [Hum94, p. 44, 45]. The Dynkin diagram of R, denoted by D(R), is the graph with n vertices such that for  $i \neq j$  the i-th and the j-th vertex are connected by  $n_{\alpha_i \alpha_j} \cdot n_{\alpha_j \alpha_i}$  edges, if there are more than two edges, we use an arrow that points to the short root. We observe that D(R) is independent of the choice of  $\Lambda$ .

Two isomorphic root systems have the same Dynkin diagram. Hence, root systems are classified by their Dynkin diagrams. By [Hel78, p. 470], the connected Dynkin diagrams are given by four infinite series  $A_n(n \ge 1)$ ,  $B_n(n \ge 2)$ ,  $C_n(n \ge 3)$ ,  $D_n(n \ge 4)$ , the classical Dynkin diagrams, and five exceptional Dynkin diagrams  $E_6$ ,  $E_7$ ,  $E_8$ ,  $F_4$ ,  $G_2$ .

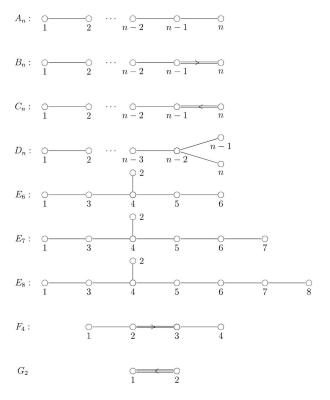


Figure 4 – Dynkin diagrams

### 3.1.2 Root Systems of Semisimple Lie Algebras

Let  $\mathfrak{g}$  be a Lie algebra over  $\mathbb{R}$  or  $\mathbb{C}$  with Cartan-Killing form  $B(X,Y)=tr(\operatorname{ad}_X\circ\operatorname{ad}_Y),\ X,Y\in\mathfrak{g}$  as defined in 2.3.8.  $\mathfrak{g}$  is called semisimple, if its Killing form B is nondegenerate, that is, its ideal  $rad\ B=\{X\in\mathfrak{g}\mid B(X,Y)=0\ \forall Y\in\mathfrak{g}\}$  is equal to zero. Moreover,  $\mathfrak{g}$  is called simple, if  $\mathfrak{g}$  is non-abelian and if it has no non-trivial ideals. Since  $rad\ B$  is an ideal of  $\mathfrak{g}$ , simple Lie algebras are semisimple. A Lie group G is called semisimple (simple), if its Lie algebra is semisimple (simple).

If  $\mathfrak{g}$  is a semisimple Lie algebra, there exists a unique decomposition  $\mathfrak{g} = \mathfrak{g}_1 \oplus \ldots \oplus \mathfrak{g}_s$  where each  $\mathfrak{g}_i$  is an simple ideal of  $\mathfrak{g}$  and these are the only ideals of  $\mathfrak{g}$ , see [Hum94, p. 23].

Let  $\mathfrak{g}$  be a complex semisimple Lie algebra and let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}$ , i.e.  $\mathfrak{h}$  is a maximal abelian subalgebra of  $\mathfrak{g}$  and  $ad_X : \mathfrak{g} \to \mathfrak{g}$  is a semisimple endomorphism for all  $X \in \mathfrak{h}$ . Let  $\alpha \in \mathfrak{h}^*$  and define

$$\mathfrak{g}_{\alpha}:=\{X\in\mathfrak{g}\mid\ [h,X]=\alpha(h)\cdot X\text{ for all }h\in\mathfrak{h}\}$$

 $\alpha$  is called a root of  $\mathfrak{g}$  with respect to  $\mathfrak{h}$ , if  $\alpha \neq 0$  and  $\mathfrak{g}_{\alpha} \neq \{0\}$ . The set of all roots with respect to  $\mathfrak{h}$  is denoted by  $R(\mathfrak{g},\mathfrak{h})$ . Since  $\mathfrak{h}$  is maximal abelian subalgebra of  $\mathfrak{g}$ , we have  $\mathfrak{h} = \mathfrak{g}_0 = \{X \in \mathfrak{g} \mid [h,X] = 0 \ \forall h \in \mathfrak{h}\}$ . Furthermore, the endomorphisms  $\mathrm{ad}_X, X \in \mathfrak{h}$ , are simultaneously diagonalizable, since  $[\mathrm{ad}_X,\mathrm{ad}_Y] = \mathrm{ad}_{[X,Y]} = 0$  for  $X,Y \in \mathfrak{h}$ . Then, we have the called decomposition of roots spaces of  $\mathfrak{g}$ 

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in R(\mathfrak{g}, \mathfrak{h})} \mathfrak{g}_{\alpha} \tag{3.1.1}$$

**Lemma 3.1.4.** [Hel78, p. 166, 170] The restriction of the Killing form to  $\mathfrak{h}, B_{\mathfrak{h} \times \mathfrak{h}}$ , is non-degenerate. Hence, given  $\alpha \in \mathfrak{h}^*$  there exists a unique  $h_{\alpha} \in \mathfrak{h}$  such that

$$B(h_{\alpha}, h) = \alpha(h) \text{ for all } h \in \mathfrak{h}$$

Using this lemma, we define a non-degenerate bilinear form  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{h}^*$  by

$$\langle \alpha, \beta \rangle := B(h_{\alpha}, h_{\beta}) \text{ for all } \alpha, \beta \in \mathfrak{h}^*$$

Since B is real valued and positive definite in  $\mathfrak{h}_{\mathbb{R}} := span_{\mathbb{R}}\{h_{\alpha} \mid \alpha \in R(\mathfrak{g}, \mathfrak{h})\}$ , we have that  $\langle \cdot, \cdot \rangle$  is real valued and positive definite in  $\mathfrak{h}_{\mathbb{R}}^* = span_{\mathbb{R}}\{\alpha \mid \alpha \in R(\mathfrak{g}, \mathfrak{h})\}$ .

As expected,  $R(\mathfrak{g},\mathfrak{h})$  is a root system as defined in the last subsection:

**Theorem 3.1.5.** [Hum94, p. 73] Let  $\langle \cdot, \cdot \rangle$  be the inner product on  $\mathfrak{h}_{\mathbb{R}}^*$  as above. Then,  $R(\mathfrak{g}, \mathfrak{h})$  is a root system of  $\mathfrak{h}_{\mathbb{R}}^*$  as in Definition 3.1.1.

Given two Cartan subalgebras  $\mathfrak{h}$ ,  $\tilde{\mathfrak{h}}$  of  $\mathfrak{g}$ , then  $R(\mathfrak{g}, \mathfrak{h})$  and  $R(\mathfrak{g}, \tilde{\mathfrak{h}})$  are isomorphic [Hum94, p. 75], then we can write just  $R(\mathfrak{g})$  for a root system of  $\mathfrak{g}$  since it is unique up to isomorphism.

The Dynkin diagram  $D(\mathfrak{g})$  determines  $\mathfrak{g}$  up to isomorphism:

**Theorem 3.1.6.** [Hum94, p. 173][Hel78, p. 490] The assignment  $\mathfrak{g} \mapsto D(\mathfrak{g})$  yields a one-to-one correspondence between the isomorphism classes of complex semisimple (simple) Lie algebras and (connected) Dynkin diagrams.

### 3.1.3 Compact Real Forms

Let  $\mathfrak{g}$  be a real compact semisimple Lie algebra, i.e.  $\mathfrak{g} = Lie\ G$  for a compact semisimple Lie group G. The complexification of  $\mathfrak{g}$  is  $\mathfrak{g}_{\mathbb{C}} := \mathfrak{g} \otimes \mathbb{C}$  that becomes a complex semisimple Lie algebra by defining a Lie bracket in the pure tensors by

$$[X_1 \otimes z_1, X_2 \otimes z_2] := [X_1, X_2] \otimes z_1 z_2$$
 for all  $X_1, X_2 \in \mathfrak{g}, z_1, z_2 \in \mathbb{C}$ 

and extended by bilinearity. Observe that  $\mathfrak{g}_{\mathbb{C}}$  is simple if and only if  $\mathfrak{g}$  is simple, since complexification and realification take ideals to ideals.  $\mathfrak{g}$  is called a compact real form of  $\mathfrak{g}_{\mathbb{C}}$  which is unique up to isomorphism, see [Hel78, p. 184].

Given  $\mathfrak{t}$  a Cartan subalgebra of  $\mathfrak{g}$ , then  $\mathfrak{h}:=\mathfrak{t}\otimes\mathbb{C}$  is a Cartan subalgebra of  $\mathfrak{g}_{\mathbb{C}}$  and we define the root system of  $\mathfrak{g}$  with respect to  $\mathfrak{t}$  as

$$R(\mathfrak{g}) := R(\mathfrak{g}, \mathfrak{t}) := R(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}) = R(\mathfrak{g}_{\mathbb{C}})$$

Since the root system  $R(\mathfrak{g}_{\mathbb{C}})$  does not depend on the choice of the Cartan subalgebra up to isomorphism, the root system  $R(\mathfrak{g})$  does not depend on the choice of  $\mathfrak{t}$  up to isomorphism. Moreover,

$$\operatorname{rank} \mathfrak{g} := \dim_{\mathbb{R}} \mathfrak{t} = \dim_{\mathbb{R}} \mathfrak{h}_{\mathbb{R}}^*$$

We define the Dynkin diagram of  $R(\mathfrak{g})$  or  $\mathfrak{g}$  by  $D(\mathfrak{g}) := D(\mathfrak{g}_{\mathbb{C}})$ . The Dynkin diagram  $D(\mathfrak{g})$  defines  $\mathfrak{g}$  up to isomorphism: If  $\mathfrak{g}$  and  $\tilde{\mathfrak{g}}$  are compact semisimple Lie algebras such that  $D(\mathfrak{g}_{\mathbb{C}}) = D(\tilde{\mathfrak{g}}_{\mathbb{C}})$ , Theorem 3.1.6 implies  $\mathfrak{g}_{\mathbb{C}} \cong \tilde{\mathfrak{g}}_{\mathbb{C}}$ . The uniqueness of a compact form implies  $\mathfrak{k} \cong \tilde{\mathfrak{k}}$ . Furthermore, by [Hel78, p. 81], every complex semisimple Lie algebra has a compact real form. It follows from Theorem 3.1.6:

**Theorem 3.1.7.** The assignment  $\mathfrak{g} \mapsto D(\mathfrak{g})$  yields a one-to-one correspondence between the isomorphism classes of real compact semisimple (simple) Lie algebras and (connected) Dynkin diagrams.

By the Theorem above, a compact real Lie algebra is said to classical if it is associated to the classical Dynkin diagrams. The ones with rank n are  $\mathfrak{su}(n+1)$ ,  $n \geq 1$  for  $A_n$ ,  $\mathfrak{so}(2n+1)$ ,  $n \geq 2$  for  $B_n$ ,  $\mathfrak{sp}(n)$ ,  $n \geq 3$  for  $C_n$  and  $\mathfrak{so}(2n)$ ,  $n \geq 4$ .

A compact real Lie algebra is said to be exceptional if it is associated to the exceptional Dynkin diagrams. They are denoted by  $\mathfrak{e}_6$ ,  $\mathfrak{e}_7$ ,  $\mathfrak{e}_8$ ,  $\mathfrak{f}_4$ ,  $\mathfrak{g}_2$ , see [Hel78, p. 516].

### 3.1.4 Subalgebras of Maximal Rank

Now, let G be any semisimple compact Lie group with real compact semisimple Lie algebra  $\mathfrak{g}$  and let T be a maximal torus of G with Lie algebra  $\mathfrak{t} = Lie(T)$  that is a Cartan subalgebra of  $\mathfrak{g}$ .

To determine the simplicial complex of G/H for all connected compact Lie subgroups  $T \leq H < G$ , first we have to determine all the possibilities of H. By [Djo81, p. 2], every subgroup  $T \leq H < G$  is closed in G, hence a compact Lie subgroup. Thus, there is a bijection between all connected compact Lie subgroups  $T \leq H < G$  and all Lie subalgebras  $\mathfrak{t} \leq \mathfrak{h} < \mathfrak{g}$ . These Lie subalgebras are given by the following lemma.

**Lemma 3.1.8.** Let  $\mathfrak{g}$  and  $\mathfrak{t}$  be as above. Let a set of simple roots of  $R(\mathfrak{g})$  be given and  $R(\mathfrak{g})^+$  be the associated subset of positive roots. For  $\alpha \in R(\mathfrak{g}_{\mathbb{C}})$  and  $\mathfrak{g}_{\alpha}$  the associated root space as in 3.1.1, define  $\mathfrak{m}_{\alpha} := \mathfrak{g} \cap (\mathfrak{g}_{\alpha} \oplus \mathfrak{g}_{-\alpha})$ . Observe that  $\mathfrak{m}_{-\alpha} = \mathfrak{m}_{\alpha}$ . Then

$$\mathfrak{g} = \mathfrak{t} \oplus \bigoplus_{\alpha \in R(\mathfrak{g})^+} \mathfrak{m}_{\alpha} \tag{3.1.2}$$

We will call 3.1.2 the decomposition of roots spaces of  $\mathfrak{g}$ .

All intermediary subalgebras  $\mathfrak{t} < \mathfrak{h} < \mathfrak{g}$  are given by

$$\mathfrak{h} = \mathfrak{t} \oplus \bigoplus_{\alpha \in I} \mathfrak{m}_{\alpha} \tag{3.1.3}$$

where  $I \subseteq R(\mathfrak{g})^+$  is any non-empty subset with the following property:

$$\alpha, \beta \in I, \alpha \pm \beta \in R(\mathfrak{g}) \implies \alpha \pm \beta \in I \dot{\cup} - I$$
 (3.1.4)

*Proof.* Let  $\alpha \neq \beta \in R(\mathfrak{g})^+$ . From [Bö04, p. 89], we have the brackets between the root spaces  $\mathfrak{m}_{\alpha}$  and  $\mathfrak{m}_{\beta}$ :

$$\left[\mathfrak{m}_{\alpha},\mathfrak{m}_{\beta}\right] = \begin{cases} \mathfrak{m}_{\alpha+\beta} \oplus \mathfrak{m}_{\alpha-\beta}, & \text{if } \alpha+\beta \in R(\mathfrak{g}) \text{ and } \alpha-\beta \in R(\mathfrak{g}) \\ \mathfrak{m}_{\alpha+\beta}, & \text{if } \alpha+\beta \in R(\mathfrak{g}) \text{ and } \alpha-\beta \notin R(\mathfrak{g}) \\ \mathfrak{m}_{\alpha-\beta}, & \text{if } \alpha-\beta \in R(\mathfrak{g}) \text{ and } \alpha+\beta \notin R(\mathfrak{g}) \\ \{0\}, & \text{if } \alpha+\beta \notin R(\mathfrak{g}) \text{ and } \alpha-\beta \notin R(\mathfrak{g}) \end{cases}$$
(3.1.5)

Since  $[\mathfrak{g}_{\alpha},\mathfrak{g}_{-\alpha}]\subseteq\mathfrak{h}=\mathfrak{t}\oplus\mathbb{C}$ , we have that  $[\mathfrak{m}_{\alpha},\mathfrak{m}_{\alpha}]\subseteq\mathfrak{t}$ .

A vector space  $\mathfrak{h}$  as in 3.1.3 is closed under Lie brackets, hence a Lie subalgebra of  $\mathfrak{g}$ : if  $\alpha, \beta \in I$ , then  $\alpha \pm \beta \in I \cup -I \subseteq R(\mathfrak{g})$  implies  $\mathfrak{m}_{\pm \alpha \pm \beta} \in \mathfrak{h}$  and  $[\mathfrak{m}_{\alpha}, \mathfrak{m}_{\beta}] \in \mathfrak{h}$  by 3.1.5.

On the other hand, any subalgebra  $\mathfrak{t} < \mathfrak{h} < \mathfrak{g}$  is also an Ad(T)-module, so  $\mathfrak{h}$  is the sum of  $\mathfrak{t}$  and root spaces  $\mathfrak{m}_{\alpha}, \alpha \in I$ , for some  $I \subseteq R(\mathfrak{g})^+$ , see [Bö04, p. 89]. Now, 3.1.5 implies that I has to satisfy property 3.1.4: if  $\alpha, \beta \in I$  and  $\alpha + \beta \in R(\mathfrak{g})$ , then  $\mathfrak{m}_{\alpha+\beta} = \mathfrak{m}_{-(\alpha+\beta)} \subseteq [\mathfrak{m}_{\alpha}, \mathfrak{m}_{\beta}] \subseteq \mathfrak{h}$  which implies  $\alpha + \beta \in I \dot{\cup} - I$  and equivalently to  $\alpha - \beta \in R(\mathfrak{g})$ .

The last Lemma 3.1.8 implies that T-subalgebras of  $\mathfrak{g}$  are indexed by subsets of  $R(\mathfrak{g})^+$  that satisfy the condition 3.1.4. Hence,  $\mathfrak{g}$  contains only finitely many T-subalgebras. Moreover,  $\mathfrak{n}(\mathfrak{h}) = \mathfrak{h}$  for every  $\mathfrak{t} \leq \mathfrak{h} < \mathfrak{g}$  by 2 and 3, see also [Djo81, p. 1]. Hence,  $P_{G/H}$  is finite and the extended simplicial complex  $\Delta_{G/H}$  is well-defined for all  $T \leq H < G$ .

