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## RESEARCH ARTICLE

# Trans,trans-farnesol, an antimicrobial natural compound, improves glass ionomer cement properties

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## Abstract

A series of experiments were conducted to characterize a novel restorative material. We explored the effect on biological, physical and chemical properties of glass ionomer cement (GIC) adding the naturally occurring *tt*-farnesol (900 mM). Two groups were accomplished for all assays: GIC+*tt*-farnesol and GIC (control). Biological assays: 1) agar diffusion against some cariogenic bacteria; 2) *S. mutans* biofilm formation and confocal laser scanning microscopy-CLSM. 3) *gtfB*, *gtfC*, *gtfD*, *gbpB*, *vicR*, and *covR* expression; 4) MTT and microscopic morphology. Physical properties assays: 1) roughness; 2) hardness; 3) compressive strength and 4) diametral tensile strength. Chemical assay: Raman spectroscopy. The adding of *tt*-farnesol to GIC led to larger zones of inhibition ( $p < 0.05$ ), biofilms with a short-term reduction in bacterial viability but similar biomass ( $p > 0.05$ ). Polysaccharides levels increased over time, similarly over groups ( $p > 0.05$ ). Viable and non-viable *S. mutans* were seen on the specimens' surface by CLSM but their virulence was not modulated by *tt*-farnesol. The *tt*-farnesol increased the HaCaT cell viability without impact on compressive and diametral tensile strength and roughness although the hardness was positively affected ( $p < 0.05$ ). Raman confirmed the presence of *tt*-farnesol. The incorporation of *tt*-farnesol into GIC inhibited the growth of cariogenic bacteria but had a little effect on the composition, structure and physiology of the biofilm matrices. Also, the *tt*-farnesol increased the hardness and the biocompatibility of the GIC, not influencing negatively other physical properties of the restorative material.

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## Introduction

Glass ionomer cement (GIC) is one of the dental restorative materials options for replacing tooth tissue loss from caries lesion [1]. Clinically, the GIC exhibits acceptable mechanical, physical and biological properties as filling material, and also releases fluoride in oral cavity [1]. However, that fluoride release is efficient only for controlling the demineralization on the adjacent tooth surfaces, since their action on biofilm is still limited [2]. Improvement of antimicrobial properties without jeopardize the physical properties of the GIC's is important as dental treatment must correlate the cost and the functionality of the material, the range of use as well as the possibility of indicating the material for public health services and people lack access to dental care [3].

Nowadays, dental researches have been focused on the discovery of novel natural therapies with pharmacological and biological activities [4], including anti-cariogenic effect [5]. In this context, natural products and their derivatives are the most successful strategy for the development of therapeutic agents. They have been widely used in pharmaceutical industries in the search for new secure and effective medicinal approaches [4]. Therefore, the combining of natural compounds with existing formulations may enhance the antimicrobial action of the dental material, effectively preventing and controlling the caries lesion and biofilm formation on the material surface. Furthermore, it is important to highlight that the incorporation of natural compounds to fluoride highly increase the inhibition of cariogenic microorganisms but without suppressing of local microbiota [6].

Although a range of microorganisms are involved in carious process [7], *Streptococcus mutans* is still considered the main contributor to the initiation of the lesion, providing the matrix of biofilm [8]. Basically, *S. mutans* is involved in a dynamic process mediated by sucrose [8] that includes the instability of molecular interaction of the tooth surface and microbial biofilm, resulting in hard tissue loss [9]. Overall, biofilms are three-dimensional structures made of a combination of microorganisms adhered to each other, to a surface and embedded within an extracellular polymeric substance (EPS) [10]. It is known that the production of the EPS increases in the presence of sucrose and glucosyltransferase (GTF) activity, building a physical barrier to macrophages, phagocytes as well as antimicrobial substances [9]. Thus, if the biofilm had been disorganized, the mineral loss on tooth surface would stop and the carious lesion would be arrested [11]. Theoretically, the use of formulations containing potent inhibitors of GTFs may also inhibit the polysaccharide production in dental biofilms [12].

Trans,trans-farnesol (*tt*-farnesol, 3,7,11-trimethyl-2,6,10-dodecatrien-1-ol) is a bioactive sesquiterpene alcohol naturally occurring in pine (*Pinus*), chamomile (*Matricaria chamomilla* L., Asteraceae), arnica (*Arnica montana*, Asteraceae) artemisia (*Artemisia annua*, Asteraceae), a bee resin called propolis, and citrus fruits [13]. Their use is mostly exploited in cosmetics and non-cosmetic products [13]. The potential anti-caries efficacy of that terpenoid is related to the inhibition of acid production and glucan synthesis by *S. mutans* [14] since *tt*-farnesol may increase the proton permeability of streptococcal membranes, thus, promoting the decrease of GTFs secretion [15].

Given the relevance of caries control, the aim of this study was to determine how the characterization of a GIC containing a natural product affects several material properties, attempting to elucidate their antimicrobial effect, cytotoxicity and physical performance. When adding the natural occurring product to the GIC we hypothesized that: (1) *tt*-farnesol would increase the antimicrobial activity of the GIC; (2) *tt*-farnesol would modify the composition, structure and physiology of *S. mutans* biofilm; (3) the experimental GIC is biologically compatible with human keratinocytes and; (4) the physical and chemical properties of the GIC would not be negatively modified by *tt*-farnesol incorporation.

## Materials and methods

For this study, a conventional glass ionomer cement (GIC; Fuji IX GP, GC America, USA; Batch #1212051) was modified by adding 900 mM sesquiterpene *tt*-farnesol (Sigma–Aldrich, Steinheim, Germany) to the liquid of the GIC while keeping original powder/liquid ratio (3.6 g: 1.0 g). Then, the powder and the liquid containing *tt*-farnesol were hand-mixed for 30 s using a plastic spatula and non-absorbent paper according to the manufacturer instructions. All procedures were carried out in a biosafety cabinet (Telstar, Terrassa, Spain). The control group was GIC with no natural product incorporation [16–18].

## Specimen preparation

Standard round-shaped specimens (5 mm x 2 mm) containing or not *tt*-farnesol were prepared by using silicon molds, at a temperature of 23 °C ± 1 °C, and a relative humidity of 50% ± 5%. After the setting reactions were completed, the specimens were polished using Sof-Lex discs (3M ESPE, St Paul, MN, USA), washed through sonication for 10 min, 1 min soaked in 70% ethanol for disinfection, dried and exposed to the UV radiation for physical sterilization before antimicrobial, cytotoxicity and physical tests.

