Karlla Almeida Vieira

# "Efeitos do Laser de Dióxido de Carbono (CO<sub>2</sub>) no esmalte dentário"

Tese apresentada à Faculdade de Odontologia de Piracicaba, da Universidade Estadual de Campinas, para obtenção do Título de Doutor em Odontologia. Área de Odontopediatria.

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"O valor das coisas não está no tempo em que elas duram, mas na intensidade com que acontecem. Por isso existem momentos inesquecíveis, coisas inexplicáveis e pessoas incomparáveis."

(Autor desconhecido)

#### RESUMO

A irradiação do esmalte dentário com o laser de Dióxido de Carbono (CO<sub>2</sub>) modifica a superfície deste substrato e traz benefícios no que diz respeito a um aumento na resistência aos ácidos quando ocorre um desafio cariogênico. Sendo assim, esta tese constituída por 2 artigos, teve os seguintes objetivos: (1) revisar sistematicamente o efeito da irradiação do esmalte (mudanças morfológicas e de composição) pelo laser de CO<sub>2</sub> com comprimento de onda 10,6 µm e sua resistência a desmineralização; (2) investigar, in vitro, o efeito de quatro aplicações sobrepostas do laser de CO<sub>2</sub> (10,6 µm) na redução da desmineralização do esmalte dentário humano. Para o estudo 1, foi realizada uma busca na literatura científica, em base de dados universais no que concerne ao tema. No estudo 2, uma a quatro aplicações sobrepostas do laser de CO<sub>2</sub> (10,6 μm) com 10 J/cm<sup>2</sup> foram realizadas no esmalte dentário e os efeitos dessas aplicações foram avaliados pelas análises de Espectroscopia FT-Raman, Espectrometria de Fluorescência de Raio-X de Energia Dispersiva, Microdureza e Microscopia Eletrônica de Varredura. No estudo 2, a perda mineral do esmalte foi determinada pelo teste de microdureza. Os resultados foram analisados estatisticamente pelos testes ANOVA e Tukey (p<0,05). A análise da literatura apresentada no artigo 1 mostrou que existe uma moderada evidência entre as modificações estruturais e químicas no esmalte, produzidas pela irradiação com o laser de CO<sub>2</sub> (10,6 μm), e sua resistência à dissolução ácida quando exposto a um alto desafio cariogênico. O estudo 2 mostrou que uma aplicação do laser de CO<sub>2</sub> (10,6 µm) foi suficiente para produzir modificações na superfície do esmalte e que aplicações promoveram um aumento à resistência 3 do esmalte à desmineralização. Sendo assim, a irradiação do esmalte com o laser de CO<sub>2</sub> (10,6 µm) modifica a superfície do esmalte tornando este substrato mais resistente à desmineralização frente a um desafio cariogênico.

Palavras-chave: Desmineralização; Análise espectral, Raman; Testes de dureza; Microscopia Eletrônica de Varredura; Lasers.

#### ABSTRACT

The irradiation of dental enamel with Carbon Dioxide (CO<sub>2</sub>) laser modifies the surface of this substrate and increases its acid-resistance under a cariogenic challenge. Thus, this thesis comprises 2 manuscripts with the following purposes: (1) to review the existing literature about the effect of a  $CO_2$  laser (10.6  $\mu$ m) irradiation on enamel (structural and compositional changes) and its resistance to demineralization; (2) to investigate, *in vitro*, the effect of four CO<sub>2</sub> laser (10.6  $\mu$ m) applications on the reduction of human dental enamel demineralization. In study 1, the scientific literature related to the theme was searched using universal databases. In study 2, one to four CO<sub>2</sub> laser (10.6  $\mu$ m) applications (10 J/cm<sup>2</sup>) were performed on dental enamel and the results of the effects of these applications were evaluated by FT-Raman spectroscopy, Energy-dispersive X-ray fluorescence spectroscopy, Microhardness analysis and Scanning electron microscopy. In study 2, the enamel mineral loss was determined by microhardness test. Results were analyzed by ANOVA and Tukey's test (p<0.05). The literature review showed moderate evidence between the structural and chemical modifications, occurring on the enamel surface induced by with CO<sub>2</sub> laser (10.6  $\mu$ m) irradiation, and its reduction to demineralization when exposed to a high cariogenic challenge. The study 2, showed that one  $CO_2$  laser (10.6  $\mu$ m) application was able to modify the enamel surface and that three irradiations did enhance the enamel resistance to demineralization. Therefore, irradiation of enamel with CO<sub>2</sub> laser (10.6  $\mu$ m) was able to promote modifications on its surface, which leads this a substrate more resistant to the demineralization process when a cariogenic challenge occurs.

Key words: Desmineralization; Spectrum analysis, Raman; Hardness tests; Microscopy, Electron, Scanning; Lasers.