Our purpose is to determine the contractility or non-contractility of  $\Delta_{G/H}$  for G semisimple classical and H a subgroup that has maximal rank, i.e., H contains a maximal torus of G. However, it is just necessary to determine it to G simple as we will see next. By Remark 2.2.2, we may also assume that G is simply-connected, so  $G = G_1 \times \ldots \times G_k$  with  $G_i$  simply connected and simple for all  $1 \le i \le k$ . Let  $T_i$  be a maximal torus of  $G_i$  for  $1 \le i \le k$ . Then  $T := T_1 \times \ldots \times T_k$  is a maximal torus of G and G and G is a subgroup of maximal rank if and only if G is G with G with G is a function of G and G and G is a subgroup of maximal rank if and only if G is a function of G and G and G is a subgroup of maximal rank if and only if G is a function of G and G and G is a subgroup of maximal rank if and only if G is a function of G and G is a function of G and G is a subgroup of maximal rank if and only if G is a function of G and G is a function of G in G is a function of G and G is a function of G in G is a function of G and G is a function of G in G is a function of G in G in G is a function of G in G i

We assumed that the canonical action of G in G/H is almost effectively, which implies  $H_i \neq G_i$  for all  $1 \leq i \leq k$ . By 2.2.8, it follows

$$\Delta_{G/H} \cong \Delta_{G_1/H_1} * \dots * \Delta_{G_k/H_k} * S^{k-2}$$

Thus,  $\Delta_{G/H}$  is contractible, if  $\Delta_{G_i/H_i}$  is contractible for at least one  $1 \le i \le k$ , see [Bö04, p. 96].

Moreover, it was proved in [Mil56, p. 431] that  $\tilde{H}_*(X*Y,\mathbb{F}) \neq 0$ , if  $\tilde{H}_*(X,\mathbb{F}) \neq 0$  and  $\tilde{H}_*(Y,\mathbb{F}) \neq 0$  for any spaces X,Y and any field  $\mathbb{F}$ . In particular,  $\tilde{H}_*(\Delta_{G/H},\mathbb{Q}) \neq 0$ , if  $\tilde{H}_*(\Delta_{G_i/H_i},\mathbb{Q}) \neq 0$  for all  $1 \leq i \leq k$ .

From the discussion above follows that:

 $\Delta_{G/H}$  non-contractible  $\iff \Delta_{G_i/H_i}$  non-contractible for all  $i \in \{1, \ldots, k\}$ 

# 4 The Simplicial Complex for G simple classic and H connected of maximal rank

From the last chapter, we obtained that, if G is a compact semisimple Lie group and H is a connected Lie subgroup of maximal rank, then  $\Delta_{G/H}$  is well-defined and to determine the contractility of  $\Delta_{G/H}$  it is just necessary to to do it for G simple.

Since  $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$ , we are in the hypothesis of 2.4.13, which will be the main theorem to prove that some  $\Delta_{G/H}$  are non-contractible. Theorem 2.4.9 will be the main tool to prove that some  $\Delta_{G/H}$  are contractible.

This chapter follows [Rau16].

Remark 4.0.1. Here  $\mathfrak{gl}(k,\mathbb{F})$  denotes the Lie algebra of  $k \times k$  matrices with coefficients in  $\mathbb{F} = \mathbb{R}, \mathbb{C}$ , with Lie bracket being the canonical commutator of matrices, and  $GL(n,\mathbb{F})$  the group of invertible  $k \times k$  matrices with coefficients in  $\mathbb{F} = \mathbb{R}, \mathbb{C}$ .

# 4.1 $SU(n+1), n \ge 1$

Let  $G = SU(n+1) = \{A \in GL(n+1,\mathbb{C}) \mid A^{-1} = \overline{A^T} \text{ and } det(A) = 1\}$ , where  $\overline{A^T}$  is conjugate transpose of A. Its Lie algebra is given by

$$\mathfrak{su}(n+1) = \{ A \in \mathfrak{gl}(n+1,\mathbb{C}) \mid A = -\overline{A^T} \text{ and } tr(A) = 0 \}$$

and its complexification is given by

$$\mathfrak{su}(n+1)_{\mathbb{C}} = \{A \in \mathfrak{gl}(n+1,\mathbb{C}) \mid tr(A) = 0\} = \mathfrak{sl}(n+1,\mathbb{C})$$

A Cartan subalgebra of su(n+1) is given by

$$\mathfrak{t} := \left\{ \operatorname{diag}(i\alpha_1, \dots, i\alpha_{n+1}) \mid \alpha_k \in \mathbb{R}, 1 \le k \le n+1, \sum_{k=1}^{n+1} \alpha_k = 0 \right\}$$

and the corresponding Cartan subalgebra of  $\mathfrak{su}(n+1)_{\mathbb{C}} = \mathfrak{sl}(n+1,\mathbb{C})$  is

$$\mathfrak{h} := \mathfrak{t} \otimes \mathbb{C} = \left\{ \operatorname{diag}(z_1, \dots, z_{n+1}) \mid z_k \in \mathbb{C}, 1 \le k \le n+1, \sum_{k=1}^{n+1} z_k = 0 \right\}$$

For  $1 \leq k \leq n+1$  consider  $\nu_k \in \mathfrak{h}^*$  defined by  $\nu_k$  (diag  $(z_1, \ldots, z_n)$ ) :=  $z_k$ . Let  $\{E_{kl}\}_{1 \leq k, l \leq n+1}$  be the canonical basis of  $\mathfrak{gl}(n+1,\mathbb{C})$ . If  $k \neq l$ ,  $E_{kl} \in \mathfrak{sl}(n+1,\mathbb{C}) = \mathfrak{su}(n+1)_{\mathbb{C}}$ . We have that

$$[\operatorname{diag}(z_1,\ldots,z_n),E_{kl}]=(z_k-z_l)\cdot E_{kl}$$

Thus,  $\nu_k - \nu_l$  is a root for  $\mathfrak{h}$  with root space  $\langle E_{kl} \rangle_{\mathbb{C}}$  and the fact  $\mathfrak{su}(n+1)_{\mathbb{C}} = \mathfrak{h} \oplus \bigoplus_{k \neq l} span_{\mathbb{C}} \{E_{kl}\}$  implies that all roots are given this way. Furthermore, for indices  $i, j, k, l \in \{1, \ldots, n+1\}$  such that  $i > j, k > l, \{i, j\} \neq \{k, l\}$ , by 3.1.5 the following equivalence holds:

$$(\nu_i - \nu_j) + (\nu_k - \nu_l)$$
 is a root  $\Leftrightarrow i = l \text{ or } j = k \Leftrightarrow \#(\{i, j\} \triangle \{k, l\}) = 2$  (4.1.1)

where  $\triangle$  denotes the symmetric difference. From 4.1.1, it follows that a set of simple roots is given by

$$\{\nu_i - \nu_{i+1} \mid 1 \le i \le n\}$$

with Dynkin diagram  $A_n$  and a set of positive roots is given by

$$\{\nu_k - \nu_l \mid 1 \le k < l \le n+1\}$$

see [Hel78, p. 462].

We described the root space decomposition for  $\mathfrak{su}(n+1)_{\mathbb{C}} = \mathfrak{sl}(n+1,\mathbb{C})$ . Now, we will describe the root decomposition for  $\mathfrak{su}(n+1)$  using 3.1.8. For k < l, let

$$\mathfrak{m}_{kl} := \mathfrak{m}_{lk} := \mathfrak{m}_{\{k,l\}} := \mathfrak{m}_{\nu_k - \nu_l} = \langle E_{kl}, E_{lk} \rangle_{\mathbb{C}} \cap \mathfrak{su}(n+1)$$

This subspace consists of all matrices of  $\mathfrak{su}(n+1)$  whose entries are all zero except the (kl)-th and the (lk)-th one, then

$$\mathfrak{m}_{kl} \cong \left\{ \left( \begin{array}{cc} 0 & z \\ -\bar{z} & 0 \end{array} \right) \middle| z \in \mathbb{C} \right\}$$

and  $\mathfrak{su}(n+1) = \mathfrak{t} \oplus \bigoplus_{k < l} \mathfrak{m}_{kl}$ . It follows:

**Proposition 4.1.1.** [Rau16, p. 38] Let  $\mathfrak{t}$  be as in as above. Moreover, let  $r \in \{2, \ldots, n\}$  and  $I_1 \dot{\cup} \ldots \dot{\cup} I_r = \{1, \ldots, n+1\}$  be a partition of the index set  $\{1, \ldots, n+1\}$ . Let  $n_i := |I_i|, 1 \leq i \leq r$ . Then

$$\mathfrak{s}\left(\bigoplus_{i=1}^{r}\mathfrak{u}\left(n_{i}\right)_{I_{i}}\right):=\mathfrak{t}\oplus\bigoplus_{i,j\in I_{1},i< j}\mathfrak{m}_{ij}\oplus\ldots\oplus\bigoplus_{i,j\in I_{r},i< j}\mathfrak{m}_{ij}$$

$$(4.1.2)$$

is a T-subalgebra of  $\mathfrak{su}(n+1)$ . Moreover, every T-subalgebra is of this type.

*Proof.* For  $i < j, k < l, (i, j) \neq (k, l)$  it follows from 4.1.1 and 3.1.8 that

$$\left[\mathfrak{m}_{ij},\mathfrak{m}_{kl}\right] = \begin{cases} \mathfrak{m}_{\{i,j\} \triangle \{k,l\}}, & \{i,j\} \cap \{k,l\} \neq \emptyset, \\ \{0\} & \{i,j\} \cap \{k,l\} = \emptyset \end{cases}$$
(4.1.3)

So, if  $\mathfrak{m}_{I_s} := \bigoplus_{i,j \in I_s, i < j} \mathfrak{m}_{ij}$  for  $1 \le s \le r$ , then  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_s}] \subseteq \mathfrak{t} \oplus \mathfrak{m}_{I_s}$  and  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_{s'}}] = 0$  for  $s' \ne s$ . It follows that  $\mathfrak{s}(\oplus_{i=1}^r \mathfrak{u}(n_i)_{I_i})$  is a T-subalgebra of  $\mathfrak{su}(n+1)$ .

Now, let  $\mathfrak{k} = \mathfrak{t} \oplus \bigoplus_{k < l} \mathfrak{m}_{kl}$  be any T-subalgebra of  $\mathfrak{su}(n+1)$ . Consider a graph  $\Gamma$  with vertex set  $\{1, \ldots, n+1\}$  where two vertices i and j are connected by an edge if and only if  $\mathfrak{m}_{ij} \subseteq \mathfrak{k}$ . By 4.1.3, if i and j are connected,  $\mathfrak{m}_{ij} \subseteq \mathfrak{k}$ , and if j and k are connected,  $\mathfrak{m}_{jk} \subseteq \mathfrak{k}$ , then i and k are also connected, since  $\mathfrak{m}_{ik} = [\mathfrak{m}_{ij}, \mathfrak{m}_{jk}] \subseteq \mathfrak{k}$ . By induction, this implies that  $\mathfrak{m}_{ij} \subseteq \mathfrak{k}$  for every vertices i and j in the same connected component of  $\Gamma$ . If  $I_1, \ldots, I_r$  are the vertices sets of the connected components of  $\Gamma$ , then  $\mathfrak{m}_{ij} \subseteq \mathfrak{k}$  for  $i < j \in I_m, 1 \le m \le r$ . This implies that  $\mathfrak{k}$  is of type 4.1.2. We have that  $r \ge 2$ , since r = 1 implies that  $\Gamma$  is connected, all root spaces are contained in  $\mathfrak{k}$  and  $\mathfrak{k} = \mathfrak{su}(n+1)$  which contradicts  $\mathfrak{k} \ne \mathfrak{su}(n+1)$ . Also,  $r \le n$ : if r = n+1, we have that the connected components are just all the vertices, none of them are connected and  $\mathfrak{k} = \mathfrak{t}$  which contradicts  $\mathfrak{k} \ne \mathfrak{t}$ .  $\square$ 

Observe in the last Proposition that, given a partition with more amount of subsets from  $\{1, \ldots, n+1\}$ , we produce a T-subalgebra with less dimension. So, to obtain a T-subalgebra with more dimension, we need a partition with less amount subsets.

The subalgebras from last Proposition can be understand as diagonals block matrices. After conjugation the subalgebra  $\mathfrak{k} = \mathfrak{s}\left(\bigoplus_{i=1}^r \mathfrak{u}\left(n_i\right)_{I_i}\right)$  just consists of all block matrices

$$\begin{pmatrix} A_1 & 0 \\ & \ddots & \\ 0 & A_r \end{pmatrix}, \quad A_i \in \mathfrak{u}(n_i), \ \sum_{i=1}^r \operatorname{trace}(A_i) = 0 \tag{4.1.4}$$

In fact, if  $n_0 := 0$  and  $\sigma \in S_n$  with  $I_i = \sigma\left(\left\{\sum_{j=0}^{i-1} n_j + 1, \dots, \sum_{j=0}^{i} n_j\right\}\right)$  for  $1 \le i \le r$ , then  $\mathrm{Ad}_P(\mathfrak{k})$  is of type 4.1.4, where  $P = \begin{pmatrix} \mathrm{sgn}(\sigma) & 0 \\ 0 & I_n \end{pmatrix} P_\sigma \in SU(n+1)$  and  $P_\sigma$  is the permutation matrix.

Using the notation  $\mathfrak{t} := \mathfrak{s}\left(\bigoplus_{i=1}^{n+1}\mathfrak{u}(1)_{\{i\}}\right)$ , the non-contractibility of  $\Delta_{G/H}$  for G = SU(n+1) and H < G connected and of maximal rank is given by the following theorem.

**Theorem 4.1.2.** [Rau16, p. 39] Let  $\mathfrak{h} = \mathfrak{s}(\bigoplus_{i=1}^r \mathfrak{u}(n_i)_{I_i})$  as in Proposition 4.1.1 or as above if r = n + 1. Then

$$\tilde{H}_{r-3}(\Delta_{G/H}, \mathbb{Q}) \neq 0$$

*Proof.* If r=2, then  $\mathfrak{h}=\mathfrak{s}(\mathfrak{u}(n_1)_{I_1}\oplus\mathfrak{u}(n_2)_{I_2})$  is a maximal subalgebra of  $\mathfrak{su}(n+1)$  by Proposition 4.1.1, since partitioning I with in other two subsets just produce other

subalgebras that are not contained in  $\mathfrak{h}$  and the only way to partition I with less subsets is just I itself. Hence,  $\Delta_{G/H} = \emptyset$  which implies  $\tilde{H}_{-1}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .

So assume  $r \geq 3$ . To simplify notation, for  $s \in \{1, \ldots, r\}$  and  $1 \leq i_1 < \ldots < i_s \leq r$  let  $I_{i_1,\ldots,i_s} := \bigcup_{j=1}^s I_{i_j}$  and  $n_{i_1,\ldots,i_s} := \sum_{j=1}^s n_{i_j}$ . Now, for  $p \in \{0,\ldots,r-3\}$  and  $q \in \{0,\ldots,p+1\}$  let

$$\mathfrak{k}_p^q := \mathfrak{s}\left(\mathfrak{u}\left(n_{1,\dots,r-2-p,r-1-p+q}\right)_{I_1,\dots,r-2-p,r-1-p+q} \oplus \mathop{r}_{\substack{l=r-1-p\\l\neq r-1-p+q}} \mathfrak{u}\left(n_l\right)_{I_l}\right)$$

It follows that  $(\mathfrak{k}_0^0 > \ldots > \mathfrak{k}_{r-3}^0)$  is a maximal chain of H-subalgebras. Furthermore, for  $q \neq 0$  it holds

$$\mathfrak{k}_p^q \neq \mathfrak{k}_p^0$$
 and 
$$\mathfrak{k}_{p-1}^{q-1} > \mathfrak{k}_p^q > \mathfrak{k}_{p+1}^0 \text{ with } \mathfrak{k}_{-1}^0 := \mathfrak{g}, \mathfrak{k}_{r-2}^0 := \mathfrak{h}$$

Moreover, for all p > 1 it holds:

$$\langle \mathfrak{k}_{p}^{1}, \ldots, \mathfrak{k}_{p}^{p+1} \rangle = \mathfrak{s}(\mathfrak{u}(n_{1, \ldots, r-2-p, r-p, \ldots, r})_{I_{1, \ldots, r-2-p, r-p, \ldots, r}} \oplus \mathfrak{u}(n_{r-1-p})_{I_{r-1-p}}) < \mathfrak{g}(n_{r-1-p})_{I_{r-1-p}}$$

Hence,  $\tilde{H}_{r-3}(\Delta_{G/H}, \mathbb{Q}) \neq 0$  by Theorem 2.4.13.

**Corollary 4.1.3.** If H is a connected Lie subgroup of maximal rank of SU(n+1), then SU(n+1)/H admits a SU(n+1)-invariant Einstein metric.

*Proof.* It follows from 4.1.2, 2.3.2 and 1.3.14.