## Antimicrobial assays

**Agar diffusion test.** *Streptococcus mutans* UA159, *Lactobacillus acidophilus* (ATCC IAL523), *Lactobacillus casei* (ATCC 193) and *Actinomyces naeslundii* (ATCC 12104) were cultured from frozen stock on brain–heart infusion broth (BHI; DIFCO Laboratories, Detroit, MI, USA) for 24 h at 37 °C in 5% CO<sub>2</sub>. After confirming the viability and the absence of contamination by plating in specific media and Gram techniques, cultures were again grown in BHI for 18–24 h at 37 °C and adjusted to a concentration of 1 x 10<sup>8</sup> cells/mL. Petri dishes containing a base layer of BHI agar mixed with each inoculum were prepared. Following, wells measuring 5 mm in diameter were made in each plate and completely filled with the GIC + *tt*-farnesol (experimental group) or GIC (control). Then, the 0.2% chlorhexidine digluconate was applied on sterile filter paper discs as a control of the experiment. After the period of incubation (24 h, at 37 °C), inhibition zones around the materials were measured using a digital caliper [16,17]. Agar diffusion test was performed in triplicate on at least three independent experiments.

**Biofilm model and morphology analysis.** *S. mutans* biofilm model was based on previous methodology [19] with some modifications. Briefly, after being coated with sterile salivary pellicle, two samples of each experimental (GIC + *tt*-farnesol) and control group (GIC) were incubated with *S. mutans* UA 159 in BHI broth supplemented with 10% sucrose at 37 °C for 1, 3 and 5 days in a 5% supplemented CO<sub>2</sub> environment. The medium pH was measured daily. Then, biofilm bacterial viability (colony forming unities-CFU/mg of biofilm), biomass (dry weight-DW), and biochemical composition (insoluble-ASP and water-soluble-WSP extracellular polysaccharides) was analyzed by colorimetric methods. Biofilm model was performed in duplicate on at least four independent experiments.

The morphology of live and dead bacteria on biofilm surface was analyzed by confocal laser scanning microscopy (CLSM; Leica TCS SP1, Leica Lasertechnik GmbH, Heidelberg, Germany) using HCX APOL U-V-I 40X/0.8-numerical-aperture water immersion objective. Biofilms were stained with a live/dead BacLight bacterial viability kit (Molecular Probes, Invitrogen, Eugene, Oregon, USA) in accordance with the manufacturer. Afterwards, the samples were incubated at room temperature in the dark for 15 min and examined under a CLSM [19].

**Gene expression (RT-qPCR).** Based on previous study [20], sixty-six specimens of GIC containing *tt*-farnesol were made for the gene expression analysis. Following the biofilm

model described before, after 24 h of biofilm growth in BHI supplemented with 10% sucrose, the specimens were removed from culture plates and transferred to tubes with 2 ml of 0.9% NaCl. Tubes were then vortexed (2.800 rpm/10 s) in order to detach the cells. Following, the supernatant was transferred to 2 ml micro-tubes and were centrifuged (2 min, 4 °C,  $\approx$ 16000 g) for cell pellet precipitation. The saline solution was then discarded and cell pellet was immediately stored at -80 °C until RNA purification. Cell pellet (n = 6) was obtained from biofilms formed over three specimens per group.

Subsequently, the RNA total purification was performed using  $\approx$  0.16 g of 0.1 mm diameter zirconia beads (Biospec, Bartlesville, USA), combined with 220  $\mu$ L TE buffer on a Mini-bead beater apparatus (Biospec). RNeasy Mini Kit protocol (Qiagen, Hilden, Germany) was used for total RNA purification. The RNA was then converted to cDNA using iScript Reverse Transcriptase (Bio-Rad Laboratories, Hércules, USA). Reverse transcriptase reactions were prepared according to manufacture recommendation using 60 ng of RNA from the sample and were incubated at 25 °C for 5 min, 42 °C for 30 min and 85 °C for 5 min. Furthermore, an additional reaction was prepared in the absence of iScript to assess the absence of genomic DNA contamination of each sample. The converted cDNA was stored at -20 °C for further analysis of gene expression.

The RT-qPCR reactions were performed using specific primers for the following genes: *gtfB*, *gtfC*, *gtfD*, *gbpB*, *vicR*, and *covR* [21] in a StepOne Real-Time PCR Systems (Applied Biosystems, UK). From each sample, 1  $\mu$ L of cDNA was placed in a 48-plate well with 9  $\mu$ L of a solution containing 3.4  $\mu$ L water free RNase and DNase, 0.6  $\mu$ L of primer and 5  $\mu$ L of SYBR Green PCR Master Mix (Applied Biosystems). Standard curves (300, 30, 3, 0.3, and 0.03) were performed for each primer pair assay. All analyzed genes were normalized and their expression was calculated using the 16s RNA as the reference gene.

**Cell incubation and cytotoxicity of the materials.** HaCaT (Human adult low Calcium high Temperature Keratinocytes) cells were routinely cultured in Dulbecco's Modified Eagle's Medium (DMEM; Sigma Chemical Co., St. Louis, MO, USA) supplemented with 10% fetal bovine serum (FBS; Gibco, Grand Island, NY, USA), with 100 IU/mL penicillin and 100 mg/mL streptomycin (Vitrocell Embriolife, Campinas, SP, Brazil) in humidified atmosphere containing 5% CO<sub>2</sub>. Cells were seeded at a cell density of  $5 \times 10^4$  cells/well and cell viability and proliferation were evaluated by MTT test.

For the MTT test, the cells were seeded on 24-well plate in DMEM with 10% FBS before exposure to specimens (experimental and control groups). Twenty-four hours later, 0.3 mg/mL MTT was added in each well, and cells were incubated for 3 h at 37 °C. Absorbance was measured with a micro spectrophotometer (ASYS UVM340, Biochrom Ltd., Cambridge, UK) at 570 nm. The MTT assay was performed in duplicate on three independent experiments [22].

Images of the live and dead cells (Live/Dead, Molecular Probes Life Technologies, USA) were carried out by means of a fluorescence microscope (Zeiss Axiovert 40 CFL, Germany) coupled to an ECM AxioCam camera (Carl Zeiss, Germany). Green labeled cells (live) were visualized using a filter in a wavelength range of 450–490 nm (Ex)/ 515–565 nm (Em). Red labeled cells (dead) were visualized in 528–546 nm (Ex) / 590–617 nm (Em) wavelength range. For each experimental condition, three images were taken at random areas of the slide in triplicate.

**Physical properties.** Specimens (n = 10/group/test) were subjected to four different physical assays. The roughness (Ra- $\mu$ m) of the specimen's surface was quantified using a roughness-measuring instrument (Surfcorder SE1700, Kosaka Corp, Tokyo, Japan). A needle moved at a constant speed of 0.5 mm/sec with a load of 0.7 mN and a cut-off value at 0.25 mm. Hardness tests were carried out with a hardness tester (Shimatzu 2000, Tokyo, Japan), with a 25-g load applied by the a Knoop indenter for 15 s. Three readings (KHN) were taken on the top surface of the material at 100  $\mu$ m distance from each other.

