



UNIVERSIDADE ESTADUAL DE CAMPINAS
Faculdade de Odontologia de Piracicaba

PATRÍCIA RAFAELA DOS SANTOS

**ANÁLISE DOS FATORES MODULADORES ENVOLVIDOS NO
DESENVOLVIMENTO OCLUSAL**

**ANALYSIS OF MODULATOR FACTORS INVOLVED IN OCCLUSAL
DEVELOPMENT**

Piracicaba

2023

PATRÍCIA RAFAELA DOS SANTOS

**ANÁLISE DOS FATORES MODULADORES ENVOLVIDOS NO
DESENVOLVIMENTO OCLUSAL**

**Tese apresentada à Faculdade de
Odontologia de Piracicaba da
Universidade Estadual de Campinas
como parte dos requisitos exigidos
para a obtenção do título de Doutora
em Odontologia, na Área de Saúde
Coletiva**

Orientadora: Profa. Dra. Sílvia Amélia Scudeler Vedovello

ESTE EXEMPLAR CORRESPONDE À VERSÃO FINAL DA TESE
DEFENDIDA PELA ALUNA PATRÍCIA RAFAELA DOS SANTOS E
ORIENTADA PELA PROFA. DRA. SILVIA AMÉLIA SCUDELER
VEDOVELLO.

Piracicaba

2023

Ficha catalográfica
Universidade Estadual de Campinas
Biblioteca da Faculdade de Odontologia de Piracicaba
Marilene Girello - CRB 8/6159

Santos, Patrícia Rafaela dos, 1990-
Sa59a Análises dos fatores moduladores envolvidos no desenvolvimento oclusal /
Patrícia Rafaela dos Santos. – Piracicaba, SP : [s.n.], 2023.

Orientador: Silvia Amélia Scudeler Vedovello.
Tese (doutorado) – Universidade Estadual de Campinas, Faculdade de
Odontologia de Piracicaba.

1. Dentição mista. 2. Maloclusão. 3. Transtornos do sono-vigília. I.
Vedovello, Silvia Amélia Scudeler, 1978-. II. Universidade Estadual de
Campinas. Faculdade de Odontologia de Piracicaba. III. Título.

Informações Complementares

Título em outro idioma: Analysis of modulator factors involved in occlusal
development

Palavras-chave em

inglês: Dentition,
mixed Malocclusion
Sleep wake disorders

Área de concentração: Saúde

Coletiva **Titulação:** Doutora em

Odontologia **Banca examinadora:**

Silvia Amélia Scudeler Vedovello

[Orientador] Samuel Henrique Câmara
de Bem

Heloísa Cristina Valdrighi

Karine Laura Cortellazzi

Mendes Vanessa Gallego

Arias Pecorari **Data de**

defesa: 13-12-2023

Programa de Pós-Graduação: Odontologia

Identificação e informações acadêmicas do(a) aluno(a)

- ORCID do autor: <https://orcid.org/0000-0003-3894-2442>

Currículo Lattes do autor: <http://lattes.cnpq.br/1777195052061627>



UNIVERSIDADE ESTADUAL DE CAMPINAS
Faculdade de Odontologia de Piracicaba

A Comissão Julgadora dos trabalhos de Defesa de Tese de Doutorado, em sessão pública realizada em 13 de dezembro de 2023, considerou a candidata PATRÍCIA RAFAELA DOS SANTOS aprovada.

PROF^ª. DR^ª. SILVIA AMÉLIA SCUDELER VEDOVELLO

PROF. DR. SAMUEL HENRIQUE CÂMARA DE BEM

PROF^ª. DR^ª. HELOÍSA CRISTINA VALDRIGHI

PROF^ª. DR^ª. KARINE LAURA CORTELLAZZI MENDES

PROF^ª. DR^ª. VANESSA GALLEGO ARIAS PECORARI

A Ata da defesa, assinada pelos membros da Comissão Examinadora, consta no SIGA/Sistema de Fluxo de Dissertação/Tese e na Secretaria do Programa da Unidade.



DEDICATÓRIA

Dedico esse trabalho a Deus, por me sustentar até aqui, ao meu marido Diogo Marques de Oliveira por me apoiar e a minha mãe Janice Franchini, por ser um exemplo de superação.

AGRADECIMENTOS

O presente trabalho foi realizado com apoio do CNPq, processo 141794/2018-6

O presente trabalho foi realizado com apoio da Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) - Código de Financiamento 001

A Profa. Dra. Silvia Vedovello, pela orientação, carinho e amizade.

Ao Prof. Dr. Marcelo Meneghim por toda ajuda na elaboração do trabalho além de todo apoio e carinho.

À Faculdade de Odontologia de Piracicaba, na pessoa do seu Diretor, Prof. Dr. Flávio Aguiar

À todas as escolas de ensino infantil da cidade de Araras-SP que permitiram a realização do estudo e a todas os voluntários.

A todas os meus amigos que participaram desse estudo de forma direta e indireta durante toda a jornada do doutorado em especial Diego Carneiro, Mariana Nabarrete, Ana Letícia Melo de Carvalho e Stéfany Gomes.

“Porque sou eu que conheço os planos que tenho para vocês”, diz o Senhor, “planos de fazê-los prosperar e não de causar dano, planos de dar a vocês esperança e um futuro. Então vocês clamarão a mim, virão orar a mim, e eu os ouvirei. Vocês me procurarão e me acharão quando me procurarem de todo o coração.”

Jeremias 29:11-13

RESUMO

O objetivo deste estudo foi avaliar os fatores moduladores envolvidos no desenvolvimento oclusal. Para isso, dois estudos foram realizados, o primeiro trata-se de um estudo longitudinal com 121 crianças com 5 anos de idade, em dois tempos (T0:baseline e T1: follow-up 3 anos), com o objetivo de avaliar a probabilidade de desenvolvimento de má oclusão na dentadura mista por meio de uma análise bayesiana, para isso foram coletadas informações das características clínicas má oclusão, seguindo os critérios da OMS (1987). Para a análise dos dados foram utilizados modelos bayesianos, para estimar os parâmetros, utilizando-se métodos de MCMC, foi usado o pacote RStan (STAN DEVELOPMENT TEAM, 2019) para o software R de estatística. Os resultados mostraram que as crianças que não apresentavam má oclusão em T0, tiveram uma probabilidade maior de apresentar em T1 ($\theta = 21,5\%$; IC95%:14,8% – 29,2%) do que de não apresentar ($\theta = 8,0\%$; IC95%:4,0% – 13,3%). Já no segundo estudo, teve como objetivo associar a má oclusão com distúrbios respiratórios do sono (DRS) em crianças, de forma transversal realizado com 212 crianças de 5 anos de idade na fase da dentadura decídua e em situação de vulnerabilidade social. O risco para os distúrbios respiratórios do sono (DRS) foi determinado pelo Pediatric Sleep Questionnaire (PSQ), para análise foram realizados modelos de regressão logística que foram ajustados e estimados os odds ratios brutos com os intervalos de 95% de confiança. Os resultados mostraram 20,8% das crianças apresentaram DRS, e que há maior chance de risco para DRS em crianças com mordida aberta anterior (OR=3,36; IC95%: 1,27-8,93). Conclui-se que fatores moduladores como o risco aos DRS estão associados a má oclusão de mordida aberta anterior e que e que crianças apresentam alta probabilidade de desenvolverem má oclusão na transição da dentadura decídua para a dentadura mista.

Palavras-Chave: Dentição mista; Transtornos do Sono-Vigília; Maloclusão.

ABSTRACT

The aim of this study was to assess modulating factors involved in occlusal development. Two studies were conducted for this purpose. The first was a longitudinal study involving 121 5-year-old children at two time points (T0: baseline and T1: follow-up at 3 years). The objective was to evaluate the probability of developing malocclusion in the mixed dentition through Bayesian analysis. Clinical information on malocclusion characteristics was collected following WHO criteria (1987). Bayesian models were used for data analysis, and parameter estimation employed Markov Chain Monte Carlo (MCMC) methods using the RStan package (STAN DEVELOPMENT TEAM, 2019) for the R statistical software. Results indicated that children without malocclusion at T0 had a higher probability of developing malocclusion at T1 ($\theta = 21.5\%$; 95% CI: 14.8% – 29.2%) compared to not developing it ($\theta = 8.0\%$; 95% CI: 4.0% – 13.3%). In the second study, the objective was to associate malocclusion with sleep-disordered breathing (SDB) in children, conducted cross-sectionally with 212 5-year-old children in the deciduous dentition phase and in a socially vulnerable situation. The risk for sleep-disordered breathing (SDB) was determined using the Pediatric Sleep Questionnaire (PSQ). Logistic regression models were used for analysis, adjusting and estimating crude odds ratios with 95% confidence intervals. Results showed that 20.8% of children exhibited SDB, and there is a higher risk for SDB in children with anterior open bite (OR=3.36; 95% CI: 1.27-8.93). In conclusion, modulating factors such as the risk of SDB are associated with anterior open bite malocclusion, and children have a high probability of developing malocclusion during the transition from deciduous to mixed dentition.

Keywords: Mixed dentition; Sleep-Wake Disorders; Malocclusion.

SUMÁRIO

1. INTRODUÇÃO	11
2. ARTIGOS	
2.1 Artigo “A longitudinal study of the probability of developing malocclusion in children using a Bayesian analysis”	14
2.2 Artigo “Associação entre o risco para os distúrbios respiratórios do sono e a má oclusão em crianças em situação de vulnerabilidade social	32
3. DISCUSSÃO	47
4. CONCLUSÃO	49
REFERÊNCIAS	50
ANEXOS	56
Anexo 1 - Parecer Comitê de Ética Capítulo 1	56
Anexo 2 - Normas Revista American Journal of Orthodontics and Dentofacial Orthopedics	57
Anexo 3 – Parecer de aceite pela Revista American Journal of Orthodontics and Dentofacial Orthopedics	86
Anexo 4 - Parecer Comitê de Ética Capítulo 2	87
Anexo 5 - Relatório de similaridade emitido pelo software Turnitin.	88

1. INTRODUÇÃO

As alterações oclusais podem acontecer nas diferentes fases do desenvolvimento oclusal e sua etiologia é de caráter multifatorial, podendo apresentar como etiologia causas genéticas e/ou ambientais (Silva Filho, Garib, Lara, 2013; Hartsfield, Jacob, Morford, 2017; Saito et al., 2017; Alkhadra, 2017; Al-Khalifa et al., 2017). As causas genéticas pertencem a um padrão herdado dos pais, como a tipologia facial, o padrão esquelético sagital da face, e as discrepâncias entre dente e osso, e alterações congênitas que originam alguns tipos de síndromes que geram anomalias crânio faciais, as anomalias dentárias de forma ou números, assim como alterações da cronologia de irrupção. Todos os fatores etiológicos podem ser observados no desenvolvimento da dentição, podendo levar às alterações oclusais. Esses tipos de alterações podem ser identificados ou previstos precocemente, entretanto são passíveis de poucas opções de tratamento preventivo ou interceptativo, uma vez que se trata de cunho biológico individualizado para cada pessoa (Almeida et al., 2000; Silva Filho, Santamaria Jr, Capelozza Filho, 2007; Schwartz et al., 2014; Lara et al., 2013).

Já as causas ambientais são aquelas que interferem no desenvolvimento oclusal normal, e não pertencem ao curso biológico individual da pessoa, são características do estilo de vida. Dentre os fatores ambientais, podem ser citados os traumatismos e acidentes que envolvam a face, assim também como fatores nutricionais, perdas precoces de dentes decíduos, perda de dentes permanentes e os hábitos deletérios como sucção digital ou de objetos. A maioria das causas etiológicas ambientais está presentes na infância e participam das alterações de normalidade oclusal. A dentadura mista é umas das principais fases acometidas por esses fatores (Almeida et al., 2000; Silva Filho, Garib, Lara, 2013; Dimberg et al., 2015; Vedovello et al., 2016a; Amaral et al., 2017).

De forma complementar e num conceito mais atual, os fatores moduladores para o desenvolvimento das alterações oclusais, bem como seu agravamento, vão além dos fatores que envolvam especificamente o aspecto biológico do indivíduo. A influência do ambiente em que ele vive, assim como a

condição socioeconômica e vulnerabilidade social, devem ser investigadas (Meneghim et al., 2007; Silva Filho, Garib, Lara, 2013; Sardenberg et al., 2013; Normando, Barroso, Normando, 2015; Vedovello et al., 2016a; Vedovello et al., 2016b).

Neste contexto, a dentadura mista é a fase do desenvolvimento oclusal em que significativas alterações esqueléticas e dentárias acontecem concomitantemente. É considerada a fase ideal para a intervenção em alguns tipos de má oclusão em que a correção ortodôntica poderá ter impacto funcional e estético imediato (Silva Filho, Santamaria Jr, Capellozza Filho, 2007; Vedovello et al., 2016a; Boronat-Catalá et al., 2017 Al-Khalifa et al., 2017) Estudos mostram que apesar da etiologia das alterações oclusais serem de origem multifatorial, apresentam alguns fatores de risco, como, por exemplo, presença de hábitos de sucção não nutritiva, respiração bucal e padrão de crescimento vertical para mordida aberta anterior, entretanto ainda faltam evidências sobre sua etiologia (Silva Filho, Santamaria Jr, Capellozza Filho, 2007; Barros et al., 2015; Dimberg et al., 2015; Caprioglio e Fastuca, 2016).

Os estudos para dentadura mista são escassos. A maioria da literatura apresenta desfechos para a dentadura decídua e permanente (Kragt et al., 2016; Vedovello et al., 2016a; Caprioglio e Fastuca, 2016; Sun, Wong, McGrath, 2017). Revisões sistemáticas sugerem a realização de estudos longitudinais, pois os estudos apresentam falhas na forma de avaliação das características clínicas oclusais bem como amostras insuficientes, para que se possa avaliar as variáveis com o mínimo de viés possível (Sardenberg et al., 2013; Pisani et al., 2016; Kragt et al., 2016; Boronat-Catalá et al., 2017; Sun, Wong, McGrath, 2017).

Diante do exposto na literatura, a falta de estudos voltados à avaliação do desenvolvimento oclusal, bem como as implicações do impacto da saúde bucal de forma longitudinal, este estudo busca entender os fatores moduladores para o desenvolvimento, agravamento ou até mesmo a autocorreção das alterações oclusais, e estabelecidas as principais associações desses fatores moduladores o diagnóstico precoce possa ser realizado de forma mais previsível, onde poderá

ser empregado medidas preventivas e interceptavas na fase de dentadura mista gerando assim limitação do dano e menor custo para estratégias de saúde individual e coletiva.

2. ARTIGOS

2.1 Trata-se do estudo **A longitudinal study of the probability of developing malocclusion in children using a Bayesian analysis**, submetido para a revista *“American Journal of Orthodontics and Dentofacial Orthopedics”* apresentado seguindo as normas da revista.