**Example 4.1.4.** We will illustrate the subalgebras and their relations in the proof of theorem 4.1.2 for the case  $\mathfrak{g} = \mathfrak{su}(5)$  with r = 4 and  $I_1 = \{1, 3\}, I_2 = \{2\}, I_3 = \{4\}, I_4 = \{5\}$ , then

$$\mathfrak{h} = \mathfrak{t} \oplus \mathfrak{m}_{13} = \left\{ \begin{pmatrix} i\alpha_1 & z_1 & \\ & i\alpha_2 & \\ & -\bar{z_1} & i\alpha_3 & \\ & & i\alpha_4 & \\ & & & i\alpha_5 \end{pmatrix} \middle| z_1 \in \mathbb{C}, \alpha_i \in \mathbb{R} \right\}$$

$$\mathfrak{k}_0^0 = \mathfrak{s}(\mathfrak{u}(4)_{I_{1,2,3}} \oplus \mathfrak{u}(1)_{I_4}) = \left\{ \begin{pmatrix} i\alpha_1 & z_1 & z_2 & z_4 \\ -\bar{z_1} & i\alpha_2 & z_3 & z_5 \\ -\bar{z_2} & -\bar{z_3} & i\alpha_3 & z_6 \\ -\bar{z_4} & -\bar{z_5} & -\bar{z_6} & i\alpha_4 \\ & & & i\alpha_5 \end{pmatrix} \middle| \begin{array}{c} z_i \in \mathbb{C}, \alpha_i \in \mathbb{R} \\ \end{array} \right\}$$

$$\mathfrak{k}_{0}^{1} = \mathfrak{s}(\mathfrak{u}(4)_{I_{1,2,4}} \oplus \mathfrak{u}(1)_{I_{3}}) = \left\{ \begin{pmatrix} i\alpha_{1} & z_{1} & z_{2} & z_{4} \\ -\bar{z_{1}} & i\alpha_{2} & z_{3} & z_{5} \\ -\bar{z_{2}} & -\bar{z_{3}} & i\alpha_{3} & z_{6} \\ & & i\alpha_{4} & \\ -\bar{z_{4}} & -\bar{z_{5}} & -\bar{z_{6}} & i\alpha_{5} \end{pmatrix} \middle| \begin{array}{c} z_{i} \in \mathbb{C}, \alpha_{i} \in \mathbb{R} \\ \end{array} \right\}$$

$$\mathfrak{k}_1^0 = \mathfrak{s}(\mathfrak{u}(3)_{I_{1,2}} \oplus \mathfrak{u}(1)_{I_3} \oplus \mathfrak{u}(1)_{I_4}) = \left\{ \begin{pmatrix} i\alpha_1 & z_1 & z_2 \\ -\bar{z_1} & i\alpha_2 & z_3 \\ -\bar{z_2} & -\bar{z_3} & i\alpha_3 \\ & & & i\alpha_4 \\ & & & & i\alpha_5 \end{pmatrix} \middle| \begin{array}{c} z_i \in \mathbb{C}, \alpha_i \in \mathbb{R} \\ \\ \\ \\ \end{array} \right\}$$

$$\mathfrak{k}_1^1 = \mathfrak{s}(\mathfrak{u}(3)_{I_{1,3}} \oplus \mathfrak{u}(1)_{I_2} \oplus \mathfrak{u}(1)_{I_4}) = \left\{ \begin{pmatrix} i\alpha_1 & z_1 & z_2 \\ i\alpha_2 & \\ -\bar{z_1} & i\alpha_3 & z_3 \\ -\bar{z_2} & -\bar{z_3} & i\alpha_4 \\ & & i\alpha_5 \end{pmatrix} \middle| z_i \in \mathbb{C}, \alpha_i \in \mathbb{R} \right\}$$

$$\mathfrak{k}_1^2 = \mathfrak{s}(\mathfrak{u}(3)_{I_{1,4}} \oplus \mathfrak{u}(1)_{I_2} \oplus \mathfrak{u}(1)_{I_3}) = \left\{ \begin{pmatrix} i\alpha_1 & z_1 & z_2 \\ i\alpha_2 & & \\ -\bar{z_1} & i\alpha_3 & z_3 \\ & & i\alpha_4 \\ -\bar{z_2} & -\bar{z_3} & i\alpha_5 \end{pmatrix} \middle| z_i \in \mathbb{C}, \alpha_i \in \mathbb{R} \right\}$$

Then,  $(\mathfrak{k}_0^0 > \mathfrak{k}_1^0)$  is a maximal chain of H-subalgebras,  $\mathfrak{k}_0^1 \neq \mathfrak{k}_0^0$ ,  $\mathfrak{k}_1^0 \neq \mathfrak{k}_1^2$ ,  $\mathfrak{k}_0^0 > \mathfrak{k}_1^1$ ,  $\mathfrak{k}_0^1 > \mathfrak{k}_2^1$  and, since  $\mathfrak{k}_1^1 = \mathfrak{t} \oplus \mathfrak{m}_{13} \oplus \mathfrak{m}_{14} \oplus \mathfrak{m}_{34}$  and  $\mathfrak{k}_1^2 = \mathfrak{t} \oplus \mathfrak{m}_{13} \oplus \mathfrak{m}_{15} \oplus \mathfrak{m}_{35}$ ,

$$\langle \mathfrak{k}_1^1,\mathfrak{k}_1^2\rangle = \mathfrak{t} \oplus \mathfrak{m}_{13} \oplus \mathfrak{m}_{14} \oplus \mathfrak{m}_{34} \oplus \mathfrak{m}_{15} \oplus \mathfrak{m}_{35} \oplus \mathfrak{m}_{45} < \mathfrak{so}(5)$$

and, for H the connected Lie subgroup of SU(5) with Lie algebra  $\mathfrak{h}$ ,

$$\tilde{H}_1(\Delta_{SU(5)/H}, \mathbf{u}) \neq 0$$

# 4.2 $SO(n), n \ge 3$

Let  $G = SO(n) = \{A \in GL(n, \mathbb{R}) \mid A^{-1} = A^T \text{ and } det \ A = 1\}$ . Its Lie algebra is given by

$$\mathfrak{so}(n) = \{ A \in \mathfrak{gl}(n, \mathbb{R}) \mid A^T = -A \}$$

and its complexification is given by

$$\mathfrak{so}(n)_{\mathbb{C}} = \{ A \in \mathfrak{gl}(n,\mathbb{C}) \mid -A^T = A \}$$

The cases n even and n odd have to be considered separately. Moreover, for n even one may assume  $n \geq 8$ , since  $\mathfrak{so}(4) \cong \mathfrak{so}(3) \oplus \mathfrak{so}(3)$  is not simple and  $\mathfrak{so}(6) \cong \mathfrak{su}(4)$  is covered by the the last case.

### 4.2.1 *n* even, $n \ge 8$

For any  $z \in \mathbb{C}$  let  $I(z) := \begin{pmatrix} 0 & z \\ -z & 0 \end{pmatrix} \in \mathfrak{so}(2)_{\mathbb{C}}$ . A Cartan subalgebra of  $\mathfrak{so}(2n)$  is given by

$$\mathfrak{t} := \{ \operatorname{diag}(I(\alpha_1), \dots, I(\alpha_n)) \mid \alpha_k \in \mathbb{R}, \ 1 \le k \le n \}$$

and its complexification is given by

$$\mathfrak{h} := \mathfrak{t} \otimes \mathbb{C} = \{ \operatorname{diag}(I(z_1), \dots, I(z_n)) \mid z_k \in \mathbb{C}, \ 1 \leq k \leq n \}$$

By [Hel78, p. 186], the root system is given as follows: For  $1 \le k \le n$  let  $\nu_k \in \mathfrak{h}^*$  defined by  $\nu_k (\operatorname{diag}(I(z_1), \ldots, I(z_n))) := i \cdot z_k$ . Now, consider the following matrices:

$$M_{++} := \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix}, M_{--} := \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix}, M_{+-} = \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix}, M_{-+} = \begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix}$$
(4.2.1)

For  $1 \le k < l \le n$  let  $E_{kl}^{++} \in \mathfrak{so}(2n)_{\mathbb{C}}$  be defined as the matrix with all entries are zero except its submatrix induced by the indices 2k-1, 2k, 2l-1 and 2l is of type

$$\begin{pmatrix} 0 & M_{++} \\ -M_{++}^T & 0 \end{pmatrix}$$

Let  $E_{kl}^{--}, E_{kl}^{+-}, E_{kl}^{-+} \in \mathfrak{so}(2n)_{\mathbb{C}}$  be defined similarly. For  $z_1 \dots, z_n \in \mathbb{C}$  and  $H := \operatorname{diag}(I(z_1), \dots, I(z_n))$ , we have that:

$$[H, E_{kl}^{++}] = i(z_k + z_l) \cdot E_{kl}^{++} = (\nu_k + \nu_l)(E_{kl}^{++})$$

$$[H, E_{kl}^{--}] = -i(z_k + z_l) \cdot E_{kl}^{-} = -(\nu_k + \nu_l)(E_{kl}^{--})$$

$$[H, E_{kl}^{+-}] = i(z_k - z_l) \cdot E_{kl}^{+-} = (\nu_k - \nu_l)(E_{kl}^{+-})$$

$$H, E_{kl}^{-+}] = -i(z_k - z_l) \cdot E_{kl}^{-+} = -(\nu_k - \nu_l)(E_{kl}^{-+})$$

Hence, for  $1 \leq k < l \leq n$ ,  $\pm \nu_k \pm \nu_l$ , are roots and since  $\mathfrak{so}(2n)_{\mathbb{C}} = \mathfrak{h} \oplus \bigoplus_{k < l} span_{\mathbb{C}} \{E_{kl}^{++}, E_{kl}^{--}, E_{kl}^{+-}, E_{kl}^{-+}\}$ , all roots are given this way and this is the root space decomposition for  $\mathfrak{so}(2n)_{\mathbb{C}}$ . Again, for indices  $i, j, k, l \in \{1, \ldots, n\}$  with  $i \neq j, k \neq l$ ,  $\{i, j\} \neq \{k, l\}$ , the following equivalences hold:

$$\alpha := (\nu_i - \nu_j) + (\nu_k - \nu_l) \text{ is a root } \Leftrightarrow i = l \text{ or } j = k$$

$$\beta := (\nu_i + \nu_j) - (\nu_k + \nu_l) \text{ is a root } \Leftrightarrow \{i, j\} \cap \{k, l\} \neq \emptyset$$

$$\gamma := (\nu_i - \nu_j) + (\nu_k + \nu_l) \text{ is a root } \Leftrightarrow j \in \{k, l\}$$

$$\delta := (\nu_i - \nu_j) - (\nu_k + \nu_l) \text{ is a root } \Leftrightarrow i \in \{k, l\}$$

$$(4.2.2)$$

which can be written as: if the left-hand side of 4.2.2 is a root, then  $\alpha, \beta \in \{\pm (\nu_p - \nu_q)\}$  and  $\gamma, \delta \in \{\pm (\nu_p + \nu_q)\}$ , where  $p < q, \{p, q\} = \{i, j\} \triangle \{k, l\}$ . Neither  $(\nu_i + \nu_j) + (\nu_k + \nu_l)$  nor  $(\nu_i + \nu_j) \pm (\nu_i + \nu_j)$  is a root. It follows from [Hel78, p. 464] that a set of simple roots is given by

$$\{\nu_i - \nu_{i+1} \mid 1 \le i \le n-1\} \cup \{\nu_{n-1} + \nu_n\}$$

with Dynkin diagram  $D_n$  and a set of positive roots is given by

$$\{\nu_k \pm \nu_l \mid 1 \le k < l \le n\}$$

Then, for k < l, the root spaces for  $\mathfrak{so}(2n)$  are given by

$$\mathfrak{m}_{kl}^+ := \mathfrak{m}_{lk}^+ := \mathfrak{m}_{\{k,l\}}^+ := \mathfrak{m}_{\nu_k + \nu_l} := span_{\mathbb{C}}\{E_{kl}^{++}, E_{kl}^{--}\} \cap \mathfrak{so}(2n)$$

and

$$\mathfrak{m}_{kl}^{-} := \mathfrak{m}_{lk}^{-} := \mathfrak{m}_{\{k,l\}}^{-} := \mathfrak{m}_{\nu_{k} - \nu_{l}} := span_{\mathbb{C}}\{E_{kl}^{+-}, E_{kl}^{-+}\} \cap \mathfrak{so}(2n)$$

So

$$\mathfrak{m}_{kl}^{+} \cong \left\{ \begin{pmatrix} \alpha & \beta \\ -\alpha & -\beta \\ -\beta & \alpha \end{pmatrix} \middle| \alpha, \beta \in \mathbb{R} \right\} \quad \text{and} \quad \mathfrak{m}_{kl}^{-} \cong \left\{ \begin{pmatrix} \alpha & -\beta \\ \beta & \alpha \end{pmatrix} \middle| \alpha, \beta \in \mathbb{R} \right\}$$

and the root space decomposition of  $\mathfrak{so}(2n)$  is given by

$$\mathfrak{so}(2n) = \mathfrak{t} \oplus \bigoplus_{k < l} \left( \mathfrak{m}_{kl}^+ \oplus \mathfrak{m}_{kl}^- \right)$$

Remark 4.2.1. The results above are also true for SO(4) and SO(6) too.

To determine the T-subalgebras of  $\mathfrak{so}(2n)$ , the following lemmas are needed.

**Lemma 4.2.2.** [Rau16, p. 41] Let  $i, j, k, l \in \{1, ..., n\}, i < j, k < l, (i, j) \neq (k, l)$ . Then, for any signs  $\epsilon_{ij}, \epsilon_{kl} \in \{-, +\}$ , it follows

$$\left[\mathbf{m}_{ij}^{\epsilon_{ij}}, \mathbf{m}_{kl}^{\epsilon_{kl}}\right] = \begin{cases} \mathbf{m}_{pq}^{-}, & \epsilon_{ij} = \epsilon_{kl}, \ \{i, j\} \triangle \{k, l\} = \{p, q\} \\ \mathbf{m}_{pq}^{+}, & \epsilon_{ij} \neq \epsilon_{kl}, \ \{i, j\} \triangle \{k, l\} = \{p, q\} \\ \{0\}, & \{i, j\} \cap \{k, l\} = \emptyset \end{cases}$$

$$(4.2.3)$$

*Proof.* From 4.2.2 and 3.1.8, if 
$$\{i, j\} \triangle \{k, l\} = \emptyset$$
, then  $[\mathfrak{m}_{ij}^{\epsilon_{ij}}, \mathfrak{m}_{kl}^{\epsilon_{kl}}] = \{0\}$  and, if  $\{i, j\} \triangle \{k, l\} = \{p, q\}$ , then  $[\mathfrak{m}_{ij}^+, \mathfrak{m}_{kl}^+] = \mathfrak{m}_{pq}^-$ ,  $[\mathfrak{m}_{ij}^-, \mathfrak{m}_{kl}^-] = \mathfrak{m}_{pq}^-$  and  $[\mathfrak{m}_{ij}^+, \mathfrak{m}_{kl}^-] = \mathfrak{m}_{pq}^+$ .

**Definition 4.2.3.** Observing in the last Lemma that the bracket of roots spaces with the same signal results in 0 or a root space with signal – and if the signals are different the bracket results in 0 or a root space with signal +, we can simplify 4.2.3 defining a multiplication on  $\{-,+\}$  by  $-\circ-:=+\circ+:=-$  and  $-\circ+:=+\circ-:=+$ , then  $(\{-,+\},\circ)\cong\mathbb{Z}_2$ . Now 4.2.3 can be rewritten as

$$\left[\mathfrak{m}_{ij}^{\epsilon_{ij}},\mathfrak{m}_{kl}^{\epsilon_{kl}}\right] = \begin{cases} \mathfrak{m}_{pq}^{\epsilon_{ij}\circ\epsilon_{kl}}, & \{i,j\}\triangle\{k,l\} = \{p,q\}\\ \{0\}, & \{i,j\}\cap\{k,l\} = \emptyset \end{cases}$$
(4.2.4)

**Lemma 4.2.4.** [Rau16, p. 42] Let  $r \in \{2, ..., n\}$  and  $I = \{i_1 < ... < i_r\} \subseteq \{1, ..., n\}$ . For any (r-1)-tuple  $(\epsilon_1, ..., \epsilon_{r-1}) \in \{-, +\}^{r-1}$  of signs, there exist unique signs  $\epsilon_{pq} \in \{-, +\}$  for  $1 \le p < q \le r$ , satisfying:

- 1.  $\epsilon_{p,p+1} = \epsilon_p$  for all  $1 \le p \le r 1$ .
- 2. The subspace

$$\mathfrak{u}(r)_{I}^{\epsilon_{1},\dots,\epsilon_{r-1}} := \mathfrak{t} \oplus \bigoplus_{1 \leq p < q \leq r} \mathfrak{m}_{i_{p}i_{q}}^{\epsilon_{pq}}$$

$$\tag{4.2.5}$$

 $is\ a\ T$ -subalgebra.

*Proof.* If r=2, we just have one sign to define, so let  $\epsilon_{1,2}:=\epsilon_1$ , then  $\mathfrak{t}\oplus\mathfrak{m}_{i_1i_2}^{\epsilon_1}\cong\mathfrak{u}(2)\oplus\mathfrak{so}(2)^{n-1}$  is a subalgebra.