Instron universal test machine (4411, Instron Co., Canton, Mass, USA) was used for assessment of compressive strength and diametral tensile strength tests. Specimens were submitted to testing machine and the parameters for measurement of the assays were set at a crosshead speed of 1.0 mm/min for the compressive strength and at 0.5 mm/min crosshead speed for the diametral tensile strength. To convert the data recorded in kgf/cm<sup>2</sup> into MPa, values of compressive strength were calculated by dividing the load of failure over the cross-sectional area, while diametral tensile strength was calculated using the equation:  $(2 \times \text{Load}) / (3.14 \times \text{Diameter} \times \text{Thickness})$  [17,18, 22].

**Raman spectroscopy.** For confirmation of the *tt*-farnesol incorporation, the spectra of the specimens were conducted using a Renishaw micro-Raman system model inVia equipped with Leica microscope which allows the acquisition of a spectrum in a point with spatial resolution of about 1  $\mu\text{m}^2$  using a 50 x objective lens and CCD detector with a collection time of 10 s. The laser line at 514.5 nm was used with 1800 lines/mm grating resulting in a spectral resolution of about 4  $\text{cm}^{-1}$  [23].

**Statistical analysis.** The effect of GIC containing *tt*-farnesol on mean measures of the *S. mutans* biofilm viability and weight, and also measures of ASP and WSP were compared between groups (n = 4 per group) after 1, 3 and 5 days of formation and for MTT using a 2-way mixed model ANOVA. Homogeneity of variances was confirmed prior to statistical analysis (Levene's test). Group differences on the agar diffusion test, physical properties and gene expression were evaluated with 1-way-ANOVA. When appropriate, statistical relations were observed using Shapiro-Wilk, Tukey and Tukey-Kramer post-hoc analyses. The level of significance was set at 5% in all tests (SAS Institute Inc. The SAS System, release 9.4, SAS Institute Inc., Cary:NC, 2012)(S1–S13 Texts).

## Results

### Antimicrobial assays

**Agar diffusion test.** The mean values and standard deviation of the inhibition zones for experimental and control groups for each microbe are shown in Table 1. GIC showed no zone of inhibition for the growth of *L. acidophilus*, *L. casei* and *A. naeslundii*. The *tt*-farnesol group exhibited the larger zones of inhibition than GIC or chlorhexidine, indicating antimicrobial activity against *S. mutans*, *L. acidophilus*, *L. casei* and *A. naeslundii* ( $p < 0.05$ ).

### Biofilm model and morphology analysis

**Biofilm bacterial viability (CFU/mg of biofilm).** The viability of the *S. mutans* was assessed by determination of CFU/mg of biofilm. Fig 1 demonstrates the mean bacterial counts after biofilm assays over time and between treatment groups. A slight increase of CFU was noted in control group over time but means hovered in a similar range of  $10^9$  ( $p > 0.05$ ). By contrast, the experimental group started at  $10^9$ , decreased to  $10^8$  after 3 days ( $p < 0.05$ ) and then returned to baseline at day 5, possibly indicating a short-term antibacterial effect on GIC containing *tt*-farnesol, even though there is no statistical difference.

**Biomass (dry weight).** The dry weight of the *S. mutans* biofilm for each group and time point is shown Fig 2. Mean dry weight varied between 1 and 2 mg throughout and analysis failed to show differences as a function of treatment or time.

**Biochemical composition (ASP/WSP).** The biochemical composition of the *S. mutans* biofilm for each group and time point is shown in Figs 3 and 4. Mean ASP and WSP concentrations increased after 3 and 5 days for both groups ( $p < 0.05$ ). There were no statistical differences between the experimental and control group at any time point ( $p > 0.05$ ).

**Table 1. Antibacterial activity of GIC containing or not *tt*-farnesol on cariogenic bacteria (mean ± standard deviation).**

| Groups                                  | Microorganism/ Inhibition zone (inch) |                                   |                          |                                 |
|---|---------------------------------------|-----------------------------------|--------------------------|---------------------------------|
|   | <i>S. mutans</i> UA 159               | <i>L. acidophilus</i> ATCC IAL523 | <i>L. casei</i> ATCC 193 | <i>A. naeslundii</i> ATCC 12104 |
| 0.2% Chlorhexidine Digluconate (3.8 mM) | 4.3±0.1 <sup>a*</sup>                 | 4.2±0.8 <sup>a</sup>              | 2.0±0.5 <sup>a</sup>     | 3.7±0.0 <sup>a</sup>            |
| GIC+ <i>tt</i> -farnesol (900 mM)       | 14.8±1.5 <sup>b</sup>                 | 8.14±1.0 <sup>b</sup>             | 1.03±0.1 <sup>b</sup>    | 3.4±0.2 <sup>b</sup>            |
| GIC                                     | 0.3±0.0 <sup>c</sup>                  | 0 <sup>c</sup>                    | 0 <sup>c</sup>           | 0 <sup>c</sup>                  |

\* For each microorganism, different lower-case letters indicate mean differences between treatments (p < 0.05).

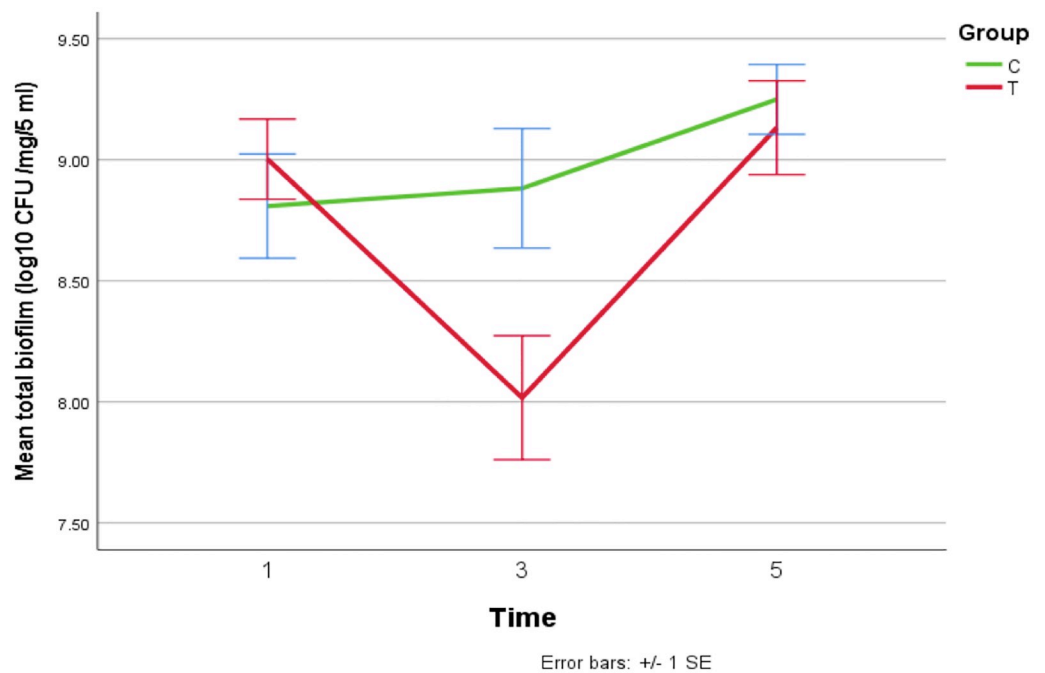
<https://doi.org/10.1371/journal.pone.0220718.t001>