A longitudinal study of the probability of developing malocclusion in children using a Bayesian analysis.

Abstract

This study evaluated the probability of developing malocclusions in the mixed dentition using a Bayesian analysis. A longitudinal observational study was conducted with 121 children, assessed at baseline (T0) at five years/primary dentition and follow-up at three years/mixed dentition (T1), aged eight years. Information was collected based on the occlusal clinical conditions. Bayesian models were used to analyze the data and estimate the parameters. The parameter θ was used for the distributions, indicating the probability of presenting a given condition with an Credibility Index (ICr) of 95%. The results showed that children have a high probability of malocclusion in the primary and mixed dentition. There was a higher probability of developing an increased overjet in the mixed dentition, of 20.5% (ICr 95%: 13.6% - 28.4%) to 48.3% (ICr 95%: 39.1% - 57.7%). There was a higher probability of having a normal overbite in the primary dentition and a lower probability in the mixed dentition (ICr 95%: 9.2% - 21.3%). Children have a high probability of developing malocclusion from primary to mixed dentition. Bayesian analysis allows the estimate of a probabilistic model that can be used to assess the development of clinical malocclusion conditions.

Key words: Mixed dentition; Etiology; Malocclusion

Introduction

Malocclusions can be observed at different stages of occlusion development due to genetic and environmental etiological factors¹⁻³. In this context, childhood is the most active occlusal and facial development stage, with changes in dentitions, facial growth, and dimensional alterations of the dental arches. Most interceptive orthodontic procedures are performed in this stage⁵⁻⁸. The prevalence of malocclusion in the primary dentition is considered high^{7,9}, and the transition to permanent dentition should be monitored during the mixed dentition stage to determine the need and opportunity for orthodontic treatment. At this stage, preventive and interceptive orthodontics can minimize aesthetic, social, and psychological impacts, in addition to improving the quality of life of children¹⁰⁻¹⁴.

The literature usually used frequency distribution and association analyses to present data on malocclusion in the child population and factors that can influence occlusal changes. No studies analyze occlusion using methods that consider the scenario in which malocclusion appears due to its multifactorial etiology, such as Bayesian analysis. Bayesian analysis facilitates decision-making by directly calculating the probability of various hypotheses or scenarios, considering the available information about that change. It also allows for more robust estimates in situations with little data, a difficulty found in the analysis of longitudinal data due to sample loss over time^{7,9,15-19}. As far as we know, this is the first study to use a Bayesian analysis to determine the probability of developing malocclusion in childhood.

Considering the uncertainty in the development of malocclusion, from its establishment to even spontaneous self-correction, this analysis can contribute to decision-making for the early and preventive treatment of alterations. Using Bayesian analysis, this study longitudinally evaluated the probability of developing malocclusion during the transition from primary to mixed dentition.

Metodology

This study was approved by the Ethics in Research with Human Committee (nº 31459420.5.0000.5418) and followed the Report of Observational Studies in Epidemiology (STROBE). Parents of children studying in public schools were invited to participate with their children voluntarily. Those who agreed signed the Informed Consent Form, and the children verbally consented to their participation.

This longitudinal observational study was developed to assess the probability of developing malocclusion in the transition from primary to mixed dentition during a 3-year follow-up. The study included 598 5-year-old children enrolled in public schools in a city in the interior of São Paulo, Brazil. The allocation of children between 5 years old across administrative areas within each municipal district was based on data from the Municipal Department of Education. The sample was stratified according to the administrative sectors within the district. In the initial phase, 14 schools were chosen using a simple randomization

procedure. Similarly, children were selected for inclusion in the sample during the second phase using another simple randomization procedure. Bayesian analyses do not include sample calculation.

During the initial assessment (T0), only children in primary dentition were included. Children with previous or current orthodontic or orthopedic treatment and with mental and/or physical disabilities that made oral examination impossible were excluded from the study.

All children initially assessed at T0 were contacted for follow-up when they had transitioned into mixed dentition (T1). Children who reported current or past orthodontic treatment, situations where parental or guardian authorization for examination was not obtained, non-responsive individuals, and those who could not be located through the Municipal Education Network. One hundred and twenty-one children were re-evaluated for the study at T1. The Flowchart shows the sample distribution (Figure 1).

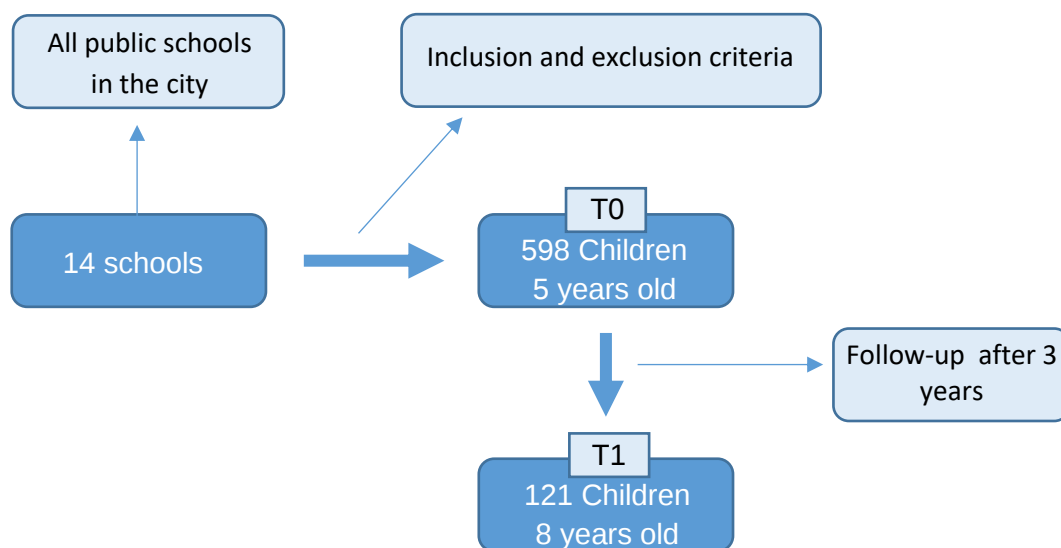


Figure 1. Sample selection flowchart

Assessment of dental occlusion included overjet, overbite, and posterior crossbite. The absence or presence of malocclusion was also assessed^{20,21}.

The overjet assessment considered the relationship between the upper and lower incisors in the horizontal direction, with no distance between the upper and lower incisors defined as normal overjet (0 mm). Increased overjet was recorded when the distance was >2 mm, and decreased overjet was recorded at <0 mm. The overbite assessment considered the relationship between the upper and lower incisors in the vertical direction. The normal overbite was defined when the upper incisors overlapped the lower incisors vertically by 2 mm. An anterior open bite was recorded without contact between the anterior teeth when the posterior teeth were occluded. The posterior crossbite considered the maxillary molars occluded in lingual relationship with the mandibular molars in centric occlusion. The abnormal relationship was considered posterior crossbite. Children were diagnosed with normal occlusion when all conditions were normal. When exhibiting at least one of the conditions mentioned above, they were classified as having malocclusion^{20,22}.

Calibration

A calibrated examiner performed clinical examinations and recorded the malocclusion. Before the survey, the calibration was performed on a group of 30 5-year-old children. Theoretical and clinical training and calibration exercises were organized for a total of 36 hours under the supervision of a reference examiner. After the reproducibility process, it was noted that the lowest agreement index measured among all evaluated occlusal conditions was a Kappa of 0.92 (with a confidence interval of 0.81 to 1.00), indicating Perfect Agreement, as per the classification proposed by Landis and Koch (1977)²³.

Statistical analysis

Bayesian models were used to study the longitudinal behavior of the orthodontic variables overjet, overbite, crossbite, and malocclusion of 121 children in primary dentition (T0) and mixed dentition (T1). Bayes' theorem can be described as:

$$P(A|B) = \frac{P(B|A) \times P(A)}{P(B)}$$

$P(A|B)$ (probability of A given B) represents the conditional probability that event A will happen, given that event B has occurred, just as $P(B|A)$ represents the conditional probability that event B will happen, given that event A occurred. $P(A)$ represents the probability of event A happening, and $P(B)$ is used as a normalization constant to guarantee that the sum of the probabilities equals 1.

In the case of parameter estimation by Bayesian analysis, A is related to a parameter of the distribution, while B is related to the obtained data. The probability of a parameter assuming a specific value is calculated given that certain data were obtained (probability, also called posteriori). When looking for the parameter's value that maximizes this probability, it is possible to find an estimate for it. As this equation usually boils down to a complex integral, methods known as MCMC (Markov Chain Monte Carlo) obtain the parameters that maximize the probability to posteriori.

Then, information was used to priori models considering the Bernoulli distribution for binary variables (crossbite and malocclusion) and the categorical distribution (a generalization of the Bernoulli distribution) for categorical variables with more than two levels (overjet and overbite). To analyze the dichotomous variables, the Bernoulli distribution was also used.

The θ parameter was used for the Bernoulli and categorical distributions, indicating the probability of presenting a certain level. For example, a Bernoulli distribution with possible levels 0 = absence of malocclusion and 1 = presence of malocclusion may show $\theta = 0.5$ if there is a 50% chance that a sampled individual will have a malocclusion. On the other hand, $\theta = 0.75$ would indicate a 75% chance that an individual will have a malocclusion. The θ values, in turn, were sampled from a beta distribution, with parameters $\alpha = 1$ and $\beta = 1$.

Models were also applied considering that there could be differences in the parameters of populations from different groups (in this case, individuals were grouped by the variables year, gender, income, and race).

To estimate the parameters, using methods of MCMC (Markov Chain Monte Carlo), the package was used RStan (STAN DEVELOPMENT TEAM, 2019) for statistical R software (R CORE TEAM, 2019). In all cases, four chains with 6,000 iterations each were used, so the first 1,000 were disregarded in the final analysis because it is a period in which the algorithm is still adjusting the estimate (warm-up), which may be very far from the real^{18,19}.

Resultados

Table 1 presents the sample distribution in T0 (primary dentition) and T1 (mixed dentition). Table 2 presents the frequency and estimate of the parameter θ (95% credibility interval) of the categorical variables (overjet, overbite, crossbite, and presence of malocclusion) in the T0 and T1. Children were more likely to develop increased overjet in T1. The probability increased from 20.5% (ICr 95%: 13.6% - 28.4%) to 48.3% (ICr 95%: 39.1% – 57.7%). The anterior open bite increased in T1 from 15.5% (ICr 95%: 9.6% – 22.4%) to 34.2% (ICr 95%: 26.1% – 42.7%), with a significantly higher probability of developing this malocclusion. Considering the three-year follow-up, there was no significant difference in the odds of crossbite and malocclusion. In T0 and T1, the probability of crossbite was low, 9.8% in the T0 and 13.8% in the T1, while the probability of malocclusion was high, 70.7% in the T0 and 82% in the T1.

Table 1. Descriptive analysis of the sample at T0 and T1

Variable		T0		T1	
		n	%	n	%
Gender	Male	314	52,5	61	50,4
	Female	284	47,5	60	49,6
Race	White	443	74	79	65,2
	Non-White	155	26	42	34,8

Table 2. Frequency (percentage) and estimate of the θ parameter (credibility interval of 95%) of categorical variables (overjet, overbite, crossbite and malocclusion) in the Primary dentition (T0) and Mixed dentition (T1).

Variable	Level	Primary dentition		Mixed dentition	
		N (%)	θ (ICr95%)	N (%)	θ (ICr95%)
Overjet	No information	12 (9,9%)	–	0 (0,0%)	–
	Normal	73 (60,3%)	66,1% (57,2% – 74,5%)	47 (38,8%)	40,2% (31,1% – 49,4%)
	Increased	22 (18,2%)	20,5% (13,6% – 28,4%)	61 (50,4%)	48,3% (39,1% – 57,7%)
	Decreased	14 (11,6%)	13,4% (7,8% – 20,3%)	13 (10,7%)	11,6% (6,4% – 18,0%)
Overbite	No information	1 (0,8%)	–	0 (0,0%)	–
	Normal	68 (56,2%)	56,1% (47,4% – 64,8%)	70 (57,9%)	56,9% (48,0% – 65,6%)
	Increased	34 (28,1%)	28,4% (20,8% – 36,7%)	10 (8,3%)	8,9% (4,6% – 14,5%)
	Decreased	18 (14,9%)	15,5% (9,6% – 22,4%)	41 (33,9%)	34,2% (26,1% – 42,7%)
Crossbite	Present	11 (9,1%)	9,8% (5,2% – 15,5%)	16 (13,2%)	13,8% (8,3% – 20,2%)
	Absent	110 (90,9%)	90,2% (84,5% – 94,8%)	105 (86,8%)	98,6% (79,8% – 91,7%)
Malocclusion	Present	86 (71,1%)	70,7% (62,4% – 78,1%)	101 (83,5%)	82,9% (75,8% – 89,0%)
	Absent	35 (28,9%)	29,3 % (21,9% – 37,6%)	21 (16,5%)	17,1% (11% – 24,2%)

ICr = Credibility Index 95%

The information from Table 3 presents the percentages and estimates of the parameter θ denoting changes over time:

Overjet:

- The probability of a child having a normal overjet in T0 and an increased overjet in T1 is 30.5% (95% ICr: 22.5% – 39.0%).
- The probability of a child having a decreased overjet in T0 and a normal overjet in T1 is 4.2% (95% ICr: 1.4% - 8.5%).
- The probability of a child having an increased overjet in T0 and normal overjet in T1 is 5.9% (95% ICr: 2.4% – 10.9%).

Overbite:

- The probability of a child having a normal overbite in T0 and an anterior open bite in T1 is 14.7% (95% ICr: 9.2% – 21.3%).
- The probability of a child having a deep bite in T0 and a normal overbite in T1 is 14.7% (95% ICr: 9.2% – 21.3%).
- The probability of a child having a deep bite in T0 and normal overbite in T1 is 12.4% (95% Credibility Interval: 7.3% – 18.6%).
- The probability of a child having an anterior open bite in T0 and normal overbite in T1 is 9.3% (95% Credibility Interval: 5.0% – 14.8%).

Crossbite:

- The probability of a child not having a crossbite in T0 and T1 is 81.6% (95% Credibility Interval: 74.3% - 87.9%).
- The probability of a child not having a crossbite in T0 but presenting it in T1 is 8.0% (95% ICr: 3.9% - 13.4%).
- The probability of a child having a crossbite in T0 but not in T1 is 4.0% (95% ICr: 1.3% – 8.1%).

Malocclusion:

- The probability of a child not presenting malocclusion in T0 and developing it in T1 is 21.5% (95% ICr: 14.8% - 29.2%).