First, we will prove that the signs  $\epsilon_{p1}$  Let  $r \geq 3$  and let  $1 \leq k < l \leq r$  such that  $l \geq l+2$ . Suppose that we are given signs  $\epsilon_{pq}$  for  $1 \leq p < q \leq n$  that satisfy the conditions 1 and 2, then 4.2.4 yields

$$\mathfrak{m}_{i_k,i_l}^{\epsilon_{kl}} = [\mathfrak{m}_{i_k,i_{k+1}}^{\epsilon_{k,k+1}},\mathfrak{m}_{i_{k+1},i_l}^{\epsilon_{k+1}}] = \mathfrak{m}_{i_k,i_l}^{\epsilon_{k,k+1}\circ\epsilon_{k+1,l}}$$

which implies

$$\epsilon_{kl} = \epsilon_{k,k+1} \circ \epsilon_{k+1,l} = \epsilon_k \circ \epsilon_{k+1,l}.$$

Iterating the result above l - k times, we obtain

$$\epsilon_{kl} = \epsilon_k \circ \dots \circ \epsilon_{l-1} \tag{4.2.6}$$

Hence, the sign  $\epsilon_{kl}$  is unique.

Now, we will prove the existence satisfying the conditions 1 and 2. For  $1 \leq p < q \leq n$ , define  $\epsilon_{kl}$  as above, then  $\epsilon_{k,k+1} = \epsilon_k$ . The only thing we still need to prove is that  $\mathfrak{u}(r)_I^{\epsilon_1,\dots,\epsilon_{r-1}}$  is a Lie subalgebra of  $\mathfrak{so}(2n)$ . For this purpose, let  $i,j,k,l \in I, i < j,k < l,$   $(i,j) \neq (k,l)$ . By 4.2.4, it just remains to prove  $\epsilon_{ij} \circ \epsilon_{kl} = \epsilon_{pq}$ , if  $\{i,j\} \triangle \{k,l\} = \{p < q\}$ .

Since  $\epsilon_{ij} \circ \epsilon_{kl} = \epsilon_{kl} \circ \epsilon_{ij}$ , we assume i < k or i = k and j < l. We have to verify in three situations:

$$j = k : p = i, q = l \Rightarrow \epsilon_{ij} \circ \epsilon_{kl} = (\epsilon_p \circ \ldots \circ \epsilon_{j-1}) \circ (\epsilon_j \circ \ldots \circ \epsilon_{q-1}) = \epsilon_{pq}.$$
$$j = l : p = i, q = k \Rightarrow \epsilon_{ij} \circ \epsilon_{kl} = (\epsilon_p \circ \ldots \circ \epsilon_{q-1} \circ \epsilon_q \circ \ldots \circ \epsilon_{j-1}) \circ (\epsilon_q \circ \ldots \circ \epsilon_{j-1}) = \epsilon_{pq}.$$

since  $\epsilon_s^{-1} = \epsilon_s$  for  $1 \le s \le n$ , so the factors  $\epsilon_q, \dots, \epsilon_{j-1}$  cancel out. Similarly,

$$i = k : p = j, q = l \Rightarrow \epsilon_{ij} \circ \epsilon_{kl} = \epsilon_i \circ \ldots \circ \epsilon_{p-1} \circ \epsilon_i \circ \ldots \circ \epsilon_{q-1} = \epsilon_{pq}$$

since the factors  $\epsilon_i, \ldots, \epsilon_{p-1}$  cancel out. Hence,  $\mathfrak{u}(r)_I^{\epsilon_1, \ldots, \epsilon_{r-1}}$  is a subalgebra.

The T-subalgebras of  $\mathfrak{so}(2n)$  are now given by the following proposition.

**Proposition 4.2.5.** [Rau16, p. 43] Let  $\mathfrak{t}$  as before. Moreover, let  $r \in \{1, \ldots, n-1\}$ ,  $I_1 \dot{\cup} \ldots \dot{\cup} I_r = \{1, \ldots, n\}$  be a partition of the index set  $\{1, \ldots, n\}$  and  $n_i := |I_i|, 1 \leq i \leq r$ . Then the T-subalgebras of  $\mathfrak{so}(2n)$  are precisely given by

$$\mathfrak{u}\left(n_{1}\right)_{I_{1}}^{\epsilon_{1}^{1}\dots\epsilon_{n_{1}-1}^{1}}\oplus\dots\oplus\mathfrak{u}\left(n_{l}\right)_{I_{l}}^{\epsilon_{1}^{l}\dots\epsilon_{n_{l}-1}^{l}}\oplus\mathfrak{so}\left(2n_{l+1}\right)_{I_{l+1}}\oplus\dots\oplus\mathfrak{so}\left(2n_{r}\right)_{I_{r}}\\ :=\mathfrak{t}\oplus\bigoplus_{\substack{i,j\in I_{1}\\i< j}}\mathfrak{m}_{ij}^{\epsilon_{ij}^{l}}\oplus\dots\oplus\bigoplus_{\substack{i,j\in I_{l+1}\\i< j}}\mathfrak{m}_{ij}^{\epsilon_{ij}^{l}}\oplus\bigoplus_{\substack{i,j\in I_{l+1}\\i< j}}\left(\mathfrak{m}_{ij}^{+}\oplus\mathfrak{m}_{ij}^{-}\right)\oplus\dots\oplus\bigoplus_{\substack{i,j\in I_{r}\\i< j}}\left(\mathfrak{m}_{ij}^{+}\oplus\mathfrak{m}_{ij}^{-}\right)^{-\left(4.2.7\right)}\oplus\mathbb{C}_{i,j}^{-\left(4.2.7\right)}\oplus\mathbb{C}_$$

for some given  $l \in \{0, ..., r\}$  and  $\epsilon_1^k, ..., \epsilon_{n_k-1}^k \in \{-, +\}$  for  $1 \le k \le l$ . The signs  $\epsilon_{ij}^k \in \{-, +\}, i < j, i, j \in I_k$  are given as in 4.2.6. For  $n_s = 1$ , this notation means that  $\mathfrak{u}(1)_{I_s} = \mathfrak{so}(2)_{I_s} = \mathbb{R}$  is contained in  $\mathfrak{t}$ . Moreover,  $n_s \ge 2$  for at least one  $1 \le s \le r$  and if l = 0, then  $r \ge 2$ .

*Proof.* For  $s \in \{1, \ldots, r\}$ , let

$$\mathfrak{m}_{I_s} := \bigoplus_{i,j \in I_s, i < j} \mathfrak{m}_{ij}^{\epsilon_{ij}^s} \text{ for } s \leq l \text{ and } \mathfrak{m}_{I_s} := \bigoplus_{i,j \in I_s, i < j} \left( \mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^- \right) \text{ for } s > l$$

By Lemma 4.2.4,  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_s}] \subseteq \mathfrak{t} \oplus \mathfrak{m}_{I_s}$  for  $s \leq l$ . Furthermore, from 4.2.3, it follows  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_s}] \subseteq \mathfrak{t} \oplus \mathfrak{m}_{I_s}$  for s > l and  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_{s'}}] = 0$  for  $s \neq s'$ . Hence, 4.2.7 defines a T-subalgebra.

Now, let  $\mathfrak{k}$  be any T-subalgebra of  $\mathfrak{so}(2n)$ . As in the proof of Proposition 4.1.1, let  $\Gamma$  be a graph with vertex set  $\{1,\ldots,n\}$  where i and j are connected by an edge if and only  $\mathfrak{m}_{ij}^+ \subseteq \mathfrak{k}$  or  $\mathfrak{m}_{ij}^- \subseteq \mathfrak{k}$ . By 4.2.3, if i and j are connected and if j and k are connected, then so are i and k. Thus, if  $I_1,\ldots,I_r$  denote the connected components of  $\Gamma$ , then

$$\mathfrak{k} = \mathfrak{t} \oplus igoplus_{\substack{s=1 \ n_s \geq 2}}^r ig(igoplus_{\substack{i,j \in I_s \ i < j}} \mathfrak{k}_{ij}ig)$$

where  $\mathfrak{t}_{ij} \in \left\{\mathfrak{m}_{ij}^+, \mathfrak{m}_{ij}^-, \mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^-\right\}$ . Now, fix some  $s \in \{1, \ldots, r\}$  such that  $n_s \geq 2$ . If  $\mathfrak{t}_{ij} \in \left\{\mathfrak{m}_{ij}^+, \mathfrak{m}_{ij}^-\right\}$  for all  $i < j, i, j \in I_s$ , then by the uniqueness statement of Lemma 4.2.4,

 $\mathfrak{t} \oplus \bigoplus_{i,j \in I_s, i < j} \mathfrak{t}_{ij}$  must be of type  $\mathfrak{u}(n_s)_{I_s}^{\epsilon_1^1, \dots, \epsilon_{n_s-1}^s}$ . If  $\mathfrak{t}_{ij} = \mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^-$  for any  $i < j, i, j \in I_s$ , then  $\mathfrak{t}_{pq} = \mathfrak{m}_{pq}^+ \oplus \mathfrak{m}_{pq}^-$  for all  $p < q, p, q \in I_s$ . In fact, if  $i \neq p$ , then by 4.2.3,

$$\mathfrak{m}_{ip}^+\oplus\mathfrak{m}_{ip}^-=[\mathfrak{m}_{ij}^+\oplus\mathfrak{m}_{ij}^-,\mathfrak{k}_{jp}]\subseteq\mathfrak{k}$$

and

$$\mathfrak{m}_{pq}^+\oplus\mathfrak{m}_{pq}^-=[\mathfrak{m}_{ip}^+\oplus\mathfrak{m}_{ip}^-,\mathfrak{k}_{iq}]\subseteq\mathfrak{k}$$

and similarly  $\mathfrak{m}_{pq}^+ \oplus \mathfrak{m}_{pq}^- \subseteq \mathfrak{k}$  for the case  $j \neq p$ . This proves that  $\mathfrak{k}$  is of type 4.2.7. Moreover,  $n_s \geq 2$  for at least one s, since  $\mathfrak{k} \neq \mathfrak{k}$  and if l = 0, then  $r \geq 2$  since  $\mathfrak{k} \neq \mathfrak{so}(2n)$ .

Using the embedding

$$\mathfrak{gl}(m,\mathbb{C}) \hookrightarrow \mathfrak{gl}(2m,\mathbb{R});$$

$$\begin{pmatrix} x_{1,1} + i \cdot y_{1,1} & \cdots & x_{1,m} + i \cdot y_{1,m} \\ \vdots & & \vdots \\ x_{m,1} + i \cdot y_{m,1} & \cdots & x_{m,m} + i \cdot y_{m,m} \end{pmatrix} \mapsto \begin{pmatrix} x_{1,1} & -y_{1,1} & \cdots & x_{1,m} & -y_{1,m} \\ y_{1,1} & x_{1,1} & \cdots & y_{1,m} & x_{1,m} \\ \vdots & & \vdots & & \vdots \\ x_{m,1} & -y_{m,1} & \cdots & x_{m,m} & -y_{m,m} \\ y_{m,1} & x_{m,1} & \cdots & y_{m,m} & x_{m,m} \end{pmatrix}$$

for  $m \in \mathbb{N}^*$  with  $x_{k,l}, y_{k,l} \in \mathbb{R}, 1 \leq k, l \leq m$ ,  $\mathfrak{u}(m)$  can be considered as a subalgebra of  $\mathfrak{so}(2m)$ . Moreover,  $\mathfrak{k} = \mathfrak{u}(n_1)_{I_1}^{\epsilon_1^1 \dots \epsilon_{n_1-1}^1} \oplus \dots \oplus \mathfrak{u}(n_l)_{I_l}^{\epsilon_1^1 \dots \epsilon_{n_l-1}^l} \oplus \mathfrak{so}(2n_{l+1})_{I_{l+1}} \oplus \dots \oplus \mathfrak{so}(2n_r)_{I_r}$  is isomorphic to the subalgebra of block matrices of type

$$\begin{pmatrix} A_{1} & 0 \\ & \ddots & \\ 0 & A_{r} \end{pmatrix}, \quad A_{i} \in \mathfrak{u}(n_{i}), i \leq l, A_{i} \in \mathfrak{so}(2n_{i}), i > l$$

$$(4.2.8)$$

More precisely, for  $\sigma \in S_n$  let  $P_{\sigma} \in SO(2n)$  be the permutation matrix acting on the  $2 \times 2$ -blocks in a canonical way. After conjugation with some  $P_{\sigma}$ , one may assume  $I_i = \left\{\sum_{j=0}^{i-1} n_j + 1, \ldots, \sum_{j=0}^{i} n_j\right\}$  for  $n_0 := 0, 1 \le i \le r$ . Moreover, if  $A := \operatorname{diag}\left(\left(\begin{array}{cc} -1 & 0 \\ 0 & 1 \end{array}\right), I_2, \ldots, I_2\right) \in O(2n)$ , then the automorphism  $\operatorname{Ad}(A)$  maps  $\mathfrak{m}_{1,2}^{\pm}$  to  $\mathfrak{m}_{1,2}^{\mp}$  and leaves  $\mathfrak{m}_{p,p+1}^{\pm}$  invariant for all  $p \ge 2$ . Combining A with appropriate cyclic permutations, it follows that  $\mathfrak{u}\left(n_i\right)^{\epsilon_1^i \ldots \epsilon_{n_i-1}^i}$  is  $\operatorname{Aut}(SO\left(2n_i\right))$ -conjugate to  $\mathfrak{u}\left(n_i\right)^{-\ldots}$ . Hence,  $\mathfrak{k}$  is of type 4.2.8 up to automorphism.

With  $\mathfrak{t} =: \bigoplus_{i=1}^n \mathfrak{so}(2)_{\{i\}}$  or  $\mathfrak{t} =: \bigoplus_{i=1}^n \mathfrak{u}(1)_{\{i\}}$ , the contractility or not-contractility of  $\Delta_{G/H}$  for G = SO(2n) and H < G connected of maximal rank is given by the following theorem.

**Theorem 4.2.6.** [Rau16, p. 44] Let  $\mathfrak{h} = \mathfrak{u}(n_1)_{I_1}^{\epsilon_1^1...\epsilon_{n_1-1}^1} \oplus ... \oplus \mathfrak{u}(n_l)_{I_l}^{\epsilon_l^1...\epsilon_{n_l-1}^l} \oplus \mathfrak{so}(2n_{l+1})_{I_{l+1}} \oplus ... \oplus \mathfrak{so}(2n_r)_{I_r}$  as in Proposition 4.2.5. If  $n_s = 1$  for some  $s \in \{1, ..., r\}$ , the corresponding summand will be written as  $\mathfrak{so}(2)_{I_s}$ , whenever there exists a summand of type  $\mathfrak{so}(2n_{s'})_{I_{s'}}$ , for some  $n_{s'} \geq 2$ . Otherwise, it will be written as  $\mathfrak{u}(1)_{I_s}$ . Using this notation, the following statements hold:

1. If 
$$l = 0$$
, i.e.  $\mathfrak{h} \cong \bigoplus_{i=1}^r \mathfrak{so}(2n_i)$ , then  $\tilde{H}_{r-3}(\Delta_{G/H}, \mathbb{Q}) \neq 0$ .

2. If 
$$l = r$$
, i.e.  $\mathfrak{h} \cong \bigoplus_{i=1}^r \mathfrak{u}(n_i)$ , then  $\tilde{H}_{r-2}(\Delta_{G/H}, \mathbb{Q}) \neq 0$ .

3. If  $l \notin \{0, r\}$ , then  $\Delta_{G/H}$  is contractible.

*Proof.* Let l = 0. If r = 2, then  $\mathfrak{h}$  is maximal, like in the beginning of the proof of Therema 4.1.2. Hence,  $\Delta_{G/H} = \emptyset$  which implies  $\tilde{H}_{-1}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .

Let  $r \geq 3$ . Using the notation of the proof of Theorem 4.2.6, let

$$\mathfrak{k}_p^q := \mathfrak{so}(2n_{1,\dots,r-2-p,r-1-p+q})_{I_1,\dots,r-2-p,r-1-p+q} \oplus \bigoplus_{\substack{l=r-1-p\\l\neq r-1-p+q}}^r \mathfrak{so}(2n_l)_{I_l}$$

for  $p \in \{0, ..., r-3\}, q \in \{0, ..., p+1\}$ . Without loss of generality, suppose that  $n_1 \ge 2$ , so  $(\mathfrak{t}_0^0 > ... > \mathfrak{t}_{r-3})$  is again a maximal chain of H-subalgebras and for  $q \ne 0$ , it holds

$$\begin{split} & \mathfrak{k}_p^q \neq \mathfrak{k}_p^0 \\ & \mathfrak{k}_{p-1}^{q-1} > \mathfrak{k}_p^q > \mathfrak{k}_{p+1}^0 \text{ with } \mathfrak{k}_{-1}^0 := \mathfrak{g}, \mathfrak{k}_{r-2}^0 := \mathfrak{h} \text{ and } \\ & \left\langle \mathfrak{k}_p^1, \dots, \mathfrak{k}_p^{p+1} \right\rangle = \mathfrak{so} \left( 2n_{1,\dots,r-2-p,r-p,\dots,r} \right)_{I_1,\dots,r-2-p,r-p,\dots,r} \oplus \mathfrak{so} \left( 2n_{r-1-p} \right)_{I_{r-1-p}} < \mathfrak{g} \end{split}$$

Hence,  $\tilde{H}_{r-3}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$  by Theorem 2.4.13.