**Biofilm morphology analysis.** CLSM images showed *S. mutans* biofilm on the GIC specimen surface with viable and non-viable colonies (green and red, respectively) and non-stained (black) bubble-like structures within the biofilm architecture (Fig 5, S1–S4 Figs). Non-viable bacteria (red colonies) were seen on the lower regions of the biofilm (specimen surface) after treatments, although in lower number and without a gradual increase of them over the days. Both experimental and control groups demonstrated predominant viable bacteria at day 5, evidenced by almost all colonies remained green, indicating that *S. mutans* were viable during the period evaluated.

**Gene expression (RT-qPCR).** Gene analysis revealed low variation on their expression after 24 h of biofilm formation without significant difference between treatments (p<0.05), showing that the *tt*-farnesol did not modulate the *S. mutans* virulence (Fig 6).

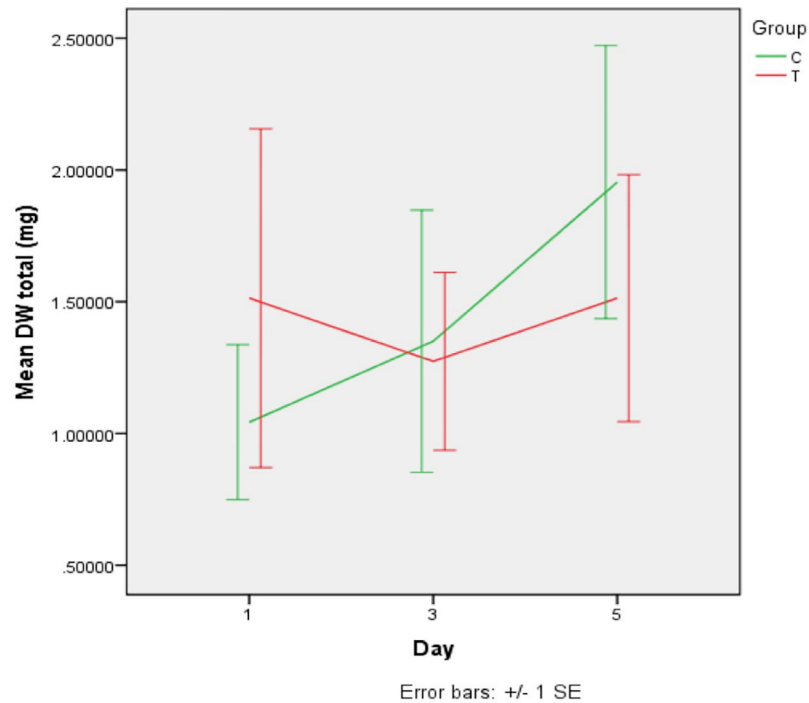
### MTT test

Considering the negative control as 100% of cell viability, the percentage of cell viability observed for experimental and control groups was 90% (±7.3) and 61% (±10.4), respectively.



**Fig 1. Effect of GIC containing *tt*-farnesol on viability of biofilm of *S. mutans* expressed as average values for log10 of the numbers of CFU/milliliter versus day of treatment. T-GIC + *tt*-farnesol; C- GIC (control).**

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**Fig 2.** Means ( $\pm$ SD) of dry weight in *S. mutans* biofilms according to treatments after 1, 3 and 5 days of formation. T-GIC + *tt*-farnesol; C- GIC (control).

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After 24 h of treatments, only GIC reduced considerably the cell viability of HaCat cells ( $p < 0.05$ ).

Morphological characteristics of normal keratinocytes were observed for both groups, in which the intense green tone predominates, displaying cell viability after treatments. GIC showed more red fluorescence than GIC + *tt*-farnesol, evidencing that the control group was more cytotoxic than the experimental group (Fig 7).

### Physical properties

The means and standard deviations of the values obtained for physical testing are shown in Table 2. GIC samples containing *tt*-farnesol were harder than controls, but no differences were observed between the groups for compressive strength, diametral tensile strength or roughness ( $p > 0.05$ ).