Table 3. Frequencies (percentages) and estimates of the θ parameter (95% credibility interval) of changes in the levels of categorical variables (overjet, overbite, crossbite, and malocclusion) between Primary dentition (T0) and Mixed dentition (T1)

Variable	Primary dentition	Mixed dentition	N (%)	θ (ICr95%)
Overjet	Normal	Normal	34 (31,2%)	29,6% (21,9% – 38,0%)
	Normal	Increased	35 (32,1%)	30,5% (22,5% – 39,0%)
	Normal	Decreased	4 (3,7%)	4,2% (1,4% – 8,5%)
	Increased	Normal	6 (5,5%)	5,9% (2,4% – 10,9%)
	Increased	Increased	14 (12,8%)	12,7% (7,3% – 19,3%)
	Increased	Decreased	2 (1,8%)	2,5% (0,5% – 6,0%)
	Decreased	Normal	4 (3,7%)	4,2% (1,4% – 8,6%)
	Decreased	Increased	4 (3,7%)	4,2% (1,4% – 8,6%)
	Decreased	Decreased	6 (5,5%)	5,9% (2,5% – 10,8%)
Overbite	Normal	Normal	43 (35,8%)	34,1% (26,1% – 42,5%)
	Normal	Increased	7 (5,8%)	6,2% (2,7% – 10,9%)
	Normal	Decreased	18 (15,0%)	14,7% (9,2% – 21,3%)
	Increased	Normal	15 (12,5%)	12,4% (7,3% – 18,6%)
	Increased	Increased	1 (0,8%)	1,6% (0,2% – 4,3%)
	Increased	Decreased	18 (15,0%)	14,7% (9,3% – 21,2%)
	Decreased	Normal	11 (9,2%)	9,3% (5,0% – 14,8%)
	Decreased	Increased	2 (1,7%)	2,3% (0,5% – 5,5%)
	Decreased	Decreased	5 (4,2%)	4,7% (1,7% – 8,8%)
Crossbite	Absent	Absent	101 (83,5%)	81,6% (74,3% – 87,9%)
	Absent	Present	9 (7,4%)	8,0% (3,9% – 13,4%)
	Present	Absent	4 (3,3%)	4,0% (1,3% – 8,1%)
	Present	Present	7 (5,8%)	6,4% (2,8% – 11,3%)
Malocclusion	Absent	Absent	9 (7,4%)	8,0% (4,0% – 13,3%)
	Absent	Present	26 (21,5%)	21,5% (14,8% – 29,2%)
	Present	Absent	11 (9,1%)	9,6% (5,1% – 15,4%)
	Present	Present	75 (62,0%)	60,8% (52,1% – 69,2%)

ICr = Credibility Index 95%

Discussion

The development of malocclusions is mainly assessed by cross-sectional studies of clinical conditions, which present the prevalence and association with characteristics in the population^{9,16,17,24-26} without considering cause and effect. The longitudinal design contributes to the analysis of relative risk by assessing the relationship between an exposure factor and an outcome of interest (presence of malocclusion) by comparing the frequency of the outcome with data based on parameter estimates and confidence intervals^{9,27,28}.

In this sense, the present study explores the development of malocclusion through Bayesian analysis, commonly used in longitudinal study designs. This analytical approach is embedded in Bayes' Theorem and seeks to estimate unknown parameters within a probabilistic model^{29,30}. The probability distribution allows for the incorporation of uncertainty before data examination, followed by establishing a subsequent probability distribution. Consequently, a more comprehensive analysis of the data can be achieved. The methodology of Bayesian analysis diverges from the conventional models used to describe the relationship between a binary dependent variable (i.e., presence or absence of malocclusion) and one or more independent explanatory variables, as the latter assumes a linear association with the occurrence of the given event.

As in any longitudinal study, the sample may lose segments. Initially, the Municipal Department of Education provided data concerning children within the specified age range, and the sample was stratified based on the administrative sectors of the district. In the second assessment, some children relocated to different schools due to their advancement to a new educational phase. This change affected our ability to contact them for follow-up assessments. Additionally, children undergoing orthodontic interventions were excluded from the study. The selection of statistical methodology was guided by the necessity to ensure adherence to the sample size standards previously established in the study design.

The initial assessment of the study examined five years of age children with primary dentition. The primary occlusion can improve or worsen when the individual transitions from primary to mixed dentition^{9,27}. Consequently, the prior clinical question involves understanding the persistence of malocclusion during mixed dentition and its

severity. In this sense, we evaluate the longitudinal behavior of overjet, overbite, and transversal relationships.

When the analysis was performed on the occlusal conditions individually, the likelihood of a child exhibiting a normal overjet in the primary dentition and an increased overjet in the mixed dentition was significantly high. The maxilla has intramembranous ossification, and its most significant postnatal growth coincides with the mixed dentition period, which may explain the increase in overjet. This phenomenon could explain the observed increase in overjet. However, caution is essential as this characteristic is susceptible to change, especially considering that the most significant growth of the mandible is still pending and is expected to coincide with the growth spurt period. Consequently, there remains a possibility for the maxillary bones to maintain a proportional size. Consequently, the treatment for overjet can be initiated during stages of posterior occlusal development, such as the early permanent dentition stage. However, early intervention for mandibular deficiency may be warranted in severe cases³¹⁻³⁴.

The findings also demonstrated a likelihood of an anterior open bite occurring during the transition between primary and mixed dentition. However, the appropriate course of treatment should be determined when an open bite has the potential to rectify itself, primarily through eliminating detrimental oral habits. The potential for the alteration to persist into adulthood may present particular challenges of an orthodontic nature for correction, such as ensuring the stability of post-treatment relapse, which may reach a magnitude of 35%³⁵⁻⁴⁰.

On the other hand, our results showed a high probability of children with a deep bite in the primary dentition having a normal overbite in the mixed dentition. The first bite elevation occurs with the eruption of the first permanent molars. The anterior vertical relationship is featured in the literature as a characteristic with much variability throughout occlusal development and should be observed with caution for the decision to treat. Faced with an overbite in the primary dentition, it is possible to monitor the transition in the other stages of occlusal development to choose the best moment to intervene³⁸⁻⁴¹.

Although the occurrence of posterior crossbite in children during the primary and mixed dentition stages is unlikely, there exists the potential for a higher incidence of posterior crossbite during the mixed dentition stage when there is a normal

transverse relationship in the primary dentition. Posterior crossbite is a transverse malocclusion that can significantly affect facial development, including asymmetrical growth of the bones and muscles and functional and aesthetic implications. Therefore, it is highly advised to initiate early treatment as soon as posterior crossbite is identified, regardless of the stage of occlusal development⁴²⁻⁴⁵.

Considering our results, children will probably present malocclusion during the mixed dentition, mainly if these alterations have already manifested in the primary dentition^{27,28,46}. The orthodontic intervention during the transitional period may fall into two opposite extremes if not accurately assessed: no intervention or overtreatment. Non-intervention occurs when the orthodontist believes that all occlusal development issues should be addressed exclusively during the permanent dentition phase. This approach significantly influences the development of the stomatognathic system and can lead to aesthetic and social impacts during childhood. On the other hand, overtreatment occurs when controllable changes can be treated in a shorter period, but the professional opt for prolonged treatment without optimizing the intervention time. This study proposes a strategic approach emphasizing the importance of decision-making to identify the ideal moment for intervention^{32,41}.

Finally, analyzing the aesthetic impact of the malocclusion or the worsening of the correction prognosis always justifies early intervention, *precavendo impactos na qualidade de vida dos indivíduos em as fases futuras do desenvolvimento oclusal*⁴⁷. Consequently, understanding the likelihood of developing malocclusions has contributed to advancing our understanding of the progression of various occlusal characteristics. This knowledge stimulates improved planning and intervention strategies. In this sense, utilizing Bayesian analysis allowed the formulation of a probabilistic model that can effectively assess the evolution of clinical malocclusion conditions.

Conclusion

Considering the probabilistic model of Bayesian analysis, our results indicated that children with normal overjet in the primary dentition may show an increased overjet in the mixed dentition. Concerning overbite, children may present an anterior open bite during the transition between primary and mixed dentition and, on the other hand, self-correction of deep overbite in mixed dentition. Furthermore, they may present a

posterior crossbite during the mixed dentition when there is a normal transverse relationship in the primary dentition.

References

1. Hartsfield JK Jr, Jacob GJ, Morford LA. Heredity, Genetics and Orthodontics - How Much Has This Research Really Helped?. *Semin Orthod* 2017;23(4):336-347. DOI:10.1053/j.sodo.2017.07.003
2. Saito F, Kajii TS, Oka A, Ikuno K1, Iida J. Genome-wide association study for mandibular prognathism using microsatellite and pooled DNA method. *Am J Orthod Dentofacial Orthop* 2017;152(3):382-388. DOI:10.1016/j.ajodo.2017.01.021
3. Alkhadra T. A Systematic Review of the Consequences of Early Extraction of First Permanent First Molar in Different Mixed Dentition Stages. *J Int Soc Prev Community Dent* 2017;7(5):223-226. DOI: 10.4103/jispcd.JISPCD_222_17
4. Al-Khalifa HN, Hashem MI, Alanazi KJ, Anil S. Orthopedic Effect of Chin Cup during Mixed Dentition Stage. *J Contemp Dent Pract* 2017 1;18(5):410-414. DOI: 10.5005/jp-journals-10024-2056
5. Fialho MPN, Pinzan-Vercelino CRM, Nogueira RP, Gurgel JA. Relationship between facial morphology, anterior open bite and non-nutritive sucking habits during the primary dentition. *Dental Press J Orthod*. 2014;19(3):108-113. DOI: 10.1590/2176-9451.19.3.108-113.oar
6. Wagner Y, Heinrich-Weltzien R. Occlusal characteristics in 3-year-old children--results of a birth cohort study. *BMC Oral Health* 2015 7;15:94. DOI: 10.1186/s12903-015-0080-0
7. Lombardo G, Vena F, Negri P, Pagano S, Barilotti C, Paglia L, Colombo S, Orso M, Cianetti S. Worldwide prevalence of malocclusion in the different stages of dentition: A systematic review and meta-analysis. *Eur J Paediatr Dent* 2020;21(2):115-122. DOI:10.23804/ejpd.2020.21.02.05
8. Jordan AR, Kuhr K, Frenzel Baudisch N, Kirschneck C. Prevalence of malocclusions in 8- and 9-year-old children in Germany-Results of the Sixth German Oral Health Study (DMS 6). *J Orofac Orthop* 2023;84(Suppl 1):1-9. DOI: 10.1007/s00056-022-00437-z

9. Góis EG, Vale MP, Paiva SM, Abreu MH, Serra-Negra JM, Pordeus IA. Incidence of malocclusion between primary and mixed dentitions among Brazilian children. A 5-year longitudinal study. *Angle Orthod* 2012;82(3):495-500. DOI: 10.2319/033011-230.1
10. Dođramacı EJ, Rossi-Fedele G. Establishing the association between nonnutritive sucking behavior and malocclusions: A systematic review and meta-analysis. *J Am Dent Assoc* 2016;147(12):926-934.e6. DOI:10.1016/j.adaj.2016.08.018.
11. Kragt L, Dharmo B, Wolvius EB, Ongkosuwito EM. The impact of malocclusions on oral health-related quality of life in children-a systematic review and meta-analysis. *Clin Oral Investig* 2016;20(8):1881-1894. DOI: 10.1007/s00784-015-1681-3.
12. Vedovello SAS, de Carvalho ALM, de Azevedo LC, Dos Santos PR, Vedovello-Filho M, de C Meneghim M. Impact of anterior occlusal conditions in the mixed dentition on oral health-related quality-of-life item levels. *Angle Orthod* 2020 1;90(4):564-570. DOI: 10.2319/090219-571.1
13. Kim HY, Kim J, Hong SM. Do the oral health-related quality of life and the magnitude of its association with malocclusion differ by developmental stages of schoolchildren? *Am J Orthod Dentofacial Orthop*. 2023;11:S0889-5406(22)00798-3. DOI: 10.1016/j.ajodo.2022.06.026
14. AlJameel AH, Almoammar K, Alfawaz NF, Alqahtani SA, Alotaibi GA, Albarakati SF. Can malocclusion among children impact their oral health-related quality of life? parents' perspective. *Niger J Clin Pract* 2023;26(3):267-273. DOI: 10.4103/njcp.njcp_1695_21
15. Legovic M, Mady L. Longitudinal occlusal changes from primary to permanent dentition in children with normal primary occlusion. *Angle Orthod* 1999;69(3):264-6. DOI: 10.1043/0003-3219(1999)069<0264:LOCFPT>2.3.CO;2
16. Slaj M, Jezina MA, Lauc T, Rajic-Mestrovic S, Miksic M. Longitudinal dental arch changes in the mixed dentition. *Angle Orthod* 2003;73:509–514. DOI: 10.1043/0003-3219(2003)073<0509:LDACIT>2.0.CO;2.
17. Keski-Nisula K, Keski-Nisula L, Mañ kelañ P, Mañ ki-Torkko T, Varrela J. Dentofacial features of children with distal occlusions, large overjets, and

- deepbites in the early mixed dentition. *Am J Orthod Dentofacial Orthop* 2006;130:292–299. DOI: 10.1016/j.ajodo.2005.01.025.
18. Kruschke JK, Liddell TM. Bayesian data analysis for newcomers. *Psychon Bull Rev* 2018;25(1):155-177. DOI:10.3758/s13423-017-1272-1
 19. Vasishth S, Nicenboim B, Beckman ME, Li F, Kong EJ. Bayesian data analysis in the phonetic sciences: A tutorial introduction. *J Phon.* 2018;71:147-161. DOI: 10.1016/j.wocn.2018.07.008
 20. Foster TD, Hamilton MC. Occlusion in the primary dentition. Study of children at 2 and one-half to 3 years of age. *Br Dent J.* 1969 21;126(2):76-9.
 21. Oral health surveys — basic methods. 3rd Edition. Geneva: World Health Organization; 1987.
 22. Santos PR dos, Souza FA de, Carneiro DPA, Meneghim M de C, Vedovello SAS. Exploring the impact of oral health-related quality of life on the child's family structure. *Braz. J. Oral Sci* 2020;6;19:e206621. DOI:10.20396/bjos.v19i0.8656621
 23. Legovic M, Mady L. Longitudinal occlusal changes from primary to permanent dentition in children with normal primary occlusion. *Angle Orthod.* 1999 Jun;69(3):264-6. DOI: 10.1043/0003-3219(1999)069<0264:LOCFPT>2.3.CO;2
 24. Benson PE, Da'as T, Johal A, Mandall NA, Williams AC, Baker SR, Marshman Z. Relationships between dental appearance, self-esteem, socio-economic status, and oral health-related quality of life in UK schoolchildren: A 3-year cohort study. *Eur J Orthod* 2015;37(5):481-90. DOI: 10.1093/ejo/cju076
 25. Shen L, He F, Zhang C, Jiang H, Wang J. Prevalence of malocclusion in primary dentition in mainland China, 1988-2017: a systematic review and meta-analysis. *Sci Rep* 2018 16;8(1):4716. DOI: 10.1038/s41598-018-22900-x
 26. Dimberg L, Lennartsson B, Arnrup K, Bondemark L. Prevalence and change of malocclusions from primary to early permanent dentition: a longitudinal study. *Angle Orthod* 2015;85(5):728-34. DOI: 10.2319/080414-542.1
 27. Peres KG, Peres MA, Thomson WM, Broadbent J, Hallal PC, Menezes AB. Primary-dentition malocclusion predicts orthodontic treatment needs later:

- findings from a population-based birth cohort study. *Am J Orthod Dentofacial Orthop* 2015;147(4):492-8. DOI:10.1016/j.ajodo.2014.12.019
28. Shamsioian J, Şentürk D, Jeste S, Telesca D. Bayesian analysis of longitudinal and multidimensional functional data. *Biostatistics* 2022 13;23(2):558-573. DOI:10.1093/biostatistics/kxaa041
 29. Wade S. Bayesian cluster analysis. *Philos Trans A Math Phys Eng Sci* 2023 15;381(2247):20220149. DOI: 10.1098/rsta.2022.0149
 30. Baughan B, Demirjian A, Levesque GY, Lapalme-Chaput L. The pattern of facial growth before and during puberty, as shown by French-Canadian girls. *Ann Hum Biol* 1979;6(1):59-76. DOI:10.1080/03014467900003371
 31. Batista KB, Thiruvengkatachari B, Harrison JE, O'Brien KD. Orthodontic treatment for prominent upper front teeth (Class II malocclusion) in children and adolescents. *Cochrane Database Syst Rev* 2018 13;3(3):CD003452. DOI: 10.1002/14651858.CD003452.pub4
 32. Tiro A, Dzemiđić V, Salaga-Nefić S, Redžić I, Nakas E. Heritability of Craniofacial Characteristics in Twins - Cephalometric Study. *Med Arch* 2019;73(3):205-208. DOI: 10.5455/medarh.2019.73.205-208
 33. Knigge RP, McNulty KP, Oh H, Hardin AM, Leary EV, Duren DL, Valiathan M, Sherwood RJ. Geometric morphometric analysis of growth patterns among facial types. *Am J Orthod Dentofacial Orthop* 2021;160(3):430-441. DOI: 10.1016/j.ajodo.2020.04.038
 34. Lopez-Gavito G, Wallen TR, Little RM, Joondeph DR. Anterior open-bite malocclusion: a longitudinal 10-year postretention evaluation of orthodontically treated patients. *Am J Orthod* 1985;87(3):175-86. DOI: 10.1016/0002-9416(85)90038-7
 35. Lentini-Oliveira DA, Carvalho FR, Rodrigues CG, Ye Q, Prado LB, Prado GF, Hu R. Orthodontic and orthopaedic treatment for anterior open bite in children. *Cochrane Database Syst Rev* 2014 24;(9):CD005515. DOI: 10.1002/14651858.CD005515.pub3
 36. Nascimento MH, de Araújo TM, Machado AW. Severe Anterior Open Bite during Mixed Dentition Treated with Palatal Spurs. *J Clin Pediatr Dent* 2016;40(3):247-50. DOI: 10.17796/1053-4628-40.3.247

37. Tanny L, Huang B, Naung NY, Currie G. Non-orthodontic intervention and non-nutritive sucking behaviours: A literature review. *Kaohsiung J Med Sci*. 2018 Apr;34(4):215-222.
38. Dias FA, Oltramari PVP, Almeida MR, Conti ACCF, Almeida RR, Fernandes TMF. Stability of early anterior open bite treatment: a 2-year follow-up randomized clinical trial. *Braz Dent J* 2021;32(3):116-126. DOI:10.1590/0103-6440202103509
39. Avrella MT, Zimmermann DR, Andriani JSP, Santos PS, Barasuol JC. Prevalence of anterior open bite in children and adolescents: a systematic review and meta-analysis. *Eur Arch Paediatr Dent* 2022;23(3):355-364. DOI: 10.1007/s40368-021-00683-6
40. Koletsi D, Makou M, Pandis N. Effect of orthodontic management and orofacial muscle training protocols on the correction of myofunctional and myoskeletal problems in developing dentition. A systematic review and meta-analysis. *Orthod Craniofac Res* 2018;21(4):202-215. DOI:10.1111/ocr.12240
41. Thilander B, Lennartsson B. A study of children with unilateral posterior crossbite, treated and untreated, in the deciduous dentition--occlusal and skeletal characteristics of significance in predicting the long-term outcome. *J Orofac Orthop* 2002;63(5):371-83. DOI:10.1007/s00056-002-0210-6.
42. Kilic N, Kiki A, Oktay H. Condylar asymmetry in unilateral posterior crossbite patients. *Am J Orthod Dentofacial Orthop* 2008;133(3):382-7. DOI: 10.1016/j.ajodo.2006.04.041.
43. Grippaudo C, Paolantonio EG, Antonini G, Saulle R, La Torre G, Deli R. Association between oral habits, mouth breathing and malocclusion. *Acta Otorhinolaryngol Ital* 2016;36(5):386-394. DOI:10.14639/0392-100X-770
44. De Ridder L, Aleksieva A, Willems G, Declerck D, Cadenas de Llano-Pérula M. Prevalence of Orthodontic Malocclusions in Healthy Children and Adolescents: A Systematic Review. *Int J Environ Res Public Health* 2022 17;19(12):7446. doi: 10.3390/ijerph19127446
45. Legovic M, Mady L. Longitudinal occlusal changes from primary to permanent dentition in children with normal primary occlusion. *Angle Orthod* 1999;69(3):264-6. DOI:10.1043/0003-3219(1999)069<0264:LOCFPT>2.3.CO;2

2.2 Trata-se do estudo **Associação entre o risco para os distúrbios respiratórios do sono e a má oclusão em crianças em situação de vulnerabilidade social**, apresentado em formato de artigo seguindo as normas Vancouver.

Associação entre o risco para os distúrbios respiratórios do sono e a má oclusão em crianças.

RESUMO

O objetivo do estudo foi associar a má oclusão com distúrbios respiratórios do sono em crianças. Estudo observacional transversal realizado com 212 crianças de 5 anos de idade na fase da dentadura decídua. O risco para os distúrbios respiratórios do sono (DRS) foi determinado pelo Pediatric Sleep Questionnaire (PSQ). Foram avaliadas as relações oclusais de relação transversal, trespasse horizontal e trespasse vertical. O possível bruxismo do sono e em vigília, bem como a presença de hábitos bucais deletérios foram avaliados por meio de questionários enviados aos pais. Foram realizados modelos de regressão logística que foram ajustados e estimados os odds ratios brutos com os intervalos de 95% de confiança. As variáveis com $p < 0,20$ nas análises individuais foram estudadas em um modelo de regressão logística múltiplo, permanecendo no modelo final apenas as variáveis que tiveram $p \leq 0,05$. Os resultados mostraram 20,8% das crianças apresentaram DRS, e que há maior chance de risco para DRS em crianças com mordida aberta anterior (OR=3,36; IC95%: 1,27-8,93). Conclui-se que crianças que apresentam mordida aberta anterior tem mais chance de apresentar distúrbios respiratórios do sono.

INTRODUÇÃO

Os distúrbios respiratórios do sono (DRS) se referem ao colapso recorrente da via aérea respiratória que acontecem durante o sono. Em vigília existe uma proteção na via aérea que acontece por diversos mecanismos, que resultam no aumento da atividade muscular dos dilatadores faríngeos, entretanto, durante o sono, esses mecanismos não são suficientes, ocorrendo uma diminuição do espaço e, consequentemente, uma maior resistência ao fluxo respiratório (Palombini, 2010).

Os DRS apresentam associação com um maior risco para doenças cardiovasculares e metabólicas, e a identificação e tratamento dessa situação durante a infância é considerada a única forma de prevenção para a fase adulta (Palombini, 2010; Castilho et al., 2020).

A literatura aponta alguns possíveis fatores associados aos DRS, sendo eles genéticos como colapso ou obstruções das estruturas das vias aéreas respiratórias, falhas no sistema nervoso regulador da respiração, e ainda, fatores ambientais como

alergias, infecções, respiração bucal, má oclusão e bruxismo (Palombini, 2010, Ikävalko et al., 2012; Aroucha Lyra et al., 2020; Castilho et al., 2020)

Neste sentido, os DRS podem ser considerados um problema de importância crescente na pediatria, pois afetam a qualidade de vida das crianças (Castilho et al., 2020). Diante disso, o objetivo do estudo foi associar a má oclusão com distúrbios respiratórios do sono em crianças em situação de vulnerabilidade social.

METODOLOGIA

Este estudo foi aprovado pelo Comitê de Ética e Pesquisa (CAAE. 59292222.8.0000.5385). Foi realizado um estudo observacional transversal com 212 crianças de 5 anos de idade e em situação de vulnerabilidade social matriculadas em cinco escolas da zona leste do município de Araras (São Paulo, Brasil).

Foram incluídos no estudo as crianças com dentadura decídua completa, ausência de tratamento ortodôntico atual ou prévio, ausência de síndromes ou alterações que impediram a participação no estudo. O tamanho mínimo de 200 crianças foi calculado considerando um poder do teste de 80% e nível de significância de 5%.

O risco ao DRS foi avaliado por meio do questionário Pediatric Sleep Questionnaire (PSQ), validado para detectar os distúrbios respiratórios do sono como ronco, sonolência e problemas comportamentais. O PSQ foi respondido pelos pais e/ou responsáveis, em que apresentou 22 itens, com respostas binomiais (sim ou não) (Bruni e al., 1996; Charvin et al., 2000; Martins et al., 2022). Esse questionário apresenta 3 domínios: o de ronco com 9 itens, o de sonolência com 7 itens e o de comportamento com 6 itens com os seguintes questionamentos: Enquanto dorme, seu filho: 1) ronca mais da metade do tempo de sono? 2) sempre ronca? 3) ronca alto? 4) tem dificuldade para respirar ou luta para respirar? 5) tem respiração "pesada" ou alta? 6) você já viu seu filho parar de respirar durante a noite? Seu Filho: 7) tende a respirar pela boca durante o dia? 8) tem a boca seca ao acordar de manhã? 9) ocasionalmente faz xixi na cama? 10) acorda sentindo-se cansado pela manhã? 11) tem problema de sonolência durante o dia? 12) o professor já comentou que ele fica sonolento durante o dia? 13) é difícil acordá-lo de manhã? 14) ele acorda com dor de cabeça de manhã? 15) parou de crescer numa velocidade normal desde o nascimento? 16) está com sobrepeso? Meu filho frequentemente: 17) parece não

ouvir quando falamos com ele(a). 18) tem dificuldade organizando tarefas e atividades. 19) é facilmente distraído por estímulos estranhos. 20) tem os pés e as mãos inquietos ou se contorce ao sentar. 21) age como se estivesse “ligado na tomada”? 22) se intromete ou interrompe os outros. Valores de 8 ou mais respostas positivas é sugestivo de distúrbios respiratórios do sono. (Charvin et al., 2000; Martins et al., 2022).

A oclusão foi avaliada baseada nos critérios de Foster e Hamilton (1969). As variáveis analisadas foram trespasse horizontal, trespasse vertical e relação transversal (Foster e Hemilton, 1969).

O possível bruxismo do sono foi avaliado por um questionário adaptado do artigo (Winocur et al., 2011), que utilizou os critérios de diagnósticos da Academia Americana de Medicina do Sono (2005). O questionário refere-se a eventos ocorridos nos últimos 3 meses da seguinte forma: 1 - Você sabe ou já ouviu alguém dizer que seu filho range os dentes com frequência durante o sono? (sim/não); 2 - Você acha de que a dentição do seu filho está desgastada mais do que deveria ser? (sim/não); 3 - Você seu filho já relatou sentir algum dos seguintes sintomas ao acordar? (sim/não): (a) Sensação de fadiga, aperto ou dor no maxilar ao acordar? (b) Sentindo os dentes apertados ou que sua boca está dolorida ao acordar? (c) Dor nas temporas ao acordar? (d) Dificuldade em abrir bem a boca ao acordar? (e) Sentimento de tensão na articulação da mandíbula ao acordar e sentir como se tivesse que mover sua mandíbula para liberá-la? (f) Ouvir ou sentir um "clique" na articulação da mandíbula ao despertar que desaparece depois?

Os entrevistados foram classificados com possível bruxismo do sono se a resposta foi positiva para a questão 1 e /ou pergunta 2, além de pelo menos uma resposta positiva para um sintoma listado na questão 3 (Emidio et al., 2020). Já o bruxismo em vigília e os hábitos bucais deletérios foram identificados por perguntas diretas enviadas aos pais.

Análise estatística

Inicialmente foram realizadas análises descritivas dos dados com frequências absolutas e relativas. A seguir foram ajustados modelos de regressão logística entre cada variável independente individualmente e o desfecho (risco de distúrbios

respiratórios do sono). A partir desses modelos foram estimados os odds ratios brutos com os intervalos de 95% de confiança. As variáveis com $p < 0,20$ nas análises individuais foram estudadas em um modelo de regressão logística múltiplo, permanecendo no modelo final apenas as variáveis que tiveram $p \leq 0,05$ no modelo múltiplo. A partir do modelo múltiplo foram estimados os odds ratios ajustados com os intervalos de 95% de confiança. As análises foram realizadas no programa R (R Foundation for Statistical Computing, Vienna, Austria), com nível de significância de 5%

RESULTADOS

Foram avaliadas 212 crianças com idade entre 5 anos de idade, sendo 52,8% meninos e 47,2% meninas. Na Tabela 1 é apresentada a análise descritiva das variáveis avaliadas. Observou-se que a maioria das crianças são de família com renda de até três salários-mínimos (91,5%). Em relação aos hábitos bucais deletérios, 44,8% usam chupeta, 74,5% apresentam possível bruxismo do sono e 43,4% apresentam bruxismo em vigília. Em relação à má oclusão pode-se observar que 18,8% apresentam mordida cruzada posterior, 14,2% trespassse horizontal aumentado e 13,7% trespassse horizontal diminuído. Observa-se ainda que 12,7% e 16,0% apresentam trespassse vertical aumentado e mordida aberta anterior, respectivamente. Quanto ao risco de distúrbios respiratórios do sono, a prevalência é de 20,8%.

Tabela 1. Análises descritiva das variáveis estudadas em escolares de 5 e 6 anos de idade (n=212).