Now, let l=r, i.e.  $\mathfrak{h}=\mathfrak{u}\left(n_1\right)_{I_1}^{\epsilon_1^1...\epsilon_{n_1-1}^1}\oplus\ldots\oplus\mathfrak{u}\left(n_r\right)_{I_r}^{\epsilon_1^r...n_{n_r-1}^r}$ . As mentioned above, we may assume  $I_i=\{\sum_{l=1}^{i-1}n_l+1,\ldots,\sum_{l=1}^{i}n_l\}$  with  $n_0:=0$  and  $\epsilon_j^i=-$  for all  $i\in\{1,\ldots,r\},j\in\{1,\ldots,n_i-1\}$ . If r=1, then  $\mathfrak{h}=\mathfrak{u}(n)^{-...-}$  is maximal and  $\tilde{H}_{-1}(\Delta_{G/H},\mathbb{Q})\neq 0$ . So, let  $r\geq 2$ . For  $p\in\{0,\ldots,r-2\}$  let

$$\mathfrak{k}_p^q := \mathfrak{u}(n_{1,\dots,r-p-1,r-p+q})_{I_1,\dots,r-p-1,r-p+q}^{-\dots-} \oplus \bigoplus_{\substack{l=r-p\\l\neq r-p+q}}^r \mathfrak{u}(n_l)_{I_l}^{-\dots-} \text{ for } q \in \{0,\dots,p\} \text{ and}$$

$$\mathfrak{k}_p^{p+1} := \mathfrak{u}(n_{1,\dots,r-p-1,r})_{I_1,\dots,r-p-1,r}^{-\dots-+} \oplus \bigoplus_{\substack{l=r-p\\l=r-p}}^{r-1} \mathfrak{u}(n_l)_{I_l}^{-\dots-}$$

i.e.  $\mathfrak{k}_p^{p+1}$  is generated by  $\mathfrak{u}\left(n_{1,\dots,r-p-1}\right)_{I_1,\dots,r-p-1}^{-\dots-}$  and  $\mathfrak{u}\left(n_r\right)_{I_r}^{-\dots-}$ , adding the root space  $\mathfrak{m}_{1,n}^+$ . Moreover,  $\mathfrak{k}_p^0 = \mathfrak{u}\left(n_{1,\dots,r-p}\right)_{I_1,\dots,r-p}^{-\dots}$ , so  $\left(\mathfrak{k}_0^0 > \dots > \mathfrak{k}_{r-2}^0\right)$  is a maximal chain of H-subalgebras and with  $\mathfrak{k}_{-1}^0 := \mathfrak{g}$  and  $\mathfrak{k}_{r-1}^0 := \mathfrak{h}$  it follows for  $q \neq 0$ :

$$\begin{aligned} \mathfrak{k}_p^q &\neq \mathfrak{k}_p^0 \\ \mathfrak{k}_{p-1}^{q-1} &> \mathfrak{k}_p^q > \mathfrak{k}_{p+1}^0 \end{aligned}$$

Moreover, for  $p \ge 1$  it follows

$$\begin{split} \langle \mathfrak{k}_p^1, \dots, \mathfrak{k}_p^{p+1} \rangle &= \langle \mathfrak{u}(n_{1,\dots,\widehat{r-p},\dots,r})^-_{I_{1,\dots,r}^{-\dots-p},\dots,r} \oplus \mathfrak{u}(n_{r-p})^{-\dots-}_{I_{r-p}}, \mathfrak{k}_p^{p+1} \rangle \\ &= \mathfrak{so} \left( 2n_{1,\dots,\widehat{r-p},\dots,r} \right)_{I_1} \quad \widehat{_{r-p},\dots,r} \oplus \mathfrak{u} \left( n_{r-p} \right)^{-\dots-}_{I_{r-p}} < \mathfrak{g} \end{split}$$

Where a hat denotes the omission of an element.

Thus,  $\tilde{H}_{r-2}(\Delta_{G/H}, \mathbb{Q}) \neq 0$  by Theorem 2.4.13.

Now, let  $l \notin \{0, r\}$  and assume  $\mathfrak{h} = \mathfrak{u}(n_1)_{I_1}^{-\dots -} \oplus \dots \oplus \mathfrak{u}(n_l)_{I_l}^{-\dots -} \oplus \mathfrak{so}(2n_{l+1})_{I_{l+1}} \oplus \dots \oplus \mathfrak{so}(2n_r)_{I_r}$ . Consider the H-subalgebra

$$\mathfrak{k}:=\mathfrak{so}\,(2n_1)_{I_1}\oplus\ldots\oplus\mathfrak{so}\,(2n_l)_{I_l}\oplus\mathfrak{so}\,(2n_{l+1})_{I_{l+1}}\oplus\ldots\oplus\mathfrak{so}\,(2n_r)_{I_r}$$

In fact,  $\mathfrak{k} \neq \mathfrak{h}$ , since  $n_i \geq 2$  for at least one  $i \leq l$ . To show that  $\Delta_{G/H}$  is contractible, it suffices to show, by Theorem 2.4.9, that that there exists no H-subalgebra  $\mathfrak{l}$  with  $\mathfrak{l} \cap \mathfrak{k} = \mathfrak{h}$  and  $\langle \mathfrak{l}, \mathfrak{k} \rangle = \mathfrak{g}$ . So, let  $\mathfrak{l}$  be any H-subalgebra. Since  $n_i \geq 2$  for at least one  $i > l, \mathfrak{l} \neq \mathfrak{u}(n)$ . Hence,

$$\mathfrak{l}=\mathfrak{u}\left(m_{1}\right)_{J_{1}}^{\epsilon_{1}^{1}\dots\epsilon_{m_{1}-1}^{1}}\oplus\ldots\oplus\mathfrak{u}\left(m_{l'}\right)_{J_{l'}}^{\epsilon_{1}^{l}\dots\epsilon_{m_{l'}-1}^{l'}}\oplus\mathfrak{so}\left(2m_{l'+1}\right)_{J_{l'+1}}\oplus\ldots\oplus\mathfrak{so}\left(2m_{r'}\right)_{J_{r'}}$$

for some  $r' \geq 2$ . Moreover, for all  $i \in \{1, ..., r\}$  there exists some  $j \in \{1, ..., r'\}$  with  $I_i \subseteq J_j$ . In particular,

$$\langle \mathfrak{k}, \mathfrak{l} 
angle \leq \mathfrak{so} \left( 2m_1 
ight)_{J_1} \oplus \ldots \oplus \mathfrak{so} \left( 2m_{r'} 
ight)_{J_{r'}} < \mathfrak{g}$$

Hence,  $\Delta_{G/H}$  is contractible by Theorem 2.4.9.

**Corollary 4.2.7.** If H is a connected Lie subgroup of maximal rank of SO(n), n even,  $n \geq 8$ . Then, following notation from 4.2.5,

- 1. If  $H \cong \prod_{i=1}^r SO(2n_i)$ , then SO(n)/H admits a SO(n)-invariant Einstein metric
- 2. If  $H \cong \prod_{i=1}^r U(n_i)$ , then SO(n)/H admits a SO(n)-invariant Einstein metric.

*Proof.* It follows from 4.1.2, 2.3.2 and 1.3.14.

Observe that the case for  $l \notin \{0, r\}$  is inconclusive by 2.3.2.

**Example 4.2.8.** As in the computations of example 4.1.4, we will illustrate some subalgebras used in the proof of Theorem 4.2.6 for the case n = 4, that is, we are in  $\mathfrak{so}(8)$ , with r = 3,  $I_1 = \{1, 2\}$ ,  $I_2 = \{3\}$ ,  $I_3 = \{4\}$  and

$$\mathfrak{h} = \mathfrak{u}(2)_{I_{1}}^{-} \oplus \mathfrak{u}(1)_{I_{2}} \oplus \mathfrak{u}(1)_{I_{3}} = \mathfrak{t} \oplus \mathfrak{m}_{12}^{-} =$$

$$= \begin{cases}
\begin{pmatrix}
0 & \alpha_{1} & a & -b \\ -\alpha_{1} & 0 & b & a \\ -a & -b & 0 & \alpha_{2} \\ b & -a & -\alpha & 0
\end{pmatrix} & \alpha_{3} \\ -\alpha_{3} & 0 & \alpha_{4} \\ -\alpha_{4} & 0
\end{pmatrix} & \alpha_{i}, a, b \in \mathbb{R} \end{cases}$$

$$\mathfrak{t}_{1}^{0} = \mathfrak{u}(3)_{I_{1,2}}^{---} \oplus \mathfrak{u}(1)_{I_{3}} = \mathfrak{t} \oplus \mathfrak{m}_{12}^{-} \oplus \mathfrak{m}_{13}^{-} \oplus \mathfrak{m}_{23}^{-} =$$

$$= \begin{cases}
\begin{pmatrix}
0 & \alpha_{1} & a & -b & c & -d \\ -\alpha_{1} & 0 & b & a & d & c \\ -a & -b & 0 & \alpha_{2} & e & -f \\ b & -a & -\alpha & 0 & f & e \\ -c & -d & -e & -f & 0 & \alpha_{3} \\ d & -c & f & -e & -\alpha_{3} & 0
\end{pmatrix} & \alpha_{i}, a, \dots, f \in \mathbb{R} \end{cases}$$

$$\mathfrak{t}_{1}^{2} = \mathfrak{u}(3)_{I_{1,3}}^{-+} \oplus \mathfrak{u}(1)_{I_{2}} = \mathfrak{t} \oplus \mathfrak{m}_{12}^{-} \oplus \mathfrak{m}_{14}^{+} \oplus \mathfrak{m}_{24}^{+} =$$

$$= \begin{cases}
\begin{pmatrix}
0 & \alpha_{1} & a & -b & c & d \\ -\alpha_{1} & 0 & b & a & d & -c \\ -a & -b & 0 & \alpha_{2} & e & f \\ b & -a & -\alpha & 0 & f & -e \\ -a & -b & 0 & \alpha_{2} & e & f \\ b & -a & -\alpha & 0 & f & -e \\ -d & c & -f & e & -\alpha_{4} & 0
\end{pmatrix} & \alpha_{i}, a, \dots, f \in \mathbb{R} \end{cases}$$

## 4.2.2 $n \text{ odd}, n \geq 3$

First, consider  $\mathfrak{so}(3)$ . A maximal abelian subalgebra is given by

$$\mathfrak{t} = \left\{ \left( \begin{array}{ccc} 0 & \alpha & 0 \\ -\alpha & 0 & 0 \\ 0 & 0 & 0 \end{array} \right) \middle| \alpha \in \mathbb{R} \right\}$$

Hence,  $\mathfrak{so}(3)$  has rank 1, i.e., only one simple root, then postive root. It follows from Lemma 3.1.8, that there exist no T-subalgebras of  $\mathfrak{so}(3)$ , since adding the one root space generates  $\mathfrak{so}(3)$ . Follows that  $\Delta_{SO(3)/T} = \emptyset$ , so contractible and SO(3)/H admits a SO(3)-invariant Einstein metric by 2.3.2.

So, assume  $n \geq 5$ , i.e. SO(n) = SO(2m+1) for some  $m \geq 2$ . Subalgebras of  $\mathfrak{so}(2m), \mathfrak{so}(2m)_{\mathbb{C}}$  can be considered subalgebras of  $\mathfrak{so}(2m+1), \mathfrak{so}(2m+1)_{\mathbb{C}}$  by the canonical embedding  $X \in \mathfrak{so}(2m) \mapsto \binom{X}{0} \in \mathfrak{so}(2m+1)$ . Let  $\mathfrak{t}$  be the Cartan subalgebra of  $\mathfrak{so}(2m)$  as in 4.2.6. By [Hel78, p. 187],  $\mathfrak{t}$  is also a Cartan subalgebra of  $\mathfrak{so}(2m+1)$  and  $\mathfrak{h} = \mathfrak{t} \otimes \mathbb{C}$  is a Cartan subalgebra of  $\mathfrak{so}(2m+1)_{\mathbb{C}}$ . For  $1 \leq k < l \leq m$  let  $\pm \nu_k \pm \nu_l$  be as before. These are roots with root space  $span_{\mathbb{C}}\{E_{kl}^{++}\}, span_{\mathbb{C}}\{E_{kl}^{--}\}, span_{\mathbb{C}}\{E_{kl}^{+-}\}$  and

 $span_{\mathbb{C}}\{E_{kl}^{-+}\}\subseteq \mathfrak{so}(2m)_{\mathbb{C}}\subseteq \mathfrak{so}(2m+1)_{\mathbb{C}}$ . Furthermore, for  $1\leq k\leq m$ , let  $E_k^+\in \mathfrak{so}(2m+1)_{\mathbb{C}}$  be the matrix whose entries are all zero but its submatrix induced by the indices 2k-1,2k and 2m+1 is of type

$$\left(\begin{array}{ccc}
0 & 0 & 1 \\
0 & 0 & i \\
-1 & -i & 0
\end{array}\right)$$

Analogously, let  $E_k^- \in \mathfrak{so}(2n+1)_{\mathbb{C}}$  be the matrix whose entries are all zero but its submatrix induced by the indices 2k-1, 2k and 2n+1 is of type

$$\left(\begin{array}{ccc}
0 & 0 & 1 \\
0 & 0 & -i \\
-1 & i & 0
\end{array}\right)$$

It follows for  $z_1, \ldots, z_n \in \mathbb{C}, H = \operatorname{diag}(I(z_1), \ldots, I(z_n), 0) \in \mathfrak{h}$ :

$$[H, E_k^+] = iz_k \cdot E_k^+$$
$$[H, E_k^-] = -iz_k \cdot E_k^-$$

Hence,  $\nu_k$  and  $-\nu_k$  are roots with root spaces  $span_{\mathbb{C}}\{E_k^+\}$ ,  $span_{\mathbb{C}}\{E_k^-\}$ , respectively.

The root space decomposition is given by

$$\mathfrak{so}(2n+1)_{\mathbb{C}} = \mathfrak{h} \oplus \bigoplus_{1 \leq k < l \leq n} span_{\mathbb{C}} \{E_{kl}^{++}, E_{kl}^{--}, E_{kl}^{+-}, E_{kl}^{-+}\} \oplus \bigoplus_{1 \leq k \leq n} span_{\mathbb{C}} \{E_{k}^{+}, E_{k}^{-}\}$$

and all roots are given by  $\pm \nu_k$  and  $\pm \nu_k \pm \nu_l$ ,  $1 \le k < l \le n$ . In addition to 4.2.2, for indices  $i, k, l \in \{1, \dots, n\}, k \ne l$ , the following equivalences hold:

$$\epsilon := \nu_i + (\nu_k - \nu_l) \text{ is a root } \Leftrightarrow i = l$$

$$\zeta := -\nu_i + (\nu_k - \nu_l) \text{ is a root } \Leftrightarrow i = k$$

$$\eta := \pm \nu_i \mp (\nu_k + \nu_l) \text{ is a root } \Leftrightarrow i \in \{k, l\}$$

$$(4.2.9)$$

A set of simple roots is given by

$$\{\nu_i - \nu_{i+1} \mid 1 \le i \le n-1\} \cup \{\nu_n\}$$

with Dynkin diagram  $B_n$  and the corresponding set of positive roots is given by

$$\{\nu_k \pm \nu_l \mid 1 \le k < l \le n\} \cup \{\nu_k \mid 1 \le k \le n\}$$

see [Hel78, p. 462]. For  $1 \le k < l \le n$  let  $\mathfrak{m}_{kl}^+ = \mathfrak{m}_{\nu_k + \nu_l}, \mathfrak{m}_{kl}^- = \mathfrak{m}_{\nu_k - \nu_l}$  as above and

$$\mathfrak{m}_k := \mathfrak{m}_{\nu_k} := span_{\mathbb{C}}\{E_k^+, E_k^-\} \cap \mathfrak{so}(2n+1) \cong \left\{ \left( \begin{array}{ccc} 0 & 0 & \alpha \\ 0 & 0 & \beta \\ -\alpha & -\beta & 0 \end{array} \right) \middle| \ \alpha, \beta \in \mathbb{R} \right\}$$

And the root space decomposition of  $\mathfrak{so}(2n+1)$  is given by

$$\mathfrak{so}(2n+1) = \mathfrak{h} \oplus \bigoplus_{1 \le k < l \le n} (\mathfrak{m}_{kl}^+ \oplus \mathfrak{m}_{kl}^-) \oplus \bigoplus_{1 \le k \le n} \mathfrak{m}_k$$

Thus, the T-subalgebras of  $\mathfrak{so}(2n+1)$  are given by the following proposition.

**Proposition 4.2.9.** [Rau16, p. 47] With the notation as above, all T-subalgebras  $\mathfrak{t}$  of  $\mathfrak{so}(2n+1)$  are precisely given by the following three cases:

- 1.  $\mathfrak{k} = \mathfrak{so}(2n)$ .
- 2.  $\mathfrak{k} < \mathfrak{so}(2n)$  and  $\mathfrak{k}$  given as in 4.2.6.
- $3. \ \ \mathfrak{k} = \bigoplus_{i=1}^{l} \mathfrak{u} \left( n_{i} \right)_{I_{i}}^{\epsilon_{1}^{i} \dots \epsilon_{n_{i}-1}^{i}} \oplus \bigoplus_{j=l+1}^{r-1} \mathfrak{so} \left( 2n_{j} \right)_{I_{j}} \oplus \mathfrak{so} \left( 2n_{r}+1 \right)_{I_{r}} := \mathfrak{k}' \oplus \bigoplus_{k \in I_{r}} \mathfrak{m}_{k}, \ where \ \mathfrak{k}' = \bigoplus_{i=1}^{l} \mathfrak{u} \left( n_{i} \right)_{I_{i}}^{\epsilon_{1}^{i} \dots \epsilon_{n_{i}-1}^{i}} \oplus \bigoplus_{j=l+1}^{r} \mathfrak{so} \left( 2n_{j} \right)_{I_{j}} \ is \ equal \ to \ \mathfrak{t} \ or \ a \ T\text{-subalgebra of } \mathfrak{so}(2n) \ as \ in \ 4.2.6$  with  $0 \leq l < r \leq n$ .