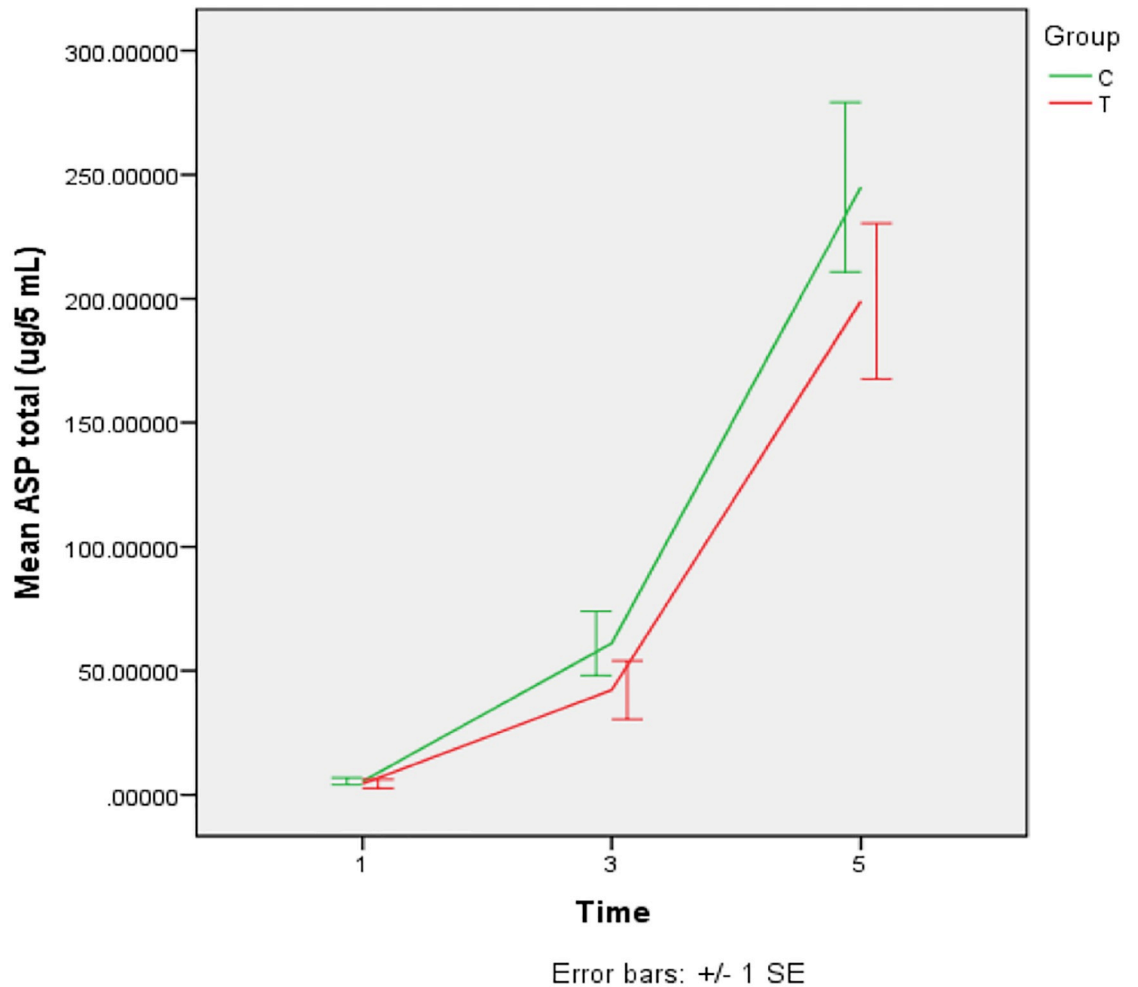
### Raman spectroscopy

The Raman spectra of *tt*-farnesol in GIC and GIC control are shown in Fig 8. Fluorescence in the spectrum of the *tt*-farnesol in the GIC sample is observed in the region of 150 to 1000  $\text{cm}^{-1}$ . In the mentioned region of this sample it is still possible to identify some bands besides evidencing the appearance of a peak in 1673  $\text{cm}^{-1}$  all this indicating a change in the chemical environment of the GIC when the compound is present (Fig 8).

### Discussion

Considering that dental caries is still one of the most frequent worldwide conditions [24], it is reasonable to improve the existing therapies for the treatment and prevention of cavity lesions.





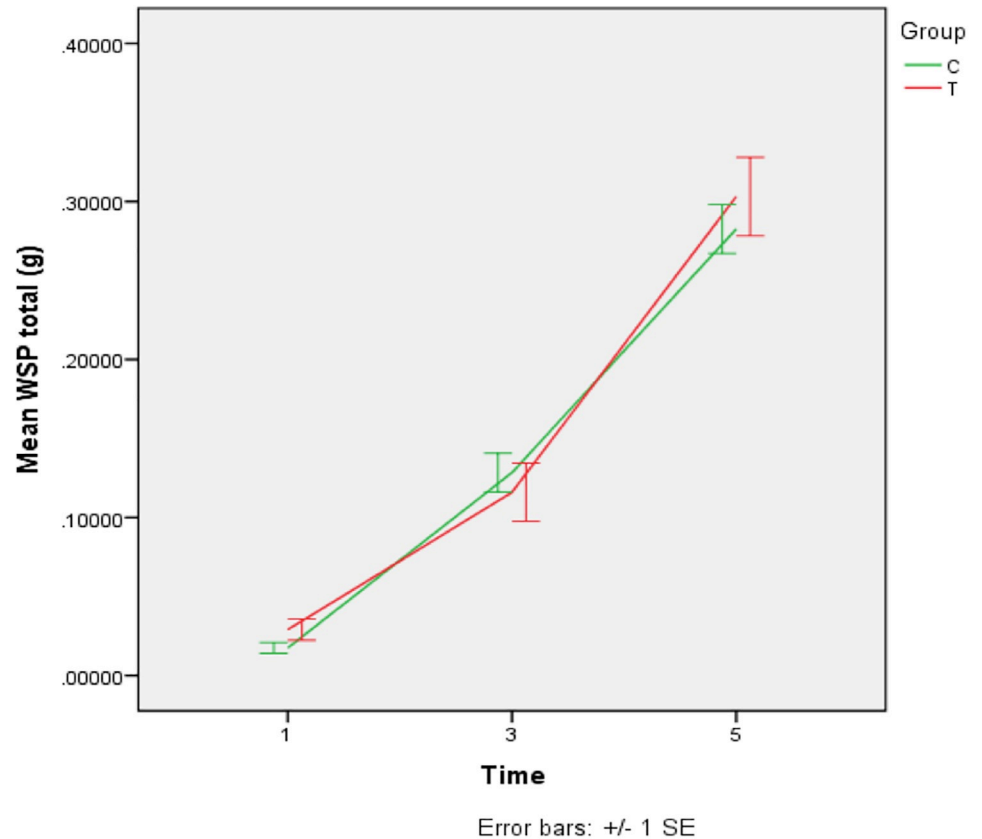
**Fig 3.** Means ( $\pm$ SD) of alkali soluble polysacchrides (ASP) concentration ( $\mu$ g/mg dry weight) in *S. mutans* biofilms according to treatments after 1, 3 and 5 days of formation. T-GIC + *tt*-farnesol; C- GIC (control).

<https://doi.org/10.1371/journal.pone.0220718.g003>

The selection of a dental material involves their physical, mechanical and biological properties as well as their clinical application. As GIC is the material of first choice for high caries activity patients [25], the improvement of their antimicrobial property is supported by the great potential for clinical indication besides other advantageous properties performance [1]. Likewise, since natural products have been in vogue due to their pharmacological and biological activities [4], the idea of incorporating a natural compound to GIC was backed up by the possibility to characterize a safe and also more effective restorative material.

Developing a novel dental material has long been a challenge due to countless assays required prior clinical use [5]. As *S. mutans* has a key role in the process of dental caries [8], several studies have focused the attention on that pathogen, exploring initial antimicrobial assays.