Variável	Categoria	n (%)
Risco de distúrbios respiratórios do sono (desfecho)	Presença	44 (20,8%)
	Ausência	168 (79,2%)
Sociodemográfica		
Sexo	Feminino	100 (47,2%)
	Masculino	112 (52,8%)
Cor da pele	Branca	90 (42,5%)
	Preta	122 (57,6%)
Renda (Salários Mínimos)	Menos de um	53 (25,0%)
	Entre 1 e 3	141 (66,5%)
	Entre 4 e 6	16 (7,6%)
	Mais que 6	2 (0,9%)
Escolaridade da mãe	Não alfabetizado	5 (2,4%)
	1ª a 4ª Série incompleta	15 (7,1%)
	5ª a 8ª Série incompleta	13 (6,1%)
	5ª a 8ª Série completa	10 (4,7%)
	2º Grau incompleto	23 (10,8%)
	2º Grau completo	93 (43,9%)
	Ensino Superior incompleto	12 (5,7%)
	Ensino Superior completo	34 (16,0%)
	Sem informação	7 (3,3%)
Escolaridade do pai	Não alfabetizado	24 (11,3%)
	1ª a 4ª Série incompleta	18 (8,5%)
	1ª a 4ª Série completa	5 (2,4%)
	5ª a 8ª Série incompleta	13 (6,1%)
	5ª a 8ª Série completa	14 (6,6%)
	2º Grau incompleto	18 (8,5%)
	2º Grau completo	79 (37,3%)
	Ensino Superior incompleto	15 (7,1%)
	Ensino Superior completo	19 (9,0%)
	Sem informação	7 (3,3%)
Hábitos bucais deletérios		
Sucção de chupeta	Sim	95 (44,8%)
	Não	117 (55,2%)
Sucção de dedo	Sim	16 (7,6%)
	Não	196 (92,4%)
Bruxismo do sono	Sim	158 (74,5%)

Variável	Categoria	n (%)
	Não	54 (25,5%)
Bruxismo em vigília	Sim	92 (43,4%)
	Não	120 (56,6%)
Má oclusão		
Relação transversal	Normal	151 (71,2%)
	Mordida cruzada posterior	61 (18,8%)
Trespasse horizontal (overjet)	Normal	153 (72,2%)
	Aumentado	30 (14,2%)
	Diminuído	29 (13,7%)
Trespasse vertical (overbite)	Normal	151 (71,2%)
	Sobremordida	27 (12,7%)
	Mordida aberta anterior	34 (16,0%)

*Evento de desfecho. Ref: Categoria de referência para as variáveis independentes. OR: Odds ratio. IC: Intervalo de confiança.

Os resultados das análises de associação com o risco de distúrbios respiratórios do sono nos escolares são apresentados na Tabela 2. Observa-se maior chance de risco de distúrbios respiratórios do sono nos escolares com mordida aberta anterior (OR=3,36; IC95%: 1,27-8,93). Pode-se observar que 29,4% dos escolares com mordida aberta anterior apresentam risco, enquanto entre os com trespasse vertical normal, apenas 1,5% apresentam risco.

Tabela 3. Análises das associações brutas e ajustadas com o risco de distúrbios respiratórios do sono, em escolares com 5 e 6 anos de idade (n=212).

Variável	Categoria	n (%)	Risco		OR bruto (IC95%)	p-valor	OR ajustado (IC95%)	p-valor
			Presença*	Ausência				
			n (%)	n (%)				
Socioeconômica								
Sexo	Feminino	100 (47,2%)	21 (21,0%)	79 (79,0%)	1,03 (0,53-2,00)	0,9337	-	-
	Masculino	112 (52,8%)	23 (20,5%)	89 (79,5%)	Ref			
Cor da pele	Branca	90 (42,5%)	20 (22,2%)	70 (77,8%)	1,17 (0,60-2,28)	0,6511	-	-
	Preta	122 (57,6%)	24 (19,7%)	98 (80,3%)	Ref			
Escolaridade da mãe	Até 8ª Série completa	43 (20,3%)	8 (18,6%)	35 (81,4%)	0,80 (0,34-1,88)	0,6081	-	-
	Do 2º grau até Superior completo	162 (76,4%)	36 (22,2%)	126 (77,8%)	Ref			
	Sem informação	7 (3,3%)	0 (0,0%)	7 (100,0%)	-			
Escolaridade do pai	Até 8ª Série completa	74 (34,9%)	12 (16,2%)	62 (83,8%)	0,60 (0,29-1,25)	0,1717	-	-
	Do 2º grau até Superior completo	131 (61,8%)	32 (24,4%)	99 (75,6%)	Ref			
	Sem informação	7 (3,3%)	0 (0,0%)	7 (100,0%)	-	-		
Hábitos bucais deletérios								
	Sim	95 (44,8%)	17 (17,9%)	78 (82,1%)	Ref		-	-

Variável	Categoria	n (%)	Risco		OR bruto (IC95%)	p-valor	OR ajustado (IC95%)	p-valor
			Presença*	Ausência				
			n (%)	n (%)				
Sucção de chupeta	Não	117 (55,2%)	27 (23,1%)	90 (76,9%)	1,38 (0,70-2,71)	0,3559		
Sucção de dedo	Sim	16 (7,6%)	6 (37,5%)	10 (62,5%)	2,50 (0,85-7,29)	0,0947	-	-
	Não	196 (92,4%)	38 (19,4%)	158 (80,6%)	Ref			
Bruxismo do sono	Sim	158 (74,5%)	31 (19,6%)	127 (80,9%)	0,77 (0,37-1,61)	0,4867	-	-
	Não	54 (25,5%)	13 (24,1%)	41 (75,9%)	Ref			
Bruxismo em vigília	Sim	92 (43,4%)	23 (25,0%)	69 (75,0%)	1,57 (0,81-3,06)	0,1839	-	-
	Não	120 (56,6%)	21 (17,5%)	99 (82,5%)	Ref			
Má oclusão								
Relação transversal	Normal	151 (71,2%)	32 (21,2%)	119 (78,8%)	Ref		-	-
	Mordida cruzada posterior	61 (18,8%)	12 (19,7%)	49 (80,3%)	0,91 (0,43-1,91)	0,8049	-	-
Trespasse horizontal (overjet)	Normal	153 (72,2%)	35 (22,9%)	118 (77,1%)	Ref			
	Aumentado	30 (14,2%)	5 (16,7%)	25 (83,3%)	0,67 (0,24-1,89)	0,4540		
	Diminuído	29 (13,7%)	4 (13,8%)	25 (86,2%)	0,54 (0,18-1,66)			
Trespasse vertical (overbite)	Normal	151 (71,2%)	28 (18,5%)	123 (81,5%)	Ref		Ref	
	Sobremordida	27 (12,7%)	6 (22,2%)	21 (77,8%)	1,26 (0,46-3,40)	0,6547	1,23 (0,44-3,44)	0,6902

Variável	Categoria	n (%)	Risco		OR bruto (IC95%)	p-valor	OR ajustado (IC95%)	p-valor
			Presença*	Ausência				
			n (%)	n (%)				
	Mordida aberta anterior	34 (16,0%)	10 (29,4%)	24 (70,6%)	1,83 (0,79-4,26)	0,1605	3,36 (1,27-8,93)	0,0150

*Evento de desfecho. Ref: Categoria de referência para as variáveis independentes. OR: Odds ratio. IC: Intervalo de confiança

DISCUSSÃO

O presente estudo avaliou a associação da má oclusão, presença de hábitos bucais deletérios e possível bruxismo do sono e em vigília com o risco para o desenvolvimento de distúrbios respiratórios do sono a partir disso observamos que novos estudos são sugeridos a fim de determinar também a relação de causa e efeito entre essas variáveis, traçar diagnósticos mais precisos e auxiliar na escolha de tratamento (Carvalho et al., 2014; Aroucha Lyra et al., 2020; Shirke et al., 2023).

A prevalência dos riscos aos DRS foi de 20,8%, sendo considerada uma alta prevalência na população infantil, mesmo que observado uma taxa de variação na prevalência dos estudos devido ao perfil da amostra estudada, todos corroboram com a presença alta desses distúrbios na infância (Castronovo et al., 2003; Sakamoto et al., 2017; Guo et al., 2020; Hansen et al., 2022; Shirke et al., 2023; Li et al., 2023).

Os DRS possuem alta prevalência na população e podem influenciar negativamente o desenvolvimento e bem-estar das crianças, com isso, são necessários mais estudos nessa população, pois, é a única fase que permite estratégias preventivas das alterações decorrentes dos distúrbios respiratórios do sono na fase adulta (Kim & Guillemineault., 2011; Carvalho et al., 2014; Hansen et al., 2022; Li et al., 2023).

Considerando que, este estudo associou o risco para problemas respiratórios do sono com aspectos clínicos e comportamentais das crianças na dentadura decídua, observou-se que crianças com alterações clínicas de má oclusão apresentam mais chances ao risco dos distúrbios respiratórios do sono.

A mordida aberta anterior esteve associada com os DRS (Carvalho et al., 2014; Pacheco et al., 2015; Aroucha Lyra et al., 2020; Shirke et al., 2023). A falta de trespassse vertical positivo proporciona na criança a ausência do ponto resistência para o posicionamento da língua em determinadas funções do

sistema estomatognático como movimentos durante a fala e deglutição, e ainda, proporciona alterações do padrão respiratório normal, nesse caso, para padrão respiratório predominantemente bucal, esse cenário converge para piora na qualidade de vida da criança (Shirke et al., 2023; Li et al., 2023).

Embora a polissonografia seja o padrão ouro para a avaliação dos DRS, o questionário Pediatric Sleep Questionnaire (PSQ) utilizado nesse estudo se apresenta como uma opção viável de baixo custo para ser aplicado em grandes populações infantis e de vulnerabilidade social como nesse estudo, tendo validade e confiabilidade do seu uso para populações brasileiras (Martins et al., 2022).

A vulnerabilidade social foi uma característica da amostra estudada como baixa renda e baixa escolaridade dos pais, sendo fatores que podem estar associados com alterações da saúde bucal e com o impacto na qualidade de vida relacionada a saúde bucal das crianças. Com isso, estratégias de saúde pública em saúde bucal são altamente desejadas para essa população, incluindo diagnóstico e prevenção dos DRS (Santos et al., 2020; Shirke et al., 2023; Li et al., 2023).

Não é possível afirmar nesse estudo a relação entre causa e efeito dos fatores estudados com o desfecho, e a literatura de apresenta de forma escassa, para isso, é necessário que estudos de acompanhamento longitudinal sejam realizados e são altamente sugeridos para estudos futuros. Entretanto, as implicações clínicas para os achados desses estudos apontam a necessidade de diagnóstico precoce dos DRS, bem como, tratamento das alterações oclusais de mordida aberta anterior de forma precoce.

CONCLUSÃO

Conclui-se que crianças que apresentam mordida aberta anterior tem mais chance de apresentar distúrbios respiratórios do sono.

REFERÊNCIAS BIBLIOGRÁFICAS

1. Palombini, LO. Fisiopatologia dos distúrbios respiratórios do sono. J. bras. pneumol. 36 (suppl 2) Jun, 2010. <https://doi.org/10.1590/S1806-37132010001400003>
2. Castilho RL, Matsumoto LH, Castilho GL, Weber SAT. The interface between dentistry and respiratory sleep disorders in children. Sleep Sci. 2020 Oct-Dec;13(4):220-223. doi: 10.5935/1984-0063.20200004. PMID: 33564367; PMCID: PMC7856670.
3. Ikävalko T, Tuomilehto H, Pahkala R, Tompuri T, Laitinen T, Myllykangas R, Vierola A, Lindi V, Närhi M, Lakka TA. Craniofacial morphology but not excess body fat is associated with risk of having sleep-disordered breathing--the PANIC Study (a questionnaire-based inquiry in 6-8-year-olds). Eur J Pediatr. 2012 Dec;171(12):1747-52. doi: 10.1007/s00431-012-1757-x. Epub 2012 Jul 28. PMID: 23011744.
4. Aroucha Lyra MC, Aguiar D, Paiva M, Arnaud M, Filho AA, Rosenblatt A, Thérèse Innes NP, Heimer MV. Prevalence of sleep-disordered breathing and associations with malocclusion in children. J Clin Sleep Med. 2020 Jul 15;16(7):1007-1012
5. Bruni O, Ottaviano S, Guidetti V, Romoli M, Innocenzi M, Cortesi, F, & Giannotti F. A E. "The Sleep Disturbance Scale for Children (SDSC) Construção e validação de instrumento para avaliação de distúrbios do sono na infância e adolescência.". Jornal de pesquisa do sono. 1996, 5 (4), 251-261
6. Chervin RD, Hedger K, Dillon JE, & Pituch KJ. Pediatric sleep questionnaire (PSQ): validity and reliability of scales for sleep-disordered

- breathing, snoring, sleepiness, and behavioral problems. *Sleep medicine*. 2000, 1 (1), 21-32.
7. Martins CA, Deus MM, Abile IC, Garcia DM, Anselmo-Lima WT, Miura CS, et al. Translation and cross-cultural adaptation of the pediatric sleep questionnaire (PSQ*) into Brazilian Portuguese. *Braz J Otorhinolaryngol*. 2022;88:S63-S69.
 8. Foster TD, Hamilton MC. Occlusion in the primary dentition. Study of children at 2 and one-half to 3 years of age. *Br Dent J*. 1969 Jan 21;126(2):76-9. PMID: 5253447.
 9. Emídio CA, Santos LFN, Carneiro DPA, Santos PR, Vedovello SAS, Valdrighi HC. Behavioral and clinical aspects associated with probable sleep bruxism in early childhood. *Rev Odontol UNESP*. 2020;49:e20200044. DOI: <https://doi.org/10.1590/1807-2577.04420>
 10. Castronovo V, Zucconi M, Nosetti L, Marazzini C, Hensley M, Veglia F, Nespoli L, Ferini-Strambi L. Prevalence of habitual snoring and sleep-disordered breathing in preschool-aged children in an Italian community. *J Pediatr*. 2003 Apr;142(4):377-82. doi: 10.1067/mpd.2003.118. PMID: 12712054.
 11. Sakamoto N, Gozal D, Smith DL, Yang L, Morimoto N, Wada H, Maruyama K, Ikeda A, Suzuki Y, Nakayama M, et al. Sleep duration, prevalence of snoring, obesity, and behavioral problems in a large cohort of elementary school students in Japan. *To sleep*. 2017;40(3):zsw082
 12. Guo Y, Pan Z, Gao F, Wang Q, Pan S, Xu S, Hui Y, Li L, Qian J. Characteristics and risk factors of children with sleep-disordered breathing in Wuxi, China. *BMC Pediatr*. 2020 Jun 26;20(1):310. doi: 10.1186/s12887-020-02207-5. PMID: 32590970; PMCID: PMC7318769.