*Proof.* Every subalgebra of  $\mathfrak{so}(2n)$  is also a subalgebra of  $\mathfrak{so}(2n+1)$ . Hence,  $\mathfrak{k}$  like in 1 and 2 are T-subalgebras in  $\mathfrak{so}(2n+1)$ . Now, let  $\mathfrak{k} = \mathfrak{k}' \oplus \bigoplus_{k \in I_r} \mathfrak{m}_k$  as in 3. For  $i, j, k \in \{1, \ldots, n\}, i < j, 3.1.8$  and 4.2.9 imply

$$[\mathfrak{m}_{k}, \mathfrak{m}_{ij}^{\pm}] = \begin{cases} \mathfrak{m}_{i}, & k = j \\ \mathfrak{m}_{j}, & k = i \\ \{0\}, & k \notin \{i, j\} \end{cases}$$
 (4.2.10)

and furthermore,

$$[\mathfrak{m}_i,\mathfrak{m}_j] = \mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^- \tag{4.2.11}$$

Let  $\mathfrak{m}_{I_s}$  be defined as in the proof of Proposition 4.2.5 for  $1 \leq s \leq r$ . For all  $k, l \in I_r$ , k < l, it follows  $[\mathfrak{m}_k, \mathfrak{m}_l] \subseteq \mathfrak{m}_{I_r}, [\mathfrak{m}_k, \mathfrak{m}_k] \subseteq \mathfrak{t}, [\mathfrak{m}_k, \mathfrak{m}_{I_s}] = 0$  for s < r and  $[\mathfrak{m}_k, \mathfrak{m}_{I_r}] \subseteq \bigoplus_{i \in I_r} \mathfrak{m}_i$ . Thus, 3 defines a subalgebra of  $\mathfrak{so}(2n+1)$ .

Now, let  $\mathfrak{k}$  be any T-subalgebra of  $\mathfrak{so}(2n+1)$ . We may assume that  $\mathfrak{m}_k \subseteq \mathfrak{k}$  for at least one k, since otherwise  $\mathfrak{k} = \mathfrak{so}(2n)$  or  $\mathfrak{k}$  would be a T-subalgebra of  $\mathfrak{so}(2n)$  and thus, it would be of type 4.2.6. By 4.2.10 and 4.2.11,  $[\mathfrak{m}_k, \mathfrak{so}(2n)] = \mathfrak{so}(2n+1)$  and  $[\mathfrak{m}_k, \mathfrak{u}(n)^{\epsilon_1, \dots, \epsilon_{n-1}}] = \mathfrak{so}(2n+1)$  for any  $1 \leq k \leq n$  and  $\epsilon_1, \dots, \epsilon_{n-1} \in \{-, +\}$ . Thus, for  $\mathfrak{k}' := \mathfrak{k} \cap \mathfrak{so}(2n)$ , it follows  $\mathfrak{k}' = \mathfrak{k}$  or  $\mathfrak{k}' = \bigoplus_{i=1}^l \mathfrak{u}(n_i)_{I_i}^{\epsilon_1^i \dots \epsilon_{n_i-1}^i} \oplus \bigoplus_{j=l+1}^r \mathfrak{so}(2n_j)_{I_j}$  as in 4.2.6 for some  $0 \leq l \leq r, r \geq 2$ . Now, let

$$I := \{k \in \{1, \dots, n\} \mid \mathfrak{m}_k \subseteq \mathfrak{k}\}\$$

By 4.2.11,  $\mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^- \subseteq \mathfrak{k}'$  for all  $i,j \in I, i < j$ . Hence, if  $\mathfrak{k}' = \mathfrak{t}$ , then  $I = \{k\}$  for some  $k \in \{1,\ldots,n\}$  and  $\mathfrak{k} = \mathfrak{t} \oplus \mathfrak{m}_k$  is of type 3. Now, assume  $\mathfrak{k}' \neq \mathfrak{t}$ . By 4.2.11, there is an s > l such that  $I \subseteq I_s$ , so assume  $I \subseteq I_r$ . But for any  $i \in I, j \in I_r, i \neq j$ , it follows from 4.2.10 that  $\mathfrak{k} \supseteq \left[\mathfrak{m}_i, \mathfrak{m}_{ij}^{\pm}\right] = \mathfrak{m}_j$ . Hence,  $I = I_r$  and  $\mathfrak{k}$  is of type 3.

Let  $\mathfrak{k} = \bigoplus_{i=1}^{l} \mathfrak{u}\left(n_{i}\right)^{\epsilon_{1}^{i} \dots \epsilon_{n_{i}-1}^{i}} \oplus \bigoplus_{j=l+1}^{r-1} \oplus \mathfrak{so}\left(2n_{j}\right)_{I_{j}} \left(\oplus \mathfrak{so}\left(2n_{r}\right)_{I_{r}}\right) < \mathfrak{so}(2n+1)$  be any T-subalgebra of  $\mathfrak{so}(2n+1)$ . Similarly to the case  $\mathfrak{g} = \mathfrak{so}(2n)$ , after conjugation with appropriate matrices of type  $P_{\sigma} \subseteq SO(2n) \subseteq SO(2n+1), \sigma \in S_{n}$ , and  $\operatorname{diag}\left(\left(\begin{smallmatrix} -1 & 0 \\ 0 & 1 \end{smallmatrix}\right), I_{2}, \dots, I_{2}, -1\right) \in SO(2n+1)$ , we may assume that  $\mathfrak{k}$  consists of all block matrices of type

$$\begin{pmatrix} A_1 & & & 0 \\ & \ddots & & \\ & & A_{r-1} & \\ & & & 0 \end{pmatrix} \text{ or } \begin{pmatrix} A_1 & & 0 \\ & \ddots & & \\ & & A_r & \\ & & & B \end{pmatrix}$$

with  $A_i \in \mathfrak{u}(n_i)$ ,  $i \leq l$ ,  $A_i \in \mathfrak{so}(2n_i)$ , i > l and  $B \in \mathfrak{so}(2n_r + 1)$ .

The contractility or not-contractility of  $\Delta_{G/H}$  for G = SO(2n+1) and H < G connected of maximal rank is now given by the following theorem.

**Theorem 4.2.10.** [Rau16, p. 49] With the notation as above, let  $\mathfrak{h} = \mathfrak{so}(2n)$  or  $\mathfrak{h} = \bigoplus_{i=1}^{l} \mathfrak{u}(n_i)_{I_i}^{\varepsilon_1^i \dots \varepsilon_{n_i-1}^i} \oplus \bigoplus_{j=l+1}^{r-1} \mathfrak{so}(2n_j)_{I_j} \oplus \mathfrak{so}(2n_r+1)_{I_r}$  or  $\mathfrak{h} = \mathfrak{t}$ . Here,  $n_r = 0, I_r = \emptyset$  means that  $\mathfrak{h} \leq \mathfrak{so}(2n)$ .

As above, if  $n_s = 1$  for some  $s \in \{1, ..., r\}$ , the corresponding summand will be written as  $\mathfrak{so}(2)_{I_s}$ , whenever there exists a summand of type  $\mathfrak{so}(2n_{s'})_{I_{s'}}$  for some  $n_{s'} \geq 2$  or a summand of type  $\mathfrak{so}(2n_r + 1)_{I_r}$  with  $n_r \geq 1$ . Otherwise, it will be written as  $\mathfrak{u}(1)_{I_s}$ . Using this notation, the following statements hold:

- 1. If  $\mathfrak{h} = \mathfrak{so}(2n)$ , then  $\Delta_{G/H} = \emptyset$ .
- 2. If l = 0, then  $\tilde{H}_{r-3}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .
- 3. If  $\mathfrak{h} = \mathfrak{t}$ , then  $\tilde{H}_{n-2}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .
- 4. If  $l \neq 0$  and  $\mathfrak{h} \neq \mathfrak{t}$ , then  $\Delta_{G/H}$  is contractible.

*Proof.*  $\mathfrak{h} = \mathfrak{so}(2n)$  is maximal in  $\mathfrak{so}(2n+1)$ , hence  $\Delta_{SO(2n+1)/SO(2n)} = \emptyset$  as in the proof of 4.1.2.

Now, assume l=0. Under this assumption, r=2 implies that  $\mathfrak{h}$  is of type  $\mathfrak{so}(2n_1)_{I_1} \oplus \mathfrak{so}(2n_2+1)_{I_2}$ . Hence,  $\mathfrak{h}$  is maximal, i.e.  $\Delta_{G/H}=\emptyset$  and  $\tilde{H}_{-1}\left(\Delta_{G/H},\mathbb{Q}\right) \neq 0$ . So,

assume  $r \ge 3$ . With the notation as in Theorem 4.2.5 let  $p \in \{0, \dots, r-3\}, q \in \{0, \dots, p+1\}$  and

$$\mathfrak{k}_{p}^{q} := \mathfrak{so}\left(2n_{1,\dots,r-3-p,r-2-p+q,r}+1\right)_{I_{1,\dots,r-3-p,r-2-p+q,r}} \oplus \bigoplus_{\substack{l=r-2-p\\l\neq r-2-p+q}}^{r-1} \mathfrak{so}\left(2n_{l}\right)_{I_{l}} \tag{4.2.12}$$

This yields a maximal chain of H-subalgebras ( $\mathfrak{k}_0^0 > \ldots > \mathfrak{k}_{r-3}^0$ ). Furthermore, for  $q \neq 0, \mathfrak{k}_{-1}^0 := \mathfrak{g}$  and  $\mathfrak{k}_{r-2}^0 := \mathfrak{h}$  it follows

$$\mathfrak{k}_p^q \neq \mathfrak{k}_p^0$$
 $\mathfrak{k}_{p-1}^{q-1} > \mathfrak{k}_p^q > \mathfrak{k}_{p+1}^0$ 

and for  $p \ge 1$ :

$$\langle \mathfrak{k}_p^1, \dots, \mathfrak{k}_p^{p+1} \rangle = \mathfrak{so} \left( 2n_{1,\dots,r-3-p,r-1-p,\dots,r} + 1 \right)_{I_1,\dots,r-3-p,r-1-p,\dots,r} \oplus \mathfrak{so} \left( 2n_{r-2-p} \right)_{I_{r-2-p}} < \mathfrak{g}$$

So,  $\tilde{H}_{r-3}(\Delta_{G/H}, \mathbb{Q}) \neq 0$  by Theorem 2.4.13.

Let  $\mathfrak{h}=\mathfrak{t}$ . Then  $\mathfrak{h}=\bigoplus_{j=1}^{r-1}\mathfrak{so}\left(2n_{j}\right)_{I_{j}}\oplus\mathfrak{so}\left(2n_{r}+1\right)_{I_{r}}$  with  $r=n+1,I_{i}=\{i\},n_{i}=1$  for  $1\leq i\leq n$  and  $I_{r}=\emptyset,n_{r}=0$ . As above, 4.2.12 yields H-subalgebras  $\mathfrak{k}_{p}^{q}\cong\mathfrak{so}(2(n-1-p)+1)\oplus\mathfrak{so}(2)^{p+1}$  and  $\tilde{H}_{n-2}\left(\Delta_{G/H},\mathbb{Q}\right)\neq0$  by Theorem 2.4.13.

Now, let  $l \neq 0$ . If  $\mathfrak{h} \cong \mathfrak{u}(n)$ , then  $\Delta_{G/H} = \{\mathfrak{so}(2n)\}$  is just a point. So, let  $\mathfrak{h} \nsubseteq \mathfrak{u}(n)$ . After conjugation one may assume  $\mathfrak{h} = \bigoplus_{i=1}^{l} \mathfrak{u}(n_i)^{-\cdots} \oplus \bigoplus_{j=1}^{r-1} \mathfrak{so}\left(2n_j\right)_{I_j} \oplus \mathfrak{so}\left(2n_r+1\right)_{I_r}$ , where  $n_r = 0, I_r = \emptyset$  is possible. Since  $\mathfrak{h} \neq \mathfrak{t}$ , there exists at least one  $i \leq l$  with  $n_i \geq 2$ . So,

$$\mathfrak{k} := \bigoplus_{i=1}^{r-1} \mathfrak{so}\left(2n_i\right)_{I_i} \oplus \mathfrak{so}\left(2n_r+1\right)_{I_r}$$

is an H-subalgebra. As in the proof of Theorem 4.2.5, any H-subalgebra is of type

$$\mathfrak{l}=\bigoplus_{i=1}^{l'}\mathfrak{u}\left(m_{i}\right)_{J_{i}}^{\epsilon_{1}^{i}...\epsilon_{m_{i}-1}^{i}}\oplus\bigoplus_{i=l'+1}^{r'-1}\mathfrak{so}\left(2m_{i}\right)_{J_{i}}\oplus\mathfrak{so}\left(2m_{r'}\right)_{J_{r'}}$$

for some  $r' \geq 2$  and for all  $i \in \{1, ..., r\}$  there is a  $j \in \{1, ..., r'\}$  with  $I_i \subseteq J_j$ . Hence,

$$\langle \mathfrak{k},\mathfrak{l} \rangle \leq \bigoplus_{i=1}^{r'-1} \mathfrak{so} \left(2m_i\right)_{J_i} \oplus \mathfrak{so} \left(2m_{r'}+1\right)_{J_{r'}} < \mathfrak{so}(2n+1)$$

Thus, there exits no H-subalgebra in  $P_{G/H}$  such together with  $\mathfrak{k}$  generate  $\mathfrak{so}(2n+1)$  and  $\Delta_{G/H}$  is contractible by Theorem 2.4.9.

Corollary 4.2.11. Following the notation from last theorem, if H is a connected Lie subgroup of maximal rank of SO(n), n odd,  $n \geq 3$ . Then

- 1. If  $H \cong SO(2n)$ , then SO(n)/H admits a SO(n)-invariant Einstein metric
- 2. If  $H \cong \prod_{i=1}^{r-1} SO(2n_i) \times SO(2n_r+1)$ , then SO(n)/H admits a SO(n)-invariant Einstein metric
- 3. If  $H \cong \prod_{i=1}^r SO(1)$ , a maximal torus of SO(n), then SO(n)/H admits a SO(n)-invariant Einstein metric.

*Proof.* It follows from 4.1.2, 2.3.2 and 1.3.14.

Observe that, for the case  $l \neq 0$  and  $\mathfrak{h} \neq \mathfrak{t}$ , we have that 2.3.2 inconclusive.  $\square$ 

The examples from 4.2.8 also apply in this case.

# 4.3 $Sp(n), n \ge 1$

Let  $G=Sp(n)=\{A\in GL(2n,\mathbb{C})\mid A^TJ_nA=J_n\text{ and }\overline{A^T}=A^{-1}\}$ , with  $J_n:=\begin{pmatrix}0&Id_{\mathbb{C}^n}\\-Id_{\mathbb{C}^n}&0\end{pmatrix}$ .