One of the hypotheses preceding the beginning of this study was that *S. mutans* biofilm formation would be disturbed by the presence of natural compound added to the restorative material. The *tt*-farnesol is known as an effective natural antimicrobial against planktonic and biofilm-associated *S. mutans* cells [26]. Our study also demonstrated that the incorporation of *tt*-farnesol to the GIC had the best antibacterial activity against free-floating planktonic

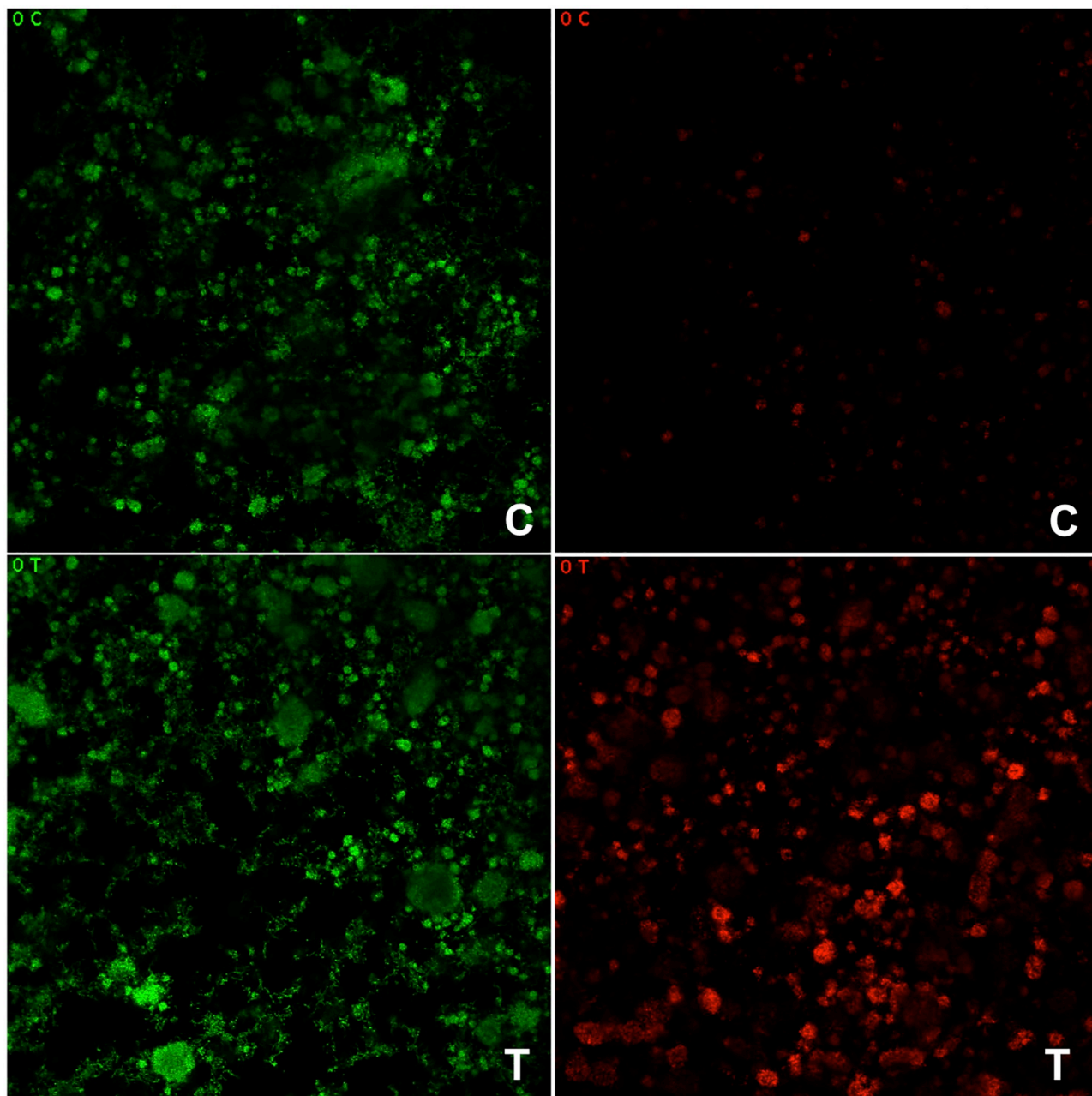


**Fig 4. Means ( $\pm$ SD) of water soluble polysaccharides (WSP) concentration (g) in *S. mutans* biofilms according to treatments after 1, 3 and 5 days of formation. T-GIC + *tt*-farnesol; C- GIC (control).**

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bacteria (*S. mutans*, *L. casei*, *L. acidophilus* and *A. naeslundii*). Thus, the first hypothesis of this study was accepted. However, we found here that there were important differences in the antimicrobial response of planktonic and biofilm cells. Herein, the viability of the biofilms was affected only 3 days after treatment although the reduction of bacteria was irrelevant in the end of a week. This suggests that the antimicrobial activity of GIC containing *tt*-farnesol is effective on planktonic cells after 24 h and it also has progressive increasing up to 3 days of treatment. That is an interesting observation as the experimental material could establish an anticariogenic environment around restorations in early stages of caries formation and thus, would somehow disturb the microorganism adhesion to the surface and also avoid the development of new carious lesions on the tooth-restoration interface [2].

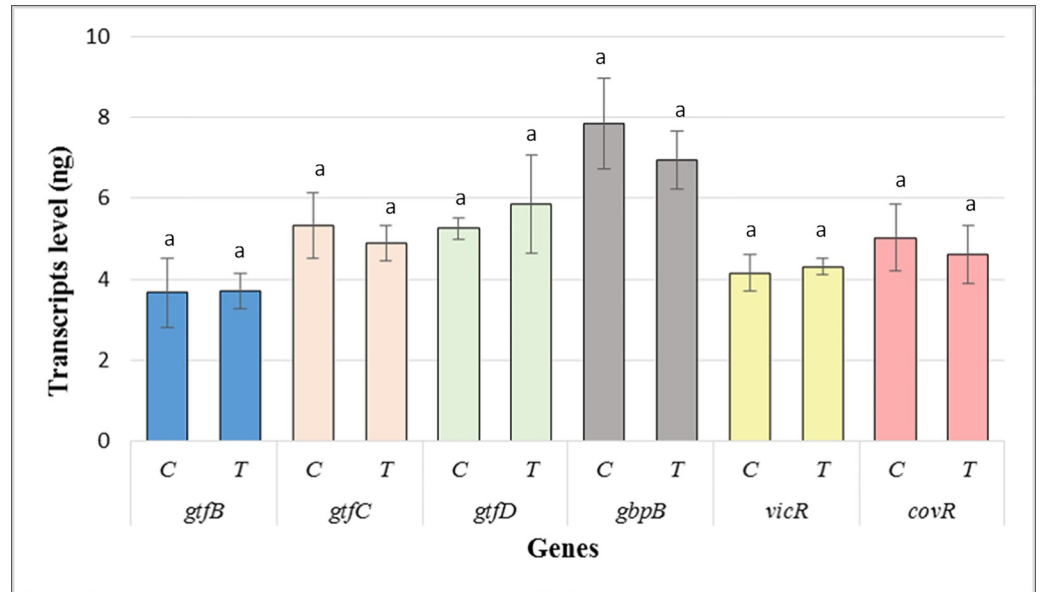
Despite the progressive increasing of the antimicrobial activity over the days, probably the *tt*-farnesol activity was restricted to the GIC surface, without substantial impact on mature biofilm. It is known that bacterial biofilms are complex communities of microorganisms embedded within an extra-cellular matrix [27]. Regarding the complexity of the biofilm, in this study, one of the possible reasons for the lack of activity of the treatment on mature biofilm could be the bacterial virulence. Since *S. mutans* is an acidogenic and aciduric species [28] the low-pH environment arouses the ability to form biofilm [27]. Therefore, it is clear that the pathogenesis of dental caries is dependable of the production of organic acids on dental biofilm, mainly lactic acid, by bacteria with acidogenic and aciduric potentials like the *S. mutans* [8]. As the medium pH in this study was 4.5, the therapy for oral biofilm control was useless.