13. Hansen C, Markström A, Sonnesen L. Sleep-disordered breathing and malocclusion in children and adolescents-a systematic review. *J Oral Rehabil.* 2022 Mar;49(3):353-361.
14. Shirke SR, Katre AN. Association of Sleep-Disordered Breathing and Developing Malocclusion in Children: A Cross-Sectional Study. *Cureus.* 2023 Jun 1;15(6):e39813. doi: 10.7759/cureus.39813. PMID: 37397676; PMCID: PMC10314238.
15. Li Y, Tong X, Wang S, Yu L, Yang G, Feng J, Liu Y. Pediatric sleep-disordered breathing in Shanghai: characteristics, independent risk factors and its association with malocclusion. *BMC Oral Health.* 2023 Mar 8;23(1):130.
16. Kim JH, Guilleminault C. The nasomaxillary complex, the mandible, and sleep-disordered breathing. *Sleep Breath.* 2011 May;15(2):185-93. doi: 10.1007/s11325-011-0504-2. Epub 2011 Mar 11. PMID: 21394611.
17. Carvalho FR, Lentini-Oliveira DA, Carvalho GM, Prado LB, Prado GF, Carvalho LB. Sleep-disordered breathing and orthodontic variables in children--pilot study. *Int J Pediatr Otorhinolaryngol.* 2014 Nov;78(11):1965-9. doi: 10.1016/j.ijporl.2014.08.040. Epub 2014 Sep 6. PMID: 25242700
18. Pacheco MC, Fiorott BS, Finck NS, Araújo MT. Craniofacial changes and symptoms of sleep-disordered breathing in healthy children. *Dental Press J Orthod.* 2015 May-Jun;20(3):80-7. doi: 10.1590/2176-9451.20.3.080-087.oar. PMID: 26154460; PMCID: PMC4520142.
- 19.** Santos PR, de Souza FA, Carneiro DP, de CastroMeneghim M, Vedovello SA. Exploring the impact of oralhealth-related quality of life on the child's family structure. *Brazilian Journal of Oral Sciences.* 2020 Feb 6;19:e206621

3. DISCUSSÃO

Devido ao caráter multifatorial e para que seja possível contemplar um amplo conhecimento da má oclusão e seus impactos na vida das crianças são necessários estudos com diferentes desenhos metodológicos, nos estudos de frequentistas podemos observar fatores que estão associados à essa alteração em um recorte transversal ou longitudinal, apontado variáveis correspondentes aos fatores etiológicos e até mesmo análises mais profundas como de causa e efeito, entretanto, não foi possível observar na literatura atual estudos investigando a probabilidade do desenvolvimento da má oclusão (Góis et al., 2012; Shamsioian et al., 2022).

O desenvolvimento oclusal na infância é observado com grande variabilidade de sua apresentação clínica, as características de normalidade em cada fase do desenvolvimento oclusal e as alterações oclusais podem ocorrer bem como pode se autocorrigir, com isso, esse estudo analisou a probabilidade dos eventos ocorrerem na fase de transição da dentadura decídua e mista, observando que é alta a probabilidade das crianças desenvolverem má oclusão na dentadura decídua e mista, e que também é alta a probabilidade de uma criança desenvolver má oclusão na dentadura mista mesmo não apresentando na dentadura decídua (Góis et al., 2012; Alkhadra et al., 2017; Amaral et al., 2017).

A característica de má oclusão que apresentou mais probabilidade de as crianças apresentarem na dentadura mista foi a mordida aberta anterior, essa é uma característica instável pois ao mesmo tempo que pode ocorrer, ela também apresenta potencial de autocorreção. Na infância ela pode ser facilmente tratada, por isso, ao identificar essa alteração é necessário tratamento preventivo ou interceptativo o quanto antes (Lentini-Oliveira et al., 2014; Nascimento et al., 2016; Tanny et al., 2028).

Já na fase adulta, o tratamento da mordida aberta anterior é desafiador, e pode inclusive envolver tratamento cirúrgicos, mesmo assim, a literatura aponta grande instabilidade nas correções durante essa fase (Lentini-Oliveira et al., 2014; Nascimento et al., 2016; Tanny et al., 2028).

Nesse sentido, observou-se que as alterações de mordida aberta anterior estiverem associado ao risco para o desenvolvimento de distúrbios respiratórios do sono, sendo essa uma alteração respiratória importante e impede a qualidade do sono e que quando perpetuada na fase adulta pode inclusive aumentar riscos de doenças cardiovasculares (Kim et al., 2011; Pacheco et al., 2015; Guo et al., 2020).

Com isso, identificar e tratar a mordida aberta anterior em crianças de forma precoce é altamente desejado para que ocorra a melhora na qualidade de vida das crianças.

4. CONCLUSÃO

Conclui-se que fatores moduladores como o risco aos DRS estão associados a má oclusão de mordida aberta anterior e que e que crianças apresentam alta probabilidade de desenvolverem má oclusão na transição da dentadura decídua para a dentadura mista.

REFERÊNCIAS

Silva Filho OG da, Garib DG, Lara TS. Ortodontia interceptiva: protocolo de tratamento em duas fases. 2013

Hartsfield JK Jr, Jacob GJ, Morford LA. Heredity, Genetics and Orthodontics - How Much Has This Research Really Helped? Semin Orthod. 2017 Dec;23(4):336-347.

Saito F, Kajii TS, Oka A, Ikuno K1, Iida J. Genome-wide association study for mandibular prognathism using microsatellite and pooled DNA method. Am J Orthod Dentofacial Orthop. 2017 Sep;152(3):382-388.

Alkhadra T. A Systematic Review of the Consequences of Early Extraction of First Permanent First Molar in Different Mixed Dentition Stages. J Int Soc Prev Community Dent. 2017 Sep-Oct;7(5):223-226

Al-Khalifa HN, Hashem MI, Alanazi KJ, Anil S. Orthopedic Effect of Chin Cup during Mixed Dentition Stage. J Contemp Dent Pract. 2017 May 1;18(5):410-414.

Almeida RR, Almeida-Pedrin RR, Almeida MR, Garib DG, Almeida PCMR, Pinzan A. Etiologia das Más Oclusões - Causas Hereditárias e Congênitas, Adquiridas Gerais, Locais e Proximais (Hábitos Bucais). Dental Press OrtodonOrtop Facial, 2000; 5: 107-29.

Silva Filho OG, Santamaria M Jr, Capellozza Filho L. Epidemiology of posterior crossbite in the primary dentition. J Clin Pediatr Dent. 2007 Fall;32(1):73-8.

Schwartz JP, Somensi DS, Yoshizaki P, Reis LL, de Cássia Moura Carvalho Lauris R, da Silva Filho OG, Dalbén G, Garib DG. Prevalence of dental

anomalies of number in different subphenotypes of isolated cleft palate. *Dental Press J Orthod*. 2014 Jan-Feb;19(1):55-9.

Lara TS, Lancia M, da Silva Filho OG, Garib DG, Ozawa TO. Prevalence of mesiodens in orthodontic patients with deciduous and mixed dentition and its association with other dental anomalies. *Dental Press J Orthod*. 2013 Nov-Dec;18(6):93-9.

Dimberg L, Lennartsson B, Arnrup K, Bondemark L. Prevalence and change of malocclusions from primary to early permanent dentition: a longitudinal study. *Angle Orthod*. 2015 Sep;85(5):728-34.

Vedovello SAS, Ambrosano GMB, Pereira AC, Valdrighi HC, Vedovello Filho M, Meneghim MC. Association between malocclusion and the contextual factors of quality of life and socioeconomic status. *Am J Orthod Dentofacial Orthop* 2016;150(1):58-63.a

Amaral CC, da Costa VPP, Azevedo MS, Pinheiro RT, Demarco FF, Goettems ML. Perinatal health and malocclusions in preschool children: Findings from a cohort of adolescent mothers in Southern Brazil. *Am J Orthod Dentofacial Orthop*. 2017 Nov;152(5):613-621.

Meneghim MC, Kozlowski FC, Pereira AC, Ambrosano, GMB, Meneghim ZMAP. Classificação socioeconômica e sua discussão em relação à prevalência de cárie e fluorose dentária. *Ciência e Saúde Coletiva* 2007;12(2):523-29.

Sardenberg F, Martins MT, Bendo CB, Pordeus IA, Paiva SM, Auad SM, Vale MP. Malocclusion and oral health-related quality of life in Brazilian school children A population-based study. *Angle Orthod* 2013;83: 83–9.

Normando TS, Barroso RF, Normando D. Influence of the socioeconomic status on the prevalence of malocclusion in the primary dentition. *Dental Press J Orthod*. 2015 Jan-Feb;20(1):74-8.

Vedovello SAS, Ambrosano GMB, Pereira AC, Valdrighi HC, Vedovello Filho M, Meneghim MC. Association between malocclusion and the contextual factors of quality of life and socioeconomic status. *Am J Orthod Dentofacial Orthop* 2016;150(1):58-63.a

Vedovello SAS, Valdrighi HC, Santos PR, Rebelato Filho AA, Vedovello Filho M, Meneghim MC. Associação entre a severidade da má-oclusão e a condição social na qualidade de vida de adolescentes. *Revista Ortodontia SPO* 2016; 49(5):456-460.b.

Vedovello SAS, Ambrosano GMB, Pereira AC, Valdrighi HC, Vedovello Filho M, Meneghim MC. Association between malocclusion and the contextual factors of quality of life and socioeconomic status. *Am J Orthod Dentofacial Orthop* 2016;150(1):58-63.a

Boronat-Catalá M, Montiel-Company JM, Bellot-Arcís C, Almerich-Silla JM, Catalá-Pizarro M. Association between duration of breastfeeding and malocclusions in primary and mixed dentition: a systematic review and meta-analysis. *Sci Rep*. 2017 Jul 11;7(1):5048.

Al-Khalifa HN, Hashem MI, Alanazi KJ, Anil S. Orthopedic Effect of Chin Cup during Mixed Dentition Stage. *J Contemp Dent Pract*. 2017 May 1;18(5):410-414.

Silva Filho OG, Santamaria M Jr, Capellozza Filho L. Epidemiology of posterior crossbite in the primary dentition. *J Clin Pediatr Dent*. 2007 Fall;32(1):73-8.

Barros SE, Chiqueto K, Janson G, Ferreira E. Factors influencing molar relationship behavior in the mixed dentition. *Am J Orthod Dentofacial Orthop*. 2015 Nov;148(5):782-92.

Dimberg L, Lennartsson B, Arnrup K, Bondemark L. Prevalence and change of malocclusions from primary to early permanent dentition: a longitudinal study. *Angle Orthod*. 2015 Sep;85(5):728-34.

Caprioglio A, Fastuca R. Etiology and treatment options of anterior open bite in growing patients: a narrative review. *Orthod Fr*. 2016 Dec;87(4):467-477.

Kragt L, Dharmo B, Wolvius EB, Ongkosuwito EM. The impact of malocclusions on oral health-related quality of life in children-a systematic review and meta-analysis. *Clin Oral Investig*. 2016 Nov;20(8):1881-1894.

Caprioglio A, Fastuca R. Etiology and treatment options of anterior open bite in growing patients: a narrative review. *Orthod Fr*. 2016 Dec;87(4):467-477.

Sun L, Wong HM, McGrath CP. Relationship Between the Severity of Malocclusion and Oral Health Related Quality of Life: A Systematic Review and Meta-analysis. *Oral Health Prev Dent*. 2017;15(6):503-517.

Pisani L, Bonaccorso L, Fastuca R, Spena R, Lombardo L, Caprioglio A. Systematic review for orthodontic and orthopedic treatments for anterior open bite in the mixed dentition. *Prog Orthod*. 2016 Dec;17(1):28.

Kragt L, Dharmo B, Wolvius EB, Ongkosuwito EM. The impact of malocclusions on oral health-related quality of life in children-a systematic review and meta-analysis. *Clin Oral Investig*. 2016 Nov;20(8):1881-1894.

Boronat-Catalá M, Montiel-Company JM, Bellot-Arcís C, Almerich-Silla JM, Catalá-Pizarro M. Association between duration of breastfeeding and malocclusions in primary and mixed dentition: a systematic review and meta-analysis. *Sci Rep*. 2017 Jul 11;7(1):5048.

Sun L, Wong HM, McGrath CP. Relationship Between the Severity of Malocclusion and Oral Health Related Quality of Life: A Systematic Review and Meta-analysis. *Oral Health Prev Dent*. 2017;15(6):503-517.

Góis EG, Vale MP, Paiva SM, Abreu MH, Serra-Negra JM, Pordeus IA. Incidence of malocclusion between primary and mixed dentitions among Brazilian children. A 5-year longitudinal study. *Angle Orthod* 2012;82(3):495-500. DOI: 10.2319/033011-230.1.

Shamshoian J, Şentürk D, Jeste S, Telesca D. Bayesian analysis of longitudinal and multidimensional functional data. *Biostatistics* 2022 13;23(2):558-573. DOI:10.1093/biostatistics/kxaa041

Guo Y, Pan Z, Gao F, Wang Q, Pan S, Xu S, Hui Y, Li L, Qian J. Characteristics and risk factors of children with sleep-disordered breathing in Wuxi, China. *BMC Pediatr*. 2020 Jun 26;20(1):310. doi: 10.1186/s12887-020-02207-5. PMID: 32590970; PMCID: PMC7318769

Kim JH, Guilleminault C. The nasomaxillary complex, the mandible, and sleep-disordered breathing. *Sleep Breath*. 2011 May;15(2):185-93. doi: 10.1007/s11325-011-0504-2. Epub 2011 Mar 11. PMID: 21394611.

Pacheco MC, Fiorott BS, Finck NS, Araújo MT. Craniofacial changes and symptoms of sleep-disordered breathing in healthy children. *Dental Press J*

Orthod. 2015 May-Jun;20(3):80-7. doi: 10.1590/2176-9451.20.3.080-087.oar. PMID: 26154460; PMCID: PMC4520142.

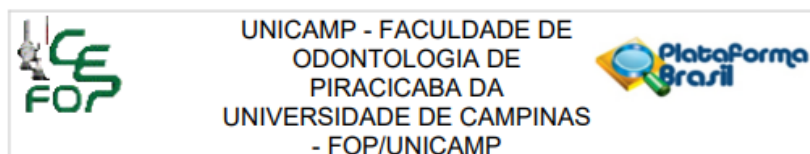
Lentini-Oliveira DA, Carvalho FR, Rodrigues CG, Ye Q, Prado LB, Prado GF, Hu R. Orthodontic and orthopaedic treatment for anterior open bite in children. Cochrane Database Syst Rev 2014 24;(9):CD005515. DOI: 10.1002/14651858.CD005515.pub3

Nascimento MH, de Araújo TM, Machado AW. Severe Anterior Open Bite during Mixed Dentition Treated with Palatal Spurs. J Clin Pediatr Dent 2016;40(3):247-50. DOI: 10.17796/1053-4628-40.3.247

Tanny L, Huang B, Naung NY, Currie G. Non-orthodontic intervention and non-nutritive sucking behaviours: A literature review. Kaohsiung J Med Sci. 2018 Apr;34(4):215-222.

ANEXOS

Anexo 1 - Parecer Comitê de Ética Capítulo 1

**PARECER CONSUBSTANCIADO DO CEP****DADOS DO PROJETO DE PESQUISA**

Título da Pesquisa: ANÁLISE DOS FATORES MODULADORES ENVOLVIDOS NO DESENVOLVIMENTO OCLUSAL: ESTUDO LONGITUDINAL.