Its lie algebra is given by

$$\mathfrak{sp}(n) = \left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \in \mathfrak{gl}(2n, \mathbb{C}) \middle| A, B \in \mathfrak{gl}(n, \mathbb{C}), \overline{A^T} = -A \text{ and } B = B^T \right\}$$

and its complexification is

$$\mathfrak{sp}(n)_{\mathbb{C}} = \left\{ \left( \begin{array}{cc} U & W \\ V & -U^T \end{array} \right) \in \mathfrak{gl}(2n,\mathbb{C}) \; \middle| \; U, V, W \in \mathfrak{gl}(n,\mathbb{C}), \; V = V^T \text{ and } W = W^T \right\}$$

A Cartan subalgebra of  $\mathfrak{sp}(n)$  is given by

$$\mathfrak{t} := \left\{ \begin{pmatrix} A & 0 \\ 0 & -A \end{pmatrix} \middle| A = \operatorname{diag}(i\alpha_1, \dots, i\alpha_n), \alpha_i \in \mathbb{R}, 1 \le i \le n \right\}$$
(4.3.1)

and a Cartan subalgebra of  $\mathfrak{sp}(n)_{\mathbb{C}}$  is given by

$$\mathfrak{h} := \mathfrak{t} \otimes \mathbb{C} = \left\{ \left( \begin{array}{cc} A & 0 \\ 0 & -A \end{array} \right) \middle| A = \operatorname{diag}\left(z_1, \dots, z_n\right), z_i \in \mathbb{C}, 1 \leq i \leq n \right\}$$

For  $k \in \{1, ..., n\}$  and  $H := \operatorname{diag}(z_1, ..., z_n, -z_1, ..., -z_n)) \in \mathfrak{h}$  let  $\nu_k \in \mathfrak{h}^*$  be defined by  $\nu_k(H) := z_k$ . Moreover, for  $1 \leq k, l \leq n$ , let  $E_{kl}$  the matrices of the canonical basis of  $\mathfrak{gl}(2n, \mathbb{C})$ . It follows:

$$[H, E_{k,n+l} + E_{l,n+k}] = (z_k + z_l) \cdot E_{k,n+l} + E_{l,n+k}, \quad k \le l$$

$$[H, E_{n+k,l} + E_{n+l,k}] = -(z_k + z_l) \cdot E_{n+k,l} + E_{n+l,k}, \quad k \le l$$

$$[H, E_{kl} - E_{n+l,n+k}] = (z_k - z_l) \cdot E_{kl} - E_{n+l,n+k}, \quad k \ne l.$$

and

$$\mathfrak{sp}(n)_{\mathbb{C}} = \mathfrak{h} \oplus \bigoplus_{k \leq l} span_{\mathbb{C}} \{ E_{k,n+l} + E_{l,n+k} \} \oplus \bigoplus_{k \leq l} span_{\mathbb{C}} \{ E_{n+k,l} + E_{n+l,k} \} \oplus \bigoplus_{k \neq l} span_{\mathbb{C}} \{ E_{kl} - E_{n+l,n+k} \}$$

is the root space decomposition and the roots are given by  $\pm \nu_k \pm \nu_l$ ,  $1 \leq k < l \leq n$  and  $\pm 2\nu_k$ ,  $1 \leq k \leq n$ . For indices  $i, j, k, l \in \{1, ..., n\}, i \neq j, k \neq l, \{i, j\} \neq \{k, l\}$ , the equivalences in 4.2.2 hold and, in addition, we have:

$$\epsilon := \pm ((\nu_i + \nu_j) - 2\nu_k) \text{ is a root } \Leftrightarrow k \in \{i, j\}$$

$$\zeta := (\nu_i - \nu_j) - 2\nu_k \text{ is a root } \Leftrightarrow k = i$$

$$\eta := (\nu_i - \nu_j) + 2\nu_k \text{ is a root } \Leftrightarrow k = j$$

$$(4.3.2)$$

Moreover,  $(\nu_i - \nu_j) \pm (\nu_i + \nu_j)$  is always a root. It follows that a set of simple roots is given by

$$\{\nu_i - \nu_{i+1} \mid 1 \le i \le n-1\} \cup \{2\nu_n\}$$

with Dynkin diagram  $C_n$  and a set of positive roots is given by

$$\{\nu_k \pm \nu_l \mid 1 \le k < l \le n\} \cup \{2\nu_k \mid 1 \le k \le n\}$$

see [Hel78, p. 463].

Furthermore, for k < l, the root spaces for  $\mathfrak{sp}(n)$  are given by

$$\mathfrak{m}_{kl}^+ := \mathfrak{m}_{lk}^+ := \mathfrak{m}_{\{k,l\}}^+ := \mathfrak{m}_{\nu_k + \nu_l} = span_{\mathbb{C}} \{ E_{k,n+l} + E_{l,n+k}, E_{n+k,l} + E_{n+l,k} \} \cap \mathfrak{sp}(n)$$

$$\mathfrak{m}_{kl}^- := \mathfrak{m}_{lk}^- := \mathfrak{m}_{\{k,l\}}^- := \mathfrak{m}_{\nu_k - \nu_l} = span_{\mathbb{C}} \{ E_{kl} - E_{n+l,n+k}, E_{lk} - E_{n+k,n+l} \} \cap \mathfrak{sp}(n)$$

$$\mathfrak{m}_k := \mathfrak{m}_{2\nu_k} = span_{\mathbb{C}}\{E_{k,n+k}, E_{n+k,k}\} \cap \mathfrak{sp}(n)$$

In other words,

$$\mathfrak{m}_{kl}^{+} \cong \left\{ \begin{pmatrix} z^{-\bar{z}} \end{pmatrix} \middle| z \in \mathbb{C} \right\}, \mathfrak{m}_{kl}^{-} \cong \left\{ \begin{pmatrix} z^{-\bar{z}} \\ \bar{z}^{-z} \end{pmatrix} \middle| z \in \mathbb{C} \right\} \text{ and}$$

$$\mathfrak{m}_{k} \cong \left\{ \begin{pmatrix} 0 & -\bar{z} \\ z & 0 \end{pmatrix} \middle| z \in \mathbb{C} \right\}$$

And the decomposition of root space for  $\mathfrak{sp}(n)$  is

$$\mathfrak{sp}(n)=\mathfrak{t}\oplus\bigoplus_{k\leq l}(\mathfrak{m}_{kl}^+\oplus\mathfrak{m}_{kl}^-)\oplus\bigoplus_{k\leq l}\mathfrak{m}_k$$

Since 4.2.2 holds for roots of  $\mathfrak{sp}(n)$ , Lemma 4.2.2 and Lemma 4.2.4 also hold for subalgebras of  $\mathfrak{sp}(n)$ , i.e.  $\mathfrak{u}(r)_I^{\epsilon_1,\dots,\epsilon_{r-1}}$  defined as in 4.2.5 is a T-subalgebra of  $\mathfrak{sp}(n)$ . It then follows:

**Proposition 4.3.1.** [Rau16, p. 52] Let  $\mathfrak{t}$  be as in 4.3.1. Furthermore, let  $r \in \{1, \ldots, n\}$ ,  $I_1 \dot{\cup} \ldots \dot{\cup} I_r = \{1, \ldots, n\}$  be a partition of the index set  $\{1, \ldots, n\}$  and  $n_i := |I_i|, 1 \leq i \leq r$ . Then the T-subalgebras of  $\mathfrak{sp}(n)$  are precisely given by

$$\mathfrak{u}(n_{1})_{I_{1}}^{\epsilon_{1}^{1}\dots\epsilon_{n_{1}-1}^{1}} \oplus \dots \oplus \mathfrak{u}(n_{l})_{I_{l}}^{\epsilon_{l}^{l}\dots\epsilon_{n_{1}-1}^{l}} \oplus \mathfrak{sp}(n_{l+1})_{I_{l+1}} \oplus \dots \oplus \mathfrak{sp}(n_{r})_{I_{r}} :=$$

$$:= \mathfrak{t} \oplus \bigoplus_{\substack{i,j \in I_{1} \\ i < j}} \mathfrak{m}_{ij}^{\epsilon_{ij}^{1}} \oplus \dots \oplus \bigoplus_{\substack{i,j \in I_{l} \\ i < j}} \mathfrak{m}_{ij}^{\epsilon_{ij}^{l}} \oplus$$

$$\oplus \left( \bigoplus_{\substack{i,j \in I_{l+1} \\ i < j}} (\mathfrak{m}_{ij}^{+} \oplus \mathfrak{m}_{ij}^{-}) \oplus \bigoplus_{i \in I_{l+1}} \mathfrak{m}_{i} \right) \oplus \dots \oplus \left( \bigoplus_{\substack{i,j \in I_{r} \\ i < j}} (\mathfrak{m}_{ij}^{+} \oplus \mathfrak{m}_{ij}^{-}) \oplus \bigoplus_{i \in I_{r}} \mathfrak{m}_{i} \right)$$

$$(4.3.3)$$

for some given  $l \in \{0, \ldots, r\}$  and  $\epsilon_1^k, \ldots, \epsilon_{n_k-1}^k \in \{-, +\}$  for  $1 \leq k \leq l$ . The signs  $\epsilon_{ij}^k \in \{-, +\}, i < j, i, j \in I_k$  are given as in (4.10). For  $s \leq l$  and  $n_s = 1$ , this notation means that  $\mathfrak{u}(1)_{I_s} = \mathbb{R}$  is contained in  $\mathfrak{t}$ . Moreover, if l = 0, then  $r \geq 2$  and if l = r, then  $n_s \geq 2$  for at least one  $s \in \{1, \ldots, r\}$ .

*Proof.* Fix some  $s \in \{1, ..., r\}$ . If  $s \leq l$ , then let  $\mathfrak{m}_{I_s} := \bigoplus_{i,j \in I_s, i < j} \mathfrak{m}_{ij}^{\epsilon_{ij}^{s,j}}$ , otherwise let  $\mathfrak{m}_{I_s} := \bigoplus_{i,j \in I_s, i < j} \left(\mathfrak{m}_{ij}^+ \oplus \mathfrak{m}_{ij}^-\right) \oplus \bigoplus_{i \in I_s} \mathfrak{m}_i$ . In particular,  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_s}] \subseteq \mathfrak{t} \oplus \mathfrak{m}_{I_s}$  for  $s \leq l$  by Lemma 4.2.4. Moreover, 4.3.2 and 3.1.5 imply

$$[\mathfrak{m}_{ij}^{\pm}, \mathfrak{m}_k] = \begin{cases} \mathfrak{m}_{ij}^{\mp}, & k \in \{i, j\} \\ \{0\}, & k \notin \{i, j\} \end{cases}$$
(4.3.4)

and

$$\left[\mathfrak{m}_{ij}^{-},\mathfrak{m}_{ij}^{+}\right] = \mathfrak{m}_{i} \oplus \mathfrak{m}_{j} \tag{4.3.5}$$

Now, 4.2.3, 4.3.4, (4.3.5 and  $[\mathfrak{m}_i, \mathfrak{m}_j] = 0$  for  $i \neq j$  yield  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_s}] \subseteq \mathfrak{t} \oplus \mathfrak{m}_{I_s}$  for s > l and  $[\mathfrak{m}_{I_s}, \mathfrak{m}_{I_{s_s}}] = 0$  for  $s \neq s'$ . Thus, 4.3.3 is a T-subalgebra.

On the other hand, let  $\mathfrak k$  be any T-subalgebra. As above, let  $\{1,\ldots,n\}$  be the vertex set of a graph  $\Gamma$  where i and j are connected by an edge if and only if  $\mathfrak m_{ij}^+ \subseteq \mathfrak k$  or  $\mathfrak m_{ij}^- \subseteq \mathfrak k$ . Let  $I_1,\ldots,I_r$  be the connected components of  $\Gamma$  and let  $s \in \{1,\ldots,r\}$ . If  $n_s = 1, I_s = \{i\}$ , then  $\mathfrak k$  contains either  $\mathfrak{sp}(1)_{I_s}$  or  $\mathfrak u(1)_{I_s}$  depending on whether  $\mathfrak k$  contains  $\mathfrak m_i$  or not. So, assume  $n_s \geq 2$ . By 4.2.4, if i and j are connected and if j and k are connected then so are i and k. Thus, for all  $i, j \in I_s, i < j$ , it is  $\mathfrak k_{ij} \subseteq \mathfrak k$  for some  $\mathfrak k_{ij} \in \{\mathfrak m_{ij}^-, \mathfrak m_{ij}^+, \mathfrak m_{ij}^- \oplus \mathfrak m_{ij}^+\}$ . If for all  $i, j \in I_s, i < j$ , there exists a unique sign  $\epsilon_{ij} \in \{-, +\}$  such that  $\mathfrak k_{ij} = \mathfrak m_{ij}^{\epsilon_{ij}}$ , then  $\mathfrak m_i \not\subseteq \mathfrak k$  for  $i \in I_s$  by 4.3.4 and the uniqueness statement of Lemma 4.2.4 implies that  $\mathfrak k \oplus \mathfrak m_{I_s}$  is of type  $\mathfrak u$   $(n_s)_{I_s,\ldots,\epsilon_{n_s-1}}^{\epsilon_s}$ . If  $\mathfrak k_{ij} = \mathfrak m_{ij}^+ \oplus \mathfrak m_{ij}^-$  for some  $i,j \in I_s, i < j$ , then it follows as in the proof of Proposition 4.2.5 that  $\mathfrak k_{pq} = \mathfrak m_{pq}^+ \oplus \mathfrak m_{pq}^-$  for all  $p,q \in I_s, p < q$ . Moreover, by 4.3.5,  $\mathfrak m_i \subseteq \mathfrak k$  for all  $p \in I_s$ .

Thus,  $\mathfrak{t} \oplus \mathfrak{m}_{I_s}$  is of type  $\mathfrak{sp}(n_s)_{I_s}$ . It follows that  $\mathfrak{k}$  is of type 4.3.3. Furthermore, if l=0, then  $r\geq 2$  since  $\mathfrak{k}\neq \mathfrak{sp}(n)$  and if l=r, then  $n_s\geq 2$  for at least one s, since  $\mathfrak{k}\neq \mathfrak{t}$ .

Again, for  $\mathfrak{k} = \bigoplus_{i=1}^{l} \mathfrak{u}(n_i)_{I_i}^{\epsilon_1^i, \dots, \epsilon_{n_i-1}^i} \oplus \bigoplus_{i=l+1}^{r} \mathfrak{sp}(n_i)_{I_i}$  one may assume that  $I_i = \left\{\sum_{j=0}^{i-1} n_j + 1, \dots, \sum_{j=0}^{i} n_j\right\}$  for  $1 \leq i \leq r, n_0 := 0$ , after conjugation with an appropriate element of type  $\begin{pmatrix} P_{\sigma} & 0 \\ 0 & P_{\sigma} \end{pmatrix} \in Sp(n)$  for some  $\sigma \in S_n$ . Moreover, for  $1 \leq l \leq n$  let

$$P_l := E_{n+l,l} - E_{l,n+l} + \sum_{\substack{k=1\\k \neq l}}^n E_{kk} + E_{n+k,n+k} \in Sp(n)$$

Then  $\operatorname{Ad}(P_l)\left(\mathfrak{m}_{ij}^{\pm}\right)=\mathfrak{m}_{ij}^{\mp}$  for  $l\in\{i,j\}$  and  $\operatorname{Ad}(P_l)\left(\mathfrak{m}_{ij}^{\pm}\right)=\mathfrak{m}_{ij}^{\pm}$  for  $l\notin\{i,j\}$ . Hence, after conjugation with appropriate elements of type  $P_l$  one may assume  $\epsilon_j^i=-$  for all  $1\leq i\leq l, 1\leq j\leq n_i$ .

Using the notation  $\mathfrak{t} = \bigoplus_{i=1}^n \mathfrak{u}(1)_{\{i\}}$  again, the contractility or non-contractility of  $\Delta_{G/H}$  for G = Sp(n) and H < G connected of maximal rank is now given by the following theorem.

**Theorem 4.3.2.** [Rau16, p. 53] Let  $\mathfrak{h} = \bigoplus_{i=1}^{l} \mathfrak{u}(n_i)_{I_i}^{\epsilon_1^i, \dots, \epsilon_{n_i-1}^i} \oplus \bigoplus_{i=l+1}^{r} \mathfrak{sp}(n_i)_{I_i}$  be as in Proposition 4.3.1. Then, the following statement holds:

- 1. If l = 0, then  $\tilde{H}_{r-3}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .
- 2. If l = r, then  $\tilde{H}_{r-2}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ .
- 3. If  $l \notin \{0, r\}$ , then  $\Delta_{G/H}$  is contractible.

*Proof.* First, let l=0, i.e.  $2 \le r \le n$  and  $\mathfrak{h} = \bigoplus_{i=1}^r \mathfrak{sp}(n_i)_{I_i}$ . Then the claim follows as in Theorem 4.1.2. In fact, every H-subalgebra is of type  $\mathfrak{l} = \bigoplus_{j=1}^{r'} \mathfrak{sp}(m_j)_{I_j}$ , hence  $\mathfrak{l}$  is already determined by the partition  $J_1 \dot{\cup} \dots \dot{\cup} J_{r'} = \{1, \dots, n\}$ . Thus, if  $\mathfrak{h}' := \mathfrak{s}\left(\bigoplus_{i=1}^r \mathfrak{u}(n_i)_{I_i}\right)$ , then

$$P_{Sp(n)/H} \longrightarrow P_{SU(n)/H'} : \bigoplus_{j=1}^{r'} \mathfrak{sp}\left(m_j\right)_{I_i} \mapsto \mathfrak{s}\left(\bigoplus_{j=1}^{r'} \mathfrak{u}\left(m_j\right)_{I_i}\right)$$

is an isomorphism of posets, i.e., a bijection between posets that preserves the order. Therefore,  $\Delta_{Sp(n)/H} \cong \Delta_{SU(n)/H'}$ . By Theorem 4.1.2, it follows  $\tilde{H}_{r-3}(\Delta_{G/H}, \mathbb{Q}) \cong \tilde{H}_{r-3}(\Delta_{SU(n)/H'}, \mathbb{Q}) \neq 0$ .