**Fig 5. Representative images of *S. mutans* biofilms viability after treatment with GIC containing (T) or not (C) *tt*-farnesol visualized by confocal laser scanning microscopy (CLSM).** Viable bacteria are stained green and non-viable bacteria are stained red color. It can be noted that both treatment and control slightly affected the biofilm formation but T was more effective on viability of bacteria.

<https://doi.org/10.1371/journal.pone.0220718.g005>

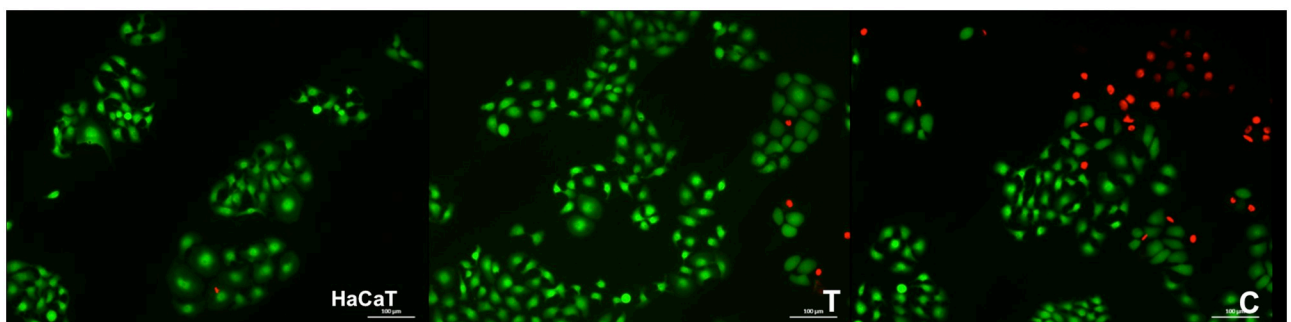
Another evidence of the existing but surface-limited antimicrobial effect of the experimental material is shown by cell morphology. As expected, CSLM reveal some non-viable cells after 5 days of treatment. Those cells probably were sub-populations of bacteria on the surface of material that were directly exposed to the treatment. Interestingly, similar results were found by Hu et al. [29] that evaluated the association of the same conventional GIC with the flavonoid epigallocatechin-3-gallate (EGCG). Those authors observed a significantly decreased of planktonic cells after 24 h of treatment, without inhibition zones for GIC [29].



**Fig 6.** Means ( $\pm$ SD) of the gene expression values in transcript levels (ng) after 24 h of biofilm formation. T-GIC + *tt*-farnesol; C- GIC (control).

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Based on cellular findings and considering that the virulence of *S. mutans* depends on the environmental conditions of the biofilm [27], we expanded the current study for the molecular level. In particular, as the incorporation of *tt*-farnesol into GIC affected only planktonic cells but not mature biofilm or the *S. mutans* expression profile we strongly believe that the compound was inactivated after the setting time of material. Setting of GIC occurs in two steps, including the initial setting that takes about 10 min and the second step, which is slow and continues up to 24 h. This process could perhaps be responsible for the antimicrobial effect of material in the first hours as well as the absence of inhibition after 24 h of treatment [1]. The anti-carries activity and the capacity to modulate the *S. mutans* virulence of that terpene were already broadly disclosed [14, 15, 30] as their antimicrobial spectra after incorporation into other dental material [31]. However, it seems that the association of *tt*-farnesol with other compounds [14] or materials as GIC promote a synergic effect on their biological properties; thus, a little or absent inhibitory activity is shown on the composition, structure and physiology of the biofilm matrices, rejecting then, our second hypothesis. Undoubtedly, in this biofilm



**Fig 7.** Illustrative images of HaCaT cells exposed for 24 h to GIC containing (A) or not (B) *tt*-farnesol analyzed by using Live/Dead assay. Green cells correspond to live cells whereas dead cells are stained in red.

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**Table 2. Roughness, hardness, compressive and diametral tensile strength of GIC containing or not *tt*-farnesol (mean  $\pm$  standard deviation).**