Pesquisador: PATRÍCIA RAFAELA DOS SANTOS

Área Temática:

Versão: 3

CAAE: 31459420.5.0000.5418

Instituição Proponente: Faculdade de Odontologia de Piracicaba - Unicamp

Patrocinador Principal: Financiamento Próprio

DADOS DO PARECER

Número do Parecer: 4.031.001

Apresentação do Projeto:

Transcrição editada do conteúdo do registro do protocolo e dos arquivos anexados à Plataforma Brasil

A EQUIPE DE PESQUISA inclui PATRÍCIA RAFAELA DOS SANTOS (Cirurgiã Dentista, Doutoranda na área de Saúde Coletiva do PPG em Odontologia da FOP-UNICAMP, Pesquisadora responsável, Orientanda), Marcelo de Castro Meneghim (Cirurgião Dentista, Docente da área de Odontologia Preventiva e Saúde Pública da FOP-UNICAMP, Pesquisador participante, Orientador) e SILVIA AMÉLIA SCUDELER VEDOVELLO (Cirurgiã Dentista, Docente da Faculdade de Odontologia da Fundação Herminio Ometto, Pesquisadora participante), o que é confirmado na declaração dos pesquisadores e na PB.

Pendência 1 (atendida em 14/05/20)- Os dados dos pesquisadores foram ajustados na contracapa do projeto.

Delineamento da pesquisa: Trata-se de estudo epidemiológico observacional, longitudinal, que envolverá 121 indivíduos que participaram de dois estudos anteriores desenvolvidos pela Faculdade de Odontologia do Centro Universitário da Fundação Herminio Ometto na cidade de Araras – SP, em 2014 e 2017. O objetivo deste estudo será avaliar, o desenvolvimento oclusal na fase de dentadura mista e o tipo de impacto dos fatores moduladores clínicos e ambientais. Os

Endereço: Av. Limeira 901 Caixa Postal 52
Bairro: Areião **CEP:** 13.414-903
UF: SP **Município:** PIRACICABA
Telefone: (19)2106-5349 **Fax:** (19)2106-5349 **E-mail:** cep@fop.unicamp.br

Anexo 2 - Normas Revista *American Journal of Orthodontics and Dentofacial Orthopedics*

Guidelines for Original Articles

Submit Original Articles via

EM: <https://www.editorialmanager.com/ajodo/>.

Before you begin, please review the guidelines below.

1. *Title Page*. Put all information pertaining to the authors in the Title Page. Include the title of the article, full name(s) of the author(s), as well as each person's institutional affiliations and positions and email address; identify the corresponding author and include an address, telephone numbers, and an e-mail address. This information will not be available to the reviewers.

2. *Abstract*. Structured abstracts of 250 words or less are preferred. A structured abstract contains the following sections: Introduction, describing the problem; Methods, describing how the study was performed; Results, describing the primary results; and Conclusions, reporting what the authors conclude from the findings and any clinical implications.

3. *Manuscript*. The manuscript should be organized in the following sections: Introduction and literature review, Methods, Results, Discussion, Conclusions, References, and figure captions. Express measurements in metric units, whenever practical. Refer to teeth by their full names. For style questions, refer to the *AMA Manual of Style, 10th edition*. Cite references selectively, and number them in the order cited. Make sure that all references have been mentioned in the text. Follow the format for references in "Uniform Requirements for Manuscripts Submitted to Biomedical Journals" (Ann Intern Med 1997;126:36-47); <http://www.icmje.org>. DOI numbers are optional but encouraged. Include the list of references with the manuscript proper. Submit figures and tables separately (see below); do not embed figures in the word processing document.

4. *Figures*. Digital images should be in TIF or EPS format, CMYK or grayscale, at least 5 inches wide and at least 300 pixels per inch (118 pixels per cm). Do not embed images in a word processing program. If published, images could be reduced to 1 column width (about 3 inches), so authors should ensure that figures will remain legible at that scale. For best results, avoid screening, shading, and colored backgrounds; use the simplest patterns available to indicate differences in charts. If a figure has been previously published, the legend (included in the manuscript proper) must give full credit to the original source,

and written permission from the original publisher must be included. Be sure you have mentioned each figure, in order, in the text.

5. *Tables.* Tables should be self-explanatory and should supplement, not duplicate, the text. Number them with Roman numerals, in the order they are mentioned in the text. Provide a brief title for each. If a table has been previously published, include a footnote in the table giving full credit to the original source and include written permission for its use from the copyright holder. Submit tables as text-based files (Word is preferred, Excel is accepted) and not as graphic elements. Do not use colors, shading, boldface, or italics in tables. Use a period to indicate the decimal point, and not a comma. Do not submit tables as parts A and B; instead, divide into 2 separate tables. Do not "protect" tables by making them "read-only." The table title should be put above the table and not as a cell in the table. Similarly, table footnotes should be under the table, not table cells.

6. *Model release and permission forms.* Photographs of identifiable persons must be accompanied by a release signed by the person or both living parents or the guardian of minors. Illustrations or tables that have appeared in copyrighted material must be accompanied by written permission for their use from

the copyright owner and original author, and the legend must properly credit the source. Permission also must be obtained to use modified tables or figures.

7. *Copyright release.* All authors will be asked to e-sign a copyright release before the article is published. In accordance with the Copyright Act of 1976, which became effective February 1, 1978, all manuscripts must be accompanied by the following written statement, signed by all authors: *"The undersigned author(s) transfers all copyright ownership of the manuscript [insert title of article here] to the American Association of Orthodontists in the event the work is published. The undersigned author(s) warrants that the article is original, does not infringe upon any copyright or other proprietary right of any third party, is not under consideration by another journal, has not been previously published, and includes any product that may derive from the published journal, whether print or electronic media. I (we) sign for and accept responsibility for releasing this material."* Scan the printed [copyright release](#) and submit it via EM.

8. *Use the International Committee of Medical Journal Editors Form for the Disclosure of Conflict of Interest (ICMJE Conflict of Interest Form).* If the manuscript is accepted, the disclosed information will be published with the article. The usual and

customary listing of sources of support and institutional affiliations on the title page is proper and does not imply a conflict of interest. Guest editorials, Letters, and Review articles may be rejected if a conflict of interest exists.

9. Institutional Review Board approval. For those articles that report on the results of experiments of treatments where patients or animals have been used as the sample, Institutional Review Board (IRB) approval is mandatory. No experimental studies will be sent out for review without an IRB approval accompanying the manuscript submission.

Checklist for Authors

_____ Title page, including full name of each author, academic degrees, institutional affiliation and position, and email address of each author, and full mailing address and contact information for the corresponding author. A Different author may be designated as the contact person for the article after it is published.

_____ CRediT Author Statement, formatted with the names of authors first and CRediT role(s) following. [More details and an example](#)

____ Highlights (up to 5 Highlights, written in complete sentences, 85 characters each, including spaces).

____ Abstract (structured, 250 words; a graphical abstract is optional)

____ Manuscript, including references and figure legends

____ Figures, high resolution and in TIF or EPS format

____ Tables

____ [Copyright release statement](#), signed by all authors

____ [Photographic consent statement\(s\)](#)

____ [ICMJE Conflict of interest statement](#) for each author

____ Permissions to reproduce previously published material

____ Permission to reproduce proprietary images (including screenshots that include a company logo)



Preparation

Double anonymized review

This journal uses double anonymized review, which means the identities of the authors are concealed from the reviewers, and vice versa. [More information](#) is available on our website. To facilitate this, please include the following separately:

Title page (with author details): This should include the title, authors' names, affiliations, acknowledgements and any Declaration of Interest statement, and a complete address for the corresponding author including an e-mail address.

Anonymized manuscript (no author details): The main body of the paper (including the references, figures, tables and any acknowledgements) should not include any identifying information, such as the authors' names or affiliations.

Article structure

Introduction

Provide an adequate background so readers can understand the nature of the problem and its significance. State the objectives of the work. Cite literature selectively, avoiding a detailed literature

survey or a summary of the results.

Material and Methods

Provide sufficient detail to allow the work to be reproduced. If methods have already been published, indicate by a reference citation and describe only the relevant modifications. Include manufacturer information (company name and location) for any commercial product mentioned. Report your power analysis and ethics approval, as appropriate.

Results

Results should be clear and concise.

Discussion

Explain your findings and explore their significance. Compare and contrast your results with other relevant studies. Mention the limitations of your study, and discuss the implications of the findings for future research and for clinical practice. Do not repeat information given in other parts of the manuscript.

Conclusions

Write a short Conclusions section that can stand alone. If possible, refer back to the goals or objectives of the research.

Essential title page information

- **Title.** Concise and informative. Titles are often used in information-retrieval systems. Avoid abbreviations and formulae where possible.
- **Author names and affiliations.** Please clearly indicate the given name(s) and family name(s) of each author and check that all names are accurately spelled. You can add your name between parentheses in your own script behind the English transliteration. Present the authors' affiliation addresses (where the actual work was done) below the names. Indicate all affiliations with a lower-case superscript letter immediately after the author's name and in front of the appropriate address. Provide the full postal address of each affiliation, including the country name and, if available, the e-mail address of each author.
- **Corresponding author.** Clearly indicate who will handle correspondence at all stages of refereeing and publication, also post-publication. This responsibility includes answering any future queries about Methodology and Materials. **Ensure that the e-mail address is given and that contact details are kept up to date by the corresponding author.**

- ***Present/permanent address.*** If an author has moved since the work described in the article was done, or was visiting at the time, a 'Present address' (or 'Permanent address') may be indicated as a footnote to that author's name. The address at which the author actually did the work must be retained as the main, affiliation address. Superscript Arabic numerals are used for such footnotes.

Highlights

Highlights are optional yet highly encouraged for this journal, as they increase the discoverability of your article via search engines. They consist of a short collection of bullet points that capture the novel results of your research as well as new methods that were used during the study (if any). Please have a look at the [example Highlights](#).

Highlights should be submitted in a separate editable file in the online submission system. Please use 'Highlights' in the file name and include 3 to 5 bullet points (maximum 85 characters, including spaces, per bullet point).

Abstract

A structured abstract using the headings Introduction, Methods,

Results, and Conclusions is required for Original Article, Systematic Review, Randomized Controlled Trial, and Techno Bytes. An unstructured abstract is acceptable for Case Report and Clinician's Corner.

Graphical abstract

Although a graphical abstract is optional, its use is encouraged as it draws more attention to the online article. The graphical abstract should summarize the contents of the article in a concise, pictorial form designed to capture the attention of a wide readership. Graphical abstracts should be submitted as a separate file in the online submission system. Image size: Please provide an image with a minimum of 531 × 1328 pixels (h × w) or proportionally more. The image should be readable at a size of 5 × 13 cm using a regular screen resolution of 96 dpi. Preferred file types: TIFF, EPS, PDF or MS Office files. You can view [Example Graphical Abstracts](#) on our information site.

Acknowledgments

Collate acknowledgments in a separate section at the end of the article before the references; do not include them on the title page, as a footnote to the title page, or otherwise. List here those individuals who provided help during the research (eg, providing

help with language or writing assistance, or proofreading the article).

Formatting of funding sources

List funding sources in this standard way to facilitate compliance to funder's requirements:

Funding: This work was supported by the National Institutes of Health [grant numbers xxxx, yyyy]; the Bill & Melinda Gates Foundation, Seattle, WA [grant number zzzz]; and the United States Institutes of Peace [grant number aaaa].

It is not necessary to include detailed descriptions on the program or type of grants and awards. When funding is from a block grant or other resources available to a university, college, or other research institution, submit the name of the institute or organization that provided the funding.

If no funding has been provided for the research, it is recommended to include the following sentence:

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Artwork

Image manipulation

Whilst it is accepted that authors sometimes need to manipulate images for clarity, manipulation for purposes of deception or fraud will be seen as scientific ethical abuse and will be dealt with accordingly. For graphical images, this journal is applying the following policy: no specific feature within an image may be enhanced, obscured, moved, removed, or introduced.

Adjustments of brightness, contrast, or color balance are acceptable if and as long as they do not obscure or eliminate any information present in the original. Nonlinear adjustments (e.g. changes to gamma settings) must be disclosed in the figure legend.

Electronic artwork

General points

- Make sure you use uniform lettering and sizing of your original artwork.
- Embed the used fonts if the application provides that option.
- Aim to use the following fonts in your illustrations: Arial, Courier, Times New Roman, Symbol, or use fonts that look similar.

- Number the illustrations according to their sequence in the text.
- Use a logical naming convention for your artwork files.
- Provide captions to illustrations separately.
- Size the illustrations close to the desired dimensions of the published version.
- Submit each illustration as a separate file.
- Ensure that color images are accessible to all, including those with impaired color vision.

A detailed [guide on electronic artwork](#) is available.

You are urged to visit this site; some excerpts from the detailed information are given here.

Formats

If your electronic artwork is created in a Microsoft Office application (Word, PowerPoint, Excel) then please supply 'as is' in the native document format.

Regardless of the application used other than Microsoft Office, when your electronic artwork is finalized, please 'Save as' or convert the images to one of the following formats (note the resolution requirements for line drawings, halftones, and line/halftone combinations given below):

EPS (or PDF): Vector drawings, embed all used fonts.

TIFF (or JPEG): Color or grayscale photographs (halftones), keep to a minimum of 300 dpi.

TIFF (or JPEG): Bitmapped (pure black & white pixels) line

drawings, keep to a minimum of 1000 dpi.

TIFF (or JPEG): Combinations bitmapped line/half-tone (color or grayscale), keep to a minimum of 500 dpi.

Please do not:

- Supply files that are optimized for screen use (e.g., GIF, BMP, PICT, WPG); these typically have a low number of pixels and limited set of colors;
- Supply files that are too low in resolution;
- Submit graphics that are disproportionately large for the content.
- Embed your images in the Word document.

Color artwork

Please make sure that artwork files are in an acceptable format (TIFF (or JPEG), EPS (or PDF) or MS Office files) and with the correct resolution. If, together with your accepted article, you submit usable color figures then Elsevier will ensure, at no additional charge, that these figures will appear in color online (e.g., ScienceDirect and other sites) in addition to color reproduction in print. [Further information on the preparation of electronic artwork.](#)

Figure captions

Ensure that each illustration has a caption. Supply captions separately, not attached to the figure. A caption should comprise a brief title (**not** on the figure itself) and a description of the illustration. Keep text in the illustrations themselves to a minimum but explain all symbols and abbreviations used.

Tables

Please submit tables as editable text (Word) and not as images. Upload tables separately, together in one file if the tables are small, or as individual files; do not embed tables in the manuscript. Number tables consecutively in accordance with their appearance in the text and place any table notes below the table body. Be sparing in the use of tables and ensure that the data presented in them do not duplicate results described elsewhere in the article. Please avoid using vertical rules and shading in table cells.

References

Citation in text

Please ensure that every reference cited in the text is also present in the reference list (and vice versa). Any references

cited in the abstract must be given in full. Unpublished results and personal communications are not recommended in the reference list, but may be mentioned in the text. If these references are included in the reference list they should follow the standard reference style of the journal and should include a substitution of the publication date with either 'Unpublished results' or 'Personal communication'. Citation of a reference as 'in press' implies that the item has been accepted for publication.