Now, let l = r. If r = 1, i.e.  $\mathfrak{h} \cong \mathfrak{u}(n)$ , then  $\Delta_{G/H} = \emptyset$  and  $\tilde{H}_{-1}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$ . So, let  $r \geq 2$  and assume  $\mathfrak{h} = \bigoplus_{i=1}^r \mathfrak{u}\left(n_i\right)_{I_i}^{-\dots}$ . For  $p \in \{0, \dots, r-2\}$  let

$$\mathfrak{k}_{p}^{q} := \mathfrak{u} \left( n_{1,\dots,r-p-1,r-p+q} \right)_{I_{1},\dots,r-p-1,r-p+q}^{-\dots-} \oplus \bigoplus_{\substack{l=r-p\\l\neq r-p+q}}^{r} \mathfrak{u} \left( n_{l} \right)_{I_{l}}^{-\dots-}, 0 \leq q \leq p, \text{ and}$$

$$\mathfrak{k}_{p}^{p+1} := \mathfrak{u} \left( n_{1,\dots,r-p-1,r} \right)_{I_{1},\dots,r-p-1,r}^{-\dots-+} \oplus \bigoplus_{l=r-p}^{r-1} \mathfrak{u} \left( n_{l} \right)_{I_{l}}^{-\dots-}$$

as in the proof of Theorem 4.2.6, so Again,  $(\mathfrak{k}_0^0 > \ldots > \mathfrak{k}_{r-2}^0)$  is a maximal chain of H-subalgebras and with  $\mathfrak{k}_{-1}^0 := \mathfrak{g}$  and  $\mathfrak{k}_{r-1}^0 := \mathfrak{h}$  it follows

$$\begin{aligned} \mathfrak{k}_p^q &\neq \mathfrak{k}_p^0 \\ \mathfrak{k}_{p-1}^{q-1} &> \mathfrak{k}_p^q > \mathfrak{k}_{p+1}^0 \end{aligned}$$

for  $q \neq 0$  and

$$\left\langle \mathfrak{k}_{p}^{1},\ldots,\mathfrak{k}_{p}^{p+1}\right\rangle =\mathfrak{sp}\left(2n_{1,\ldots,\widehat{r-p},\ldots,r}\right)_{I_{1},\ldots,\widehat{r-p},\ldots,r}\oplus\mathfrak{u}\left(n_{r-p}\right)_{I_{r-p}}^{-\ldots-}<\mathfrak{g}$$

for  $p \geq 1$ . So,  $\tilde{H}_{r-2}\left(\Delta_{G/H}, \mathbb{Q}\right) \neq 0$  by Theorem 2.4.13.

Now, let  $l \notin \{0, r\}$  and assume  $\mathfrak{h} = \bigoplus_{i=1}^{l} \mathfrak{u}(n_i)_{I_i}^{-\dots} \oplus \bigoplus_{i=l+1}^{r} \mathfrak{sp}(n_i)_{I_i}$ . The contractibility of  $\Delta_{G/H}$  follows as in the proof of 4.2.6. More precisely,

$$\mathfrak{k} := \bigoplus_{i=1}^{r} \mathfrak{sp}\left(n_{i}\right)_{I_{i}}$$

is an H-subalgebra. Note, that, different from the case  $\mathfrak{g} = \mathfrak{so}(2n)$ , no conditions for the indices  $n_i$  are needed, since  $\mathfrak{u}(1)$  is a proper subalgebra of  $\mathfrak{sp}(1)$ . Now, if  $\mathfrak{l} = \bigoplus_{j=1}^{l'} \mathfrak{u}(m_j)_{J_j}^{\epsilon_j^1 \dots \epsilon_{m_j-1}^j} \oplus \bigoplus_{j=l'+1}^{r'} \mathfrak{sp}(m_j)_{J_j}$ , is any H-subalgebra, then  $r' \geq 2$  and

$$\langle \mathfrak{k}, \mathfrak{l} \rangle = \bigoplus_{j=1}^{r'} \mathfrak{sp} \left( m_j \right)_{I_j} < \mathfrak{sp}(n)$$

Hence, there exists no subalgebra in  $P_{G/H}$  that together wit  $\mathfrak{t}$  generates  $\mathfrak{sp}(n)$ , so  $\Delta_{G/H}$  is contractible by 2.4.9.

Corollary 4.3.3. Following the notation from the theorem above, if H is a connected Lie subgroup of maximal rank of Sp(n), then

1. If 
$$H \cong \prod_{i=1}^r Sp(n_i)$$
, then  $Sp(n)/H$  admits a  $Sp(n)$ -invariant Einstein metric.

2. If 
$$H \cong \prod_{i=1}^{r} U(n_i)$$
, then  $Sp(n)/H$  admits a  $Sp(n)$ -invariant Einstein metric.

*Proof.* It follows from 4.1.2, 2.3.2 and 1.3.14.

Observe that, for the case  $l \notin \{0, r\}$ , 2.3.2 is inconclusive.

Examples from 4.1.4 also apply in this case.

# 5 An application in a real flag manifold

Consider the real flag manifold  $G/H := SO(4)/S(O(1) \times O(1) \times O(1) \times O(1))$  [PS15]. We have that  $\mathfrak{g} = \mathfrak{so}(4)$  and  $\mathfrak{h} = \{0\}$ , since  $S(O(1)^4)$  is a discrete finite Lie subgroup of SO(4). Observe that H is not connected, then there is possibility to a intermediary subalgebra  $\{0\} < \mathfrak{k} < \mathfrak{g}$  to not be a H-subalgebra.

We have that  $\mathfrak{m} = \mathfrak{so}(4)$  and  $\mathfrak{m}_0 = \{X \in \mathfrak{so}(4) \mid [X, \mathfrak{h}] = 0\} = \mathfrak{so}(4)$ . In particular, we are in the case of 2.3.1, different from what we considered before in chapter 4.

So we will describe a  $\Delta_{G/H}^T$  and a  $P_{G/H}^T$  (2.2.7) of  $SO(4)/S(O(1)^4)$  where T is a maximal torus of the Lie subgroup associated to  $\mathfrak{m}_0 = \mathfrak{so}(4)$ .

From 2.2.6, we just need to consider  $\mathfrak{t} := Lie(T)$  that is a maximal abelian subalgebra of  $\mathfrak{m}_0 = \mathfrak{so}(4)$  which is given by

$$\mathfrak{t} := \left\{ \begin{pmatrix} 0 & a & & \\ -a & 0 & & \\ & & 0 & b \\ & & -b & 0 \end{pmatrix} \middle| a, b \in \mathbb{R} \right\}$$
 (5.0.1)

as in 4.2.

We need to consider non-trivial subalgebras  $\mathfrak{k}$  that are Ad(H)-invariant,  $ad(\mathfrak{k})$ -invariant and minimal non-toral H-subalgebra. In this case, being a non-toral H-subalgebra is equivalent to be a non-abelian non-trivial subalgebra of  $\mathfrak{so}(4)$  since  $\mathfrak{h} = \{0\}$ .

First, we consider the condition of  $ad(\mathfrak{t})$ -invariance.

The subspaces of  $\mathfrak{so}(4)$  invariants by  $ad(\mathfrak{t})$  are its roots spaces. As we said in 4.2.1, we have the decomposition in root spaces

$$\mathfrak{so}(4) = \mathfrak{t} \oplus \mathfrak{m}_{12}^+ \oplus \mathfrak{m}_{12}^- \tag{5.0.2}$$

where

$$\mathfrak{m}_{12}^{+} = \left\{ \begin{pmatrix} x & y \\ & y & -x \\ -x & -y & \\ -y & x & \end{pmatrix} \middle| x, y \in \mathbb{R} \right\} \quad \text{and} \quad \mathfrak{m}_{12}^{-} = \left\{ \begin{pmatrix} & x & y \\ & -y & x \\ -x & y & \\ -y & -x & \end{pmatrix} \middle| x, y \in \mathbb{R} \right\}$$

with  $\mathfrak{t}$  being the trivial  $ad(\mathfrak{t})$ -module, so every subspace of  $\mathfrak{t}$  is  $ad(\mathfrak{t})$ -invariant, and  $\mathfrak{m}_{12}^+, \mathfrak{m}_{12}^-$  irreducible modules of dimension 2:

If 
$$X := \begin{pmatrix} x & y \\ -x & -y \\ -y & x \end{pmatrix} \in \mathfrak{m}_{12}^+$$
 with  $x \neq y$  and  $A := \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \end{pmatrix} \in \mathfrak{t}$ , then

$$[A, X] = \begin{pmatrix} y & -x \\ & -x & -y \\ -y & x & \\ x & y & \end{pmatrix}$$

Then, [A, X] is not a real multiple of X and every not-trivial subspace of  $\mathfrak{m}_{12}^+$  is not  $ad(\mathfrak{t})$ -invariant. Hence,  $\mathfrak{m}_{12}^+$  is an irreducible  $ad(\mathfrak{t})$ -module. The prove that  $\mathfrak{m}_{12}^-$  is an irreducible  $ad(\mathfrak{t})$ -module is completely analogous.

 $\mathfrak{m}_{12}^+$  and  $\mathfrak{m}_{12}^-$  are not equivalent as  $ad(\mathfrak{t})$ -modules:

Using the notations, definitions and results from 4.2.1, we have that

$$\mathfrak{m}_{12}^+ = ((\mathfrak{so}(4)_{\mathbb{C}})_{\nu_1 + \nu_2} \oplus (\mathfrak{so}(4)_{\mathbb{C}})_{-\nu_1 - \nu_2}) \cap \mathfrak{so}(4)$$

and

$$\mathfrak{m}_{12}^- = ((\mathfrak{so}(4)_{\mathbb{C}})_{\nu_1 - \nu_2} \oplus (\mathfrak{so}(4)_{\mathbb{C}})_{-\nu_1 + \nu_2}) \cap \mathfrak{so}(4)$$

Suppose that there exists  $T: \mathfrak{m}_{12}^+ \to \mathfrak{m}_{12}^-$  isomorphim  $ad(\mathfrak{t})$ -equivariant. There exists  $0 \neq X \in \mathfrak{m}_{12}^+ \cap (\mathfrak{so}(4)_{\mathbb{C}})_{\nu_1 + \nu_2}$  and for  $A \in \mathfrak{t} \subseteq \mathfrak{t}_{\mathbb{C}}$  we have

$$[A, TX] = T[A, X] = T((\nu_1 + \nu_2)(A)X) = (\nu_1 + \nu_2)(A)TX$$

Which implies  $TX \in \mathfrak{m}_{12}^- \cap (\mathfrak{so}(4)_{\mathbb{C}})_{\nu_1+\nu_2} = \{0\}$ . This contradicts T being an isomorphism of vector spaces.

For the purpose of finding Lie subalgebras, we emphasise that

$$[\mathfrak{t},\mathfrak{m}_{12}^+]\subseteq\mathfrak{m}_{12}^+\quad\text{and}\quad [\mathfrak{t},\mathfrak{m}_{12}^+]\subseteq\mathfrak{m}_{12}^-$$

If there is  $\mathfrak{k} \in P_{G/H}^T$ , the  $ad(\mathfrak{t})$ -invariant implies that it needs to be a sum of  $\mathfrak{m}_{12}^+, \mathfrak{m}_{12}^-$  or a subspace of  $\mathfrak{t}$ , since 5.0.2 is a isotypical decomposition, which is unique ([BD13, p. 70]).

Second, we consider the condition of Ad(H)-invariance.

Let  $h \in H$  and  $\epsilon_1, \ldots, \epsilon_4 \in O(1) = \{-1, 1\} \cong \mathbb{Z}_2$  with  $\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4 = 1$  such that  $h = diag(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4)$ . For  $i \neq j \neq k \neq l \in \{1, \ldots, 4\}$ , we have that  $\epsilon_i \epsilon_j = (\epsilon_k \epsilon_l)^{-1} = \epsilon_k \epsilon_l$ .

If 
$$X := \begin{pmatrix} 0 & a & c & d \\ -a & 0 & e & f \\ -c & -e & 0 & b \\ -d & -f & -b & 0 \end{pmatrix} \in \mathfrak{so}(4)$$
, then

$$Ad(h)X = hXh^{-1} = hXh = \begin{pmatrix} 0 & \epsilon_1\epsilon_2a & \epsilon_1\epsilon_3c & \epsilon_1\epsilon_4d \\ -\epsilon_2\epsilon_1a & 0 & \epsilon_2\epsilon_3e & \epsilon_2\epsilon_4f \\ -\epsilon_3\epsilon_1c & -\epsilon_3\epsilon_2e & 0 & \epsilon_3\epsilon_4b \\ -\epsilon_4\epsilon_1d & -\epsilon_4\epsilon_2f & -\epsilon_4\epsilon_3b & 0 \end{pmatrix}$$

Define  $\delta_1 := \epsilon_1 \epsilon_2 = \epsilon_3 \epsilon_4, \delta_2 := \epsilon_1 \epsilon_3 = \epsilon_2 \epsilon_4, \delta_3 := \epsilon_1 \epsilon_4 = \epsilon_2 \epsilon_3.$ 

Suppose that  $X \in \mathfrak{t}$ , then c = d = e = f = 0 and  $Ad(h)X = \delta_1 X$ . Hence, every subspace of  $\mathfrak{t}$  is Ad(H)-invariant.

Suppose that  $X \in \mathfrak{m}_{12}^+$ , then a = b = 0, f = -c, e = d and

$$Ad(h)X = \begin{pmatrix} \delta_2 c & \delta_3 d \\ & \delta_3 d & -\delta_2 c \\ -\delta_2 c & -\delta_3 d \\ -\delta_3 d & \delta_2 c \end{pmatrix} \in \mathfrak{m}_{12}^+$$

Hence,  $\mathfrak{m}_{12}^+$  is Ad(H)-invariant.

Suppose that  $X \in \mathfrak{m}_{12}^-$ , then a = b = 0, f = c, e = -d and

$$Ad(h)X = \begin{pmatrix} \delta_2 c & \delta_3 d \\ & -\delta_3 d & \delta_2 c \\ -\delta_2 c & -\delta_3 d & \\ \delta_3 d & -\delta_2 c \end{pmatrix} \in \mathfrak{m}_{12}^-$$

Hence,  $\mathfrak{m}_{12}^-$  is Ad(H)-invariant.

We conclude that all possibilities of subalgebras we have considered before, sums of  $\mathfrak{m}_{12}^+, \mathfrak{m}_{12}^-$  or a subspace of  $\mathfrak{t}$ , are Ad(H)-invariant.

Now, we will describe all the subalgebras in  $P_{G/H}^T$ .

Since  $(\nu_1 + \nu_2) + (\nu_1 - \nu_2) = 2\nu_1$  and  $(\nu_1 + \nu_2) - (\nu_1 - \nu_2) = 2\nu_2$  are not roots, 4.2.2 implies that

$$[\mathfrak{m}_{12}^+,\mathfrak{m}_{12}^-]=0$$

For any  $x, y, z, w \in \mathbb{R}$ , we have the following Lie brackets relations:

$$\begin{bmatrix} \begin{pmatrix} & x & y \\ & y & -x \\ -x & -y & \\ -y & x & \end{pmatrix}, \begin{pmatrix} & z & w \\ & w & -z \\ -z & -w & \\ -w & z & \end{pmatrix} \end{bmatrix} = (2wx - 2yz) \begin{pmatrix} 0 & -1 & \\ 1 & 0 & \\ & 0 & -1 \\ & 1 & 0 \end{pmatrix} \in \mathfrak{t}$$

$$\begin{bmatrix} \begin{pmatrix} & & x & -y \\ & & y & x \\ -x & -y & & \\ y & -x & & \end{pmatrix}, \begin{pmatrix} & & z & -w \\ & & w & z \\ -z & -w & & \\ w & -z & & \end{pmatrix} \end{bmatrix} = (2wx - 2yz) \begin{pmatrix} 0 & -1 & & \\ 1 & 0 & & \\ & & 0 & 1 \\ & & -1 & 0 \end{pmatrix} \in \mathfrak{t}$$

If 
$$A_{12}^+ := \begin{pmatrix} 0 & -1 \\ 1 & 0 \\ & 0 & -1 \\ 1 & 0 \end{pmatrix}$$
 and  $A_{12}^- := \begin{pmatrix} 0 & -1 \\ 1 & 0 \\ & -1 & 0 \end{pmatrix}$ , then

$$[\mathfrak{m}_{12}^+,\mathfrak{m}_{12}^+] = span_{\mathbb{R}}\{A_{12}^+\}$$

and

$$[\mathfrak{m}_{12}^-,\mathfrak{m}_{12}^-] = span_{\mathbb{R}}\{A_{12}^-\}$$

Since  $\{A_{12}^+, A_{12}^-\}$  is linearly independent,  $\langle \mathfrak{m}_{12}^+, \mathfrak{m}_{12}^- \rangle = span_{\mathbb{R}}\{X_{12}^+, X_{12}^-\} \oplus \mathfrak{m}_{12}^+ \oplus \mathfrak{m}_{12}^- = \mathfrak{t} \oplus \mathfrak{m}_{12}^+ \oplus \mathfrak{m}_{12}^- = \mathfrak{so}(4)$ . With this information, a Lie subalgebra of the type we are looking for cannot contain both  $\mathfrak{m}_{12}^+$  and  $\mathfrak{m}_{12}^-$ .

Hence, the non-abelian non-trivial  $ad(\mathfrak{t})$ -invariant Lie subalgebras of  $\mathfrak{so}(4)$  are

$$\mathfrak{t} \oplus \mathfrak{m}_{12}^+$$

$$span_{\mathbb{R}} \{A_{12}^+\} \oplus \mathfrak{m}_{12}^+$$

$$span_{\mathbb{R}} \{A_{12}^-\} \oplus \mathfrak{m}_{12}^-$$

Then  $P_{G/H}^T = \{span_{\mathbb{R}}\{A_{12}^+\} \oplus \mathfrak{m}_{12}^+, span_{\mathbb{R}}\{A_{12}^-\} \oplus \mathfrak{m}_{12}^-\}$  and  $\Delta_{G/H}^T$  consists of a 0-dimensional order complex with just two points as vertices. Hence,  $\Delta_{G/H}^T$  is not-contractible.

We conclude by 2.3.1 that

**Theorem 5.0.1.** The real flag manifold  $G/H = SO(4)/S(O(1) \times O(1) \times O(1) \times O(1))$  admits a SO(4)-invariant Einstein metric.

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