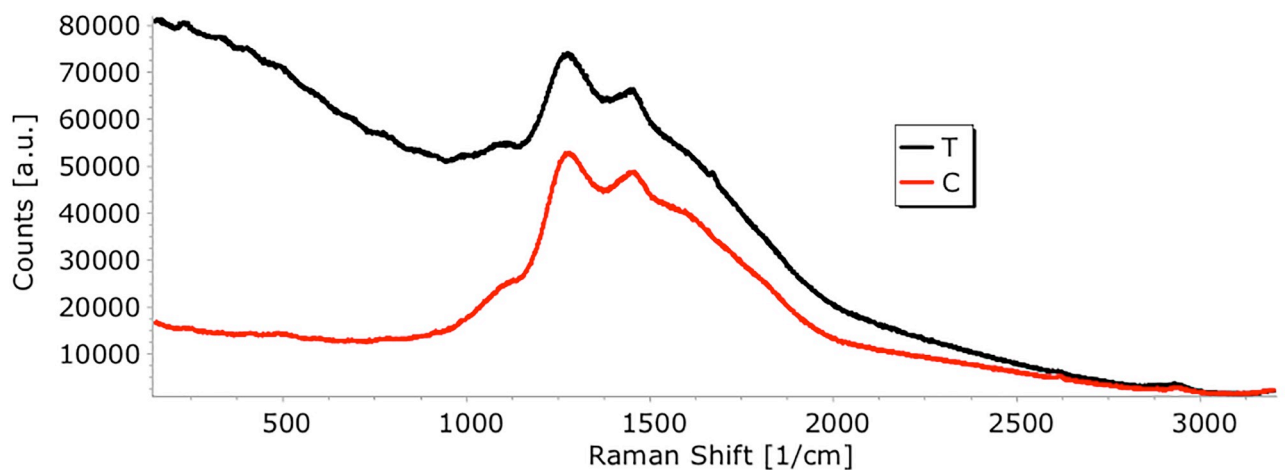
| Groups                    | Mechanical properties*      |                             |                             |                                  |
|---------------------------|-----------------------------|-----------------------------|-----------------------------|----------------------------------|
|                           | Roughness ( $\mu\text{M}$ ) | Hardness (KHN)              | Compressive Strength (MPa)  | Diametral Tensile Strength (MPa) |
| CIV (control)             | 0.7 $\pm$ 0.1 <sup>a</sup>  | 44.2 $\pm$ 8.0 <sup>a</sup> | 24.0 $\pm$ 8.9 <sup>a</sup> | 18.0 $\pm$ 5.5 <sup>a</sup>      |
| CIV + <i>tt</i> -farnesol | 0.8 $\pm$ 0.1 <sup>a</sup>  | 72.4 $\pm$ 6.5 <sup>b</sup> | 28.5 $\pm$ 8.3 <sup>a</sup> | 14.0 $\pm$ 3.4 <sup>a</sup>      |

\*For each assay, different lower-case letters indicate mean differences ( $p < 0.05$ ).

<https://doi.org/10.1371/journal.pone.0220718.t002>

model, the use of natural compounds associated with a dental material resulted in no apparent reduction in total biomass and absence of variation of the biochemical composition of the biofilm as well as a lack of modulation on *S. mutans* gene expression. Till date, some studies have been undertaken to assess the biological and physical properties of antimicrobial-containing GIC. However, no other study had been performed in order to evaluate the anti-caries potential of the incorporation of natural products to that GIC. Due to the lack of literature background, we cannot compare our results with other studies.

The idea of incorporating a natural product into GIC focused on the improvement of their antimicrobial activity. Furthermore, the incorporation of a bioactive can affect the physical properties of the GIC, herein we demonstrated that is possible to modify their composition without jeopardize important physical properties. Whereas the compressive strength is a basic material property that reproduces the resistance of the material to masticatory force, the diametral tensile strength intend to simulate the resistance to axial forces in opposite directions [2]. Likewise, the surface characteristics are also a determinant factor for clinical recommendation of a dental material as they can influence on polishing, scratching as well as the resistance to load application [2]. While the Knoop hardness is a sensitive surface parameter that assesses the resistance to plastic deformation [2], the roughness of the material influences straight on the bacterial adhesion [32]. Herein all physical properties of GICs but hardness, remained unchanged after the incorporating of the *tt*-farnesol. Those are interesting findings that could indicate the success of the GIC containing *tt*-farnesol in oral environment if we consider their toughness to forces, tension, deformation, wear as well as the control of early adhesion of bacteria on surface. Taking all together, those findings suggest no significant modifications in the powder/liquid ratios by adding a bioactive.



**Fig 8. Raman spectra of *tt*-farnesol in the 150 to 1000  $\text{cm}^{-1}$  range. T-GIC + *tt*-farnesol; C- GIC (control).**

<https://doi.org/10.1371/journal.pone.0220718.g008>

Corroborating with the physical findings, the Raman spectral features characterized the chemical change of GIC after the incorporation of the bioactive. Raman spectroscopy is a well-established simple and nondestructive analysis, which gives details about the chemical and structural composition of the material [23]. However, it seems that the association between *tt*-farnesol and some GIC component might affect totally or partially the chemical bonds as no greater peak was observed in the Raman spectral. Although the exact mechanism of that bioactive compound interaction needs further explanation to support whether occurs their decomposition or inactivation, the results herein reinforce the premise that the chemical variation occurred but it was not enough to modify all physical properties or biofilm structure.

As the importance of physical and chemical properties, the understanding of the biological safety of an experimental material cannot be neglect during a characterization. Surprisingly, MTT assay showed that the most biocompatible material was the GIC containing *tt*-farnesol, with a little effect on cell metabolism, evidencing a protective effect on keratinocytes. This finding was unexpected and shows that the incorporation of a bioactive into GIC might decrease their toxicity. These results are in agreement with previous studies, which observed that the incorporation of different antimicrobial substances could be safe and useful in Dentistry [16,17,22] and were confirmed by Live/Dead assay as well, which demonstrated a high number of viable cells after 24h of treatments. Therefore, the third and fourth hypotheses of the current study were accepted.

The main findings of the current study were the upgrading of antimicrobial activity of GIC, keeping their basic properties. While the inhibitory effect was shown by contact not affecting the biofilm matrix and physiology, the experimental GIC could still be clinically acceptable considering the material weaknesses, since their hardness was increased by the incorporation of the bioactive, as well as their greater biocompatibility to human cells.

Even though the incorporation of *tt*-farnesol didn't interfere negatively either with the physical properties or the toxicity, further studies need to be done with higher doses or different mechanisms of incorporation to improve the material antibiofilm activity.

## Supporting information

### **S1 Fig. Biofilm (dead cells) after treatment with GIC.**

(AVI)

### **S2 Fig. Biofilm (live cells) after treatment with GIC.**

(AVI)

### **S3 Fig. Biofilm (dead cells) after treatment with GIC + *tt*-farnesol.**

(AVI)

### **S4 Fig. Biofilm (live cells) after treatment with GIC + *tt*-farnesol.**

(AVI)

### **S1 Text. Statistical analysis (agar diffusion test).**

(PDF)

### **S2 Text. Statistical analysis (*covR* gene).**

(PDF)

### **S3 Text. Statistical analysis (*gtfB* gene).**

(PDF)

### **S4 Text. Statistical analysis (*gtfC* gene).**

(PDF)

- S5 Text. Statistical analysis (*gtfD* gene).**  
(PDF)
- S6 Text. Statistical analysis (*gfpD* gene).**  
(PDF)
- S7 Text. Statistical analysis (*vicR* gene).**  
(PDF)
- S8 Text. Statistical analysis (MTT assay).**  
(PDF)
- S9 Text. Statistical analysis (Physical properties).**  
(PDF)
- S10 Text. Statistical analysis (ASP analysis).**  
(PDF)
- S11 Text. Statistical analysis (CFU analysis).**  
(PDF)
- S12 Text. Statistical analysis (DW analysis).**  
(PDF)
- S13 Text. Statistical analysis (WSP analysis).**  
(PDF)

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