Reference links

Increased discoverability of research and high quality peer review are ensured by online links to the sources cited. In order to allow us to create links to abstracting and indexing services, such as Scopus, Crossref and PubMed, please ensure that data provided in the references are correct. Please note that incorrect surnames, journal/book titles, publication year and pagination may prevent link creation. When copying references, please be careful as they may already contain errors. Use of the DOI is highly encouraged.

A DOI is guaranteed never to change, so you can use it as a permanent link to any electronic article. An example of a citation using DOI for an article not yet in an issue is: VanDecar J.C., Russo R.M., James D.E., Ambek W.B., Franke M. (2003).

Aseismic continuation of the Lesser Antilles slab beneath northeastern Venezuela. *Journal of Geophysical Research*, <https://doi.org/10.1029/2001JB000884>. Please note the format of such citations should be in the same style as all other references in the paper.

Web references

As a minimum, the full URL should be given and the date when the reference was last accessed. Any further information, if known (DOI, author names, dates, reference to a source publication, etc.), should also be given. Web references can be listed separately (e.g., after the reference list) under a different heading if desired, or can be included in the reference list.

Data references

This journal encourages you to cite underlying or relevant datasets in your manuscript by citing them in your text and including a data reference in your Reference List. Data references should include the following elements: author name(s), dataset title, data repository, version (where available), year, and global persistent identifier. Add [dataset] immediately before the reference so we can properly identify it as a data reference. The [dataset] identifier will not appear in your

published article.

Preprint references

Where a preprint has subsequently become available as a peer-reviewed publication, the formal publication should be used as the reference. If there are preprints that are central to your work or that cover crucial developments in the topic, but are not yet formally published, these may be referenced. Preprints should be clearly marked as such, for example by including the word preprint, or the name of the preprint server, as part of the reference. The preprint DOI should also be provided.

References in a special issue

Please ensure that the words 'this issue' are added to any references in the list (and any citations in the text) to other articles in the same Special Issue.

Reference management software

Most Elsevier journals have their reference template available in many of the most popular reference management software products. These include all products that support [Citation Style Language styles](#), such as [Mendeley](#). Using citation plug-ins from

these products, authors only need to select the appropriate journal template when preparing their article, after which citations and bibliographies will be automatically formatted in the journal's style. If no template is yet available for this journal, please follow the format of the sample references and citations as shown in this Guide. If you use reference management software, please ensure that you remove all field codes before submitting the electronic manuscript. [More information on how to remove field codes from different reference management software](#).

Reference style

Text: Indicate references by superscript numbers in the text. The actual authors can be referred to, but the reference number(s) must always be given.

List: Number the references in the list in the order in which they appear in the text.

Examples:

Reference to a journal publication:

1. Van der Geer J, Hanraads JAJ, Lupton RA. The art of writing a scientific article. *Sci Commun* 2010;16351-9.

Reference to a book:

2. Strunk Jr W, White EB. *The elements of style*. 4th ed. New York: Longman; 2000.

Reference to a chapter in an edited book:

3. Mettam GR, Adams LB. How to prepare an electronic version of your article. In: Jones BS, Smith RZ, editors. Introduction to the electronic age. New York: E-Publishing Inc; 2009. p. 281-304.

Note shortened form for last page number. e.g., 51-9, and that for more than 6 authors the first 6 should be listed followed by 'et al.' For further details you are referred to 'Uniform Requirements for Manuscripts submitted to Biomedical Journals' (J Am Med Assoc 1997;**277**:927–34) (see also http://www.nlm.nih.gov/bsd/uniform_requirements.html).

Video

Elsevier accepts video material and animation sequences to support and enhance your scientific research. Authors who have video or animation files that they wish to submit with their article are strongly encouraged to include links to these within the body of the article. This can be done in the same way as a figure or table by referring to the video or animation content and noting in the body text where it should be placed. All submitted files should be properly labeled so that they directly relate to the video file's content. In order to ensure that your video or animation material is directly usable, please provide the file in one of our recommended file formats with a preferred maximum size of 150 MB per file, 1 GB in total. Video and animation files

supplied will be published online in the electronic version of your article in Elsevier Web products, including [ScienceDirect](#). Please supply 'stills' with your files: you can choose any frame from the video or animation or make a separate image. These will be used instead of standard icons and will personalize the link to your video data. For more detailed instructions please visit our [video instruction pages](#). Note: since video and animation cannot be embedded in the print version of the journal, please provide text for both the electronic and the print version for the portions of the article that refer to this content.

Data visualization

Include interactive data visualizations in your publication and let your readers interact and engage more closely with your research. Follow the instructions [here](#) to find out about available data visualization options and how to include them with your article.

Research data

This journal encourages and enables you to share data that supports your research publication where appropriate, and enables you to interlink the data with your published articles. Research data refers to the results of observations or

experimentation that validate research findings, which may also include software, code, models, algorithms, protocols, methods and other useful materials related to the project.

Below are a number of ways in which you can associate data with your article or make a statement about the availability of your data when submitting your manuscript. If you are sharing data in one of these ways, you are encouraged to cite the data in your manuscript and reference list. Please refer to the "References" section for more information about data citation. For more information on depositing, sharing and using research data and other relevant research materials, visit the [research data](#) page.

Data linking

If you have made your research data available in a data repository, you can link your article directly to the dataset. Elsevier collaborates with a number of repositories to link articles on ScienceDirect with relevant repositories, giving readers access to underlying data that gives them a better understanding of the research described.

There are different ways to link your datasets to your article. When available, you can directly link your dataset to your article

by providing the relevant information in the submission system. For more information, visit the [database linking page](#).

For supported data repositories a repository banner will automatically appear next to your published article on ScienceDirect.

In addition, you can link to relevant data or entities through identifiers within the text of your manuscript, using the following format: Database: xxxx (e.g., TAIR: AT1G01020; CCDC: 734053; PDB: 1XFN).

Data statement

To foster transparency, we encourage you to state the availability of your data in your submission. This may be a requirement of your funding body or institution. If your data is unavailable to access or unsuitable to post, you will have the opportunity to indicate why during the submission process, for example by stating that the research data is confidential. The statement will appear with your published article on ScienceDirect. For more information, visit the [Data Statement page](#).

Submission Checklist

The following list will be useful during the final checking of an article prior to sending it to the journal for review. Please consult this Guide for Authors for further details of any item.

Ensure that the following items are present:

One author has been designated as the corresponding author with contact details:

- E-mail address
- Full postal address
- Phone numbers

All necessary files have been uploaded, and contain:

- All figure captions
- All tables (including title, description, footnotes)

Further considerations

- Manuscript has been 'spell-checked' and 'grammar-checked'
- References are in the correct format for this journal
- All references mentioned in the Reference list are cited in the text, and vice versa
- Permission has been obtained for use of copyrighted material from other sources (including the Web)

For any further information please visit our customer support site at <https://service.elsevier.com>.

Permissions

To use information borrowed or adapted from another source, authors must obtain permission from the copyright holder (usually the publisher). This is necessary even if you are the author of the borrowed material. It is essential to begin the process of obtaining permissions early; a delay may require removing the copyrighted material from the article. Give the source of a borrowed table in a footnote to the table; give the source of a borrowed figure in the legend of the figure. The source must also appear in the list of references. Use exact wording required by the copyright holder. For more information about permission issues, contact permissionshelpdesk@elsevier.com or visit <https://www.elsevier.com/about/policies/copyright/permissions>.

Permission is also required for the following images:

- Photos of a product if the product is identified or can reasonably be identified from the photo
- Logos
- Screenshots that involve copyrighted third-party material, whether a reasonably identifiable user interface or any nonincidental material appearing in the screenshot



After Acceptance

Proofs

One set of page proofs (as PDF files) will be sent by e-mail to the corresponding author (if we do not have an e-mail address then paper proofs will be sent by post) or a link will be provided in the e-mail so that authors can download the files themselves. To ensure a fast publication process of the article, we kindly ask authors to provide us with their proof corrections within two days. Elsevier now provides authors with PDF proofs which can be annotated; for this you will need to [download the free Adobe Reader](#), version 9 (or higher). Instructions on how to annotate PDF files will accompany the proofs (also given online). The exact system requirements are given at the [Adobe site](#). If you do not wish to use the PDF annotations function, you may list the corrections (including replies to the Query Form) and return them to Elsevier in an e-mail. Please list your corrections quoting line number. If, for any reason, this is not possible, then mark the corrections and any other comments (including replies to the Query Form) on a printout of your proof and scan the pages and return via e-mail. Please use this proof only for checking the typesetting, editing, completeness and correctness

of the text, tables and figures. Significant changes to the article as accepted for publication will only be considered at this stage with permission from the Editor. We will do everything possible to get your article published quickly and accurately. It is important to ensure that all corrections are sent back to us in one communication: please check carefully before replying, as inclusion of any subsequent corrections cannot be guaranteed. Proofreading is solely your responsibility.

Offprints

The corresponding author will, at no cost, receive a customized [Share Link](#) providing 50 days free access to the final published version of the article on [ScienceDirect](#). The Share Link can be used for sharing the article via any communication channel, including email and social media. For an extra charge, paper offprints can be ordered via the offprint order form which is sent once the article is accepted for publication.



Author Inquiries

Visit the [Elsevier Support Center](#) to find the answers you need. Here you will find everything from Frequently Asked Questions to

ways to get in touch.

You can also [check the status of your submitted article](#) or find out [when your accepted article will be published](#).

Anexo 3 - Parecer de aprovação pela revista *American Journal of Orthodontics and Dentofacial Orthopedics*

Ms. Ref. No.: AJODO-D-23-00742R4

Title: A longitudinal study of the probability of developing malocclusion in children using a Bayesian analysis.
American Journal of Orthodontics & Dentofacial Orthopedics

Dear Professor Meneghim,

Thank you for revising and resubmitting your manuscript to the AJO-DO. I am now satisfied that all necessary changes have been made and I am pleased to accept your research for publication. Congratulations.

We have tentatively scheduled your article for publication in mid- to late 2024. When we approach the publication date, we will send your article to the production department where it will be prepared for publication. The production department will notify you when the proof is available. Once you approve the proof, the article will be published in the In Press section of our website until its formal publication date.

Thank you for submitting your article to the AJO-DO. I look forward to its publication.

With kind regards,

Jae Hyun Park
Deputy Editor-in-Chief
American Journal of Orthodontics and Dentofacial Orthopedics
Manuscript submission: www.editorialmanager.com/ajodo
Journal website: www.ajodo.org

Anexo 4 - Parecer Comitê de Ética Capítulo 2

CENTRO UNIVERSITÁRIO DA
FUNDAÇÃO HERMÍNIO
OMETTO - FHO



PARECER CONSUBSTANCIADO DO CEP

DADOS DO PROJETO DE PESQUISA

Título da Pesquisa: ASSOCIAÇÃO ENTRE A QUALIDADE DE VIDA RELACIONADA À SAÚDE BUCAL E O CAPITAL SOCIAL EM PRÉ-ESCOLARES

Pesquisador: SILVIA AMÉLIA SCUDELER VEDOVELLO

Área Temática:

Versão: 1

CAAE: 59291622.8.0000.5385

Instituição Proponente: Centro Universitário Hermínio Ometto/ UNIARARAS

Patrocinador Principal: Financiamento Próprio

DADOS DO PARECER

Número do Parecer: 5.461.955

Apresentação do Projeto:

Este estudo terá como objetivo explorar os caminhos pelos quais o capital social individual e comunitário podem influenciar a cárie dentária

e a má oclusão e a qualidade de vida relacionada à saúde bucal (OHRQoL) em pré-escolares. Estudo observacional transversal será

realizado com crianças matriculadas em escolas públicas da zona leste da cidade de Araras (São Paulo, Brasil), de ambos os sexos e na fase de

dentadura decídua. A pesquisa incluirá a avaliação de dados não clínicos (aspectos socioeconômicos, OHRQoL e capital social) e dados (cárie

dentária e má oclusão). A OHRQoL será avaliada usando a versão brasileira da Early Childhood Oral Health Impact Scale (ECOHIS). O

capital social incluirá características individuais e contextuais. As variáveis clínicas de cárie dentária e má oclusão serão avaliadas pelos critérios

da Organização Mundial da Saúde (OMS).

Objetivo da Pesquisa:

Hipótese:

O estudo parte da hipótese que um alto capital social individual e comunitário impacta diretamente em menor prevalência de cárie dentária e

Endereço: Avenida Dr. Maximiliano Baruto, 500

Bairro: Jardim Universitário

CEP: 13.607-339

UF: SP

Município: ARARAS

Telefone: (19)3543-1423

Fax: (19)3543-1440

E-mail: comiteetica@uniararas.br

Anexo 5 - Relatório de similaridade emitido pelo software Turnitin.

Tese sem template e sem referencias

de Marcelo De Castro Meneghin

Data de envio: 25-jun-2024 06:31PM (UTC-0300)

Identificação do Envio: 2408634461

Nome do arquivo: Tese_-_Defesa_Patr_cia_Santos_vers_o_final_corrigida.docx (103.21K)

Contagem de palavras: 7837

Contagem de caracteres: 43499

Tese sem template e sem referencias

RELATÓRIO DE ORIGINALIDADE

14%	%	14%	%
ÍNDICE DE SEMELHANÇA	FONTES DA INTERNET	PUBLICAÇÕES	DOCUMENTOS DOS ALUNOS

FONTES PRIMÁRIAS

- | | | |
|---|--|------------------|
| <div style="background-color: red; color: white; padding: 2px 5px; display: inline-block; margin-bottom: 10px;">1</div> | <p>Karina Pintaui Amorim. "Avaliação clínica de próteses unitárias sobre implantes com doenças periimplantares relacionadas às complicações técnicas e fatores oclusais", Universidade de Sao Paulo, Agencia USP de Gestao da Informacao Academica (AGUIA), 2021</p> <p>Publicação</p> | <p>2%</p> |
| <div style="background-color: magenta; color: white; padding: 2px 5px; display: inline-block; margin-bottom: 10px;">2</div> | <p>Caio André da Silva EMÍDIO, Luiz Felipe Nogueira SANTOS, Diego Patrik Alves CARNEIRO, Patrícia Rafaela dos SANTOS et al. "Behavioral and clinical aspects associated with probable sleep bruxism in early childhood", Revista de Odontologia da UNESP, 2020</p> <p>Publicação</p> | <p>1%</p> |
| <div style="background-color: purple; color: white; padding: 2px 5px; display: inline-block; margin-bottom: 10px;">3</div> | <p>Melissa Picinato-Pirola, Amanda Lima e Lira, Giovanna Régis Viana, Thaynara Lemos Batista Santos, Camila de Castro Corrêa. "Hábitos de sono e autoavaliação miofuncional orofacial de crianças com risco</p> | <p>1%</p> |