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## INTRODUÇÃO

O uso da irradiação a laser em Odontologia tem sido estudado por mais de 40 anos. A primeira referência na literatura de irradiação dos tecidos dentários foi feita por Stern e Sognnaes em 1964. Dentre os diferentes tipos de lasers utilizados em Odontologia, os lasers de CO<sub>2</sub> tem sido um dos mais estudados por causa de sua absorção pelos tecidos dentários duros (Fried *et al.*, 1996; 1997). O emprego eficiente dos lasers com o objetivo de prevenir ou reduzir a desmineralização do esmalte dentário, requer o conhecimento dos processos físicos que levam a absorção da energia depositada pela irradiação com laser. O nível de interação que ocorre entre o tecido dentário e o laser é dependente das propriedades estruturais do tecido irradiado, bem como do comprimento de onda, densidade de energia, duração de pulso e taxa de repetição do laser (Gimbel, 2000).

Em relação as propriedades do tecido dentário, o esmalte possui matriz mineral de 97% sendo os outros 3% formados por água e matriz orgânica. Esta matriz mineral (hidroxiapatita) é formada por uma rede cristalina hexagonal e diferentes íons(Na<sup>+</sup>, K<sup>+</sup>, MG<sup>2+</sup>) podem substituir o cálcio e outros radicais, íons ou moléculas (CO<sub>2</sub><sup>-3</sup>, F<sup>-</sup>, HPO<sub>2</sub><sup>-4</sup>, Cl<sup>-</sup>, H<sub>2</sub>O) que substituem o fosfato e a hidroxila (Fried *et al.*, 2001). A hidroxiapatita, é geralmente chamada de hidroxiapatita carbonatada porque o carbonato é o seu maior substituinte, em torno de 3,5%. A quantidade e natureza desses constituintes dependem do processo de mineralização dos tecidos. A ação térmica, irradiação a laser, altera as características cristalográficas da matriz mineral do esmalte, tais como os parâmetros da rede cristalina e o tamanho dos cristais. Há ainda a formação de novos compostos cristalinos (fosfato tricálcio na fase beta, fosfato tricálcio na fase alfa, fosfato tetracálcio) decorrente da ação térmica sobre o tecido dentário (Bachmann e Zezell, 2005).

Com o laser de CO<sub>2</sub>, a maior parte da luz é absorvida nos poucos micrometros externos da superfície do esmalte e convertida em calor, causando

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perda de carbonato do mineral, fusão/derretimento dos cristais de hidroxiapatita, tendo como consequência diminuição na dissolução desta estrutura aos ácidos (Featherstone e Nelson, 1987). O coeficiente de absorção do laser pelo tecido dentário deve ser alto o suficiente para modificar a superfície sem danificar o tecido circunjacente e o tecido pulpar.

Os comprimentos de onda obtidos com os lasers de  $CO_2$  ( $\lambda$ = 9,3, 9,6, 10,3 e 10,6 µm) são mais apropriados para a utilização em esmalte dentário, pois produzem radiação na região do infravermelho que coincide com algumas bandas de absorção da hidroxiapatita, principalmente os grupamentos fosfato e carbonato (Featherstone *et al.*, 1998, Kantorowitz *et al.*, 1998).

Segundo Featherstone (2000), os comprimentos de onda mais indicados para uso na prevenção de cárie dentária são 9,3 µm e 9,6 µm com duração de pulso de 100 µs ou menos. No entanto, até o momento, não existem aparelhos de laser comercialmente disponíveis que possam produzir tais condições, de modo que as pesquisas realizadas com estes parâmetros utilizaram protótipos. Consequentemente, em busca de simplificação, e aproveitamento da tecnologia já existente, muitas pesquisas tem empregado o comprimento de onda 10,6 µm (Kantorowitz *et al.*, 1998; Hsu *et al.*, 2000; Klein *et al.*, 2005; Steiner-Oliveira *et al.*, 2006; Tagliaferro *et al.*, 2007).

Para aplicações em Odontologia, os aparelhos de laser de  $CO_2$  operam em um modo de não contato (Rodrigues *et al.*, 2004) podendo ser contínuo ou pulsado (Coluzzi, 2004).

O laser de CO<sub>2</sub> pode ser utilizado visando-se dois aspectos no controle da cárie dentária: o preventivo e o curativo. No aspecto preventivo, tem-se a utilização da irradiação deste laser no diagnóstico de cáries, no selamento de cicatrículas e fissuras e na maior penetração do flúor nos tecidos dentários, tornando-os mais resistentes e menos permeáveis ao ataque ácido bacteriano (Longbottom e Pitts, 1993; Tagliaferro *et al.*, 2007; Steiner-Oliveira *et al.*, 2008). As modificações morfológicas e estruturais que ocorrem na superfície do esmalte dentário (fusão e derretimento) são fenômenos de importância em relação a obtenção do efeito preventivo (Ferreira *et al.*, 1989). No aspecto curativo, remoção de tecido cariado, tem-se vaporização e consequente redução da microbiota do local infectado (Jeffrey *et al.*,1990; Fortes e Villela, 2000; Ana *et al.*, 2006).

Muitas são as hipóteses que tentam explicar esse aumento na resistência aos ácidos para o esmalte irradiado. Dentre elas podemos citar: (1) a diminuição da permeabilidade do esmalte a agentes químicos causada pelo derretimento da superfície (Stern et al., 1966) – hipótese sem suporte, uma vez que o estudo de Borggreven et al. (1980) executou experimentos em relação a permeabilidade do esmalte e observou que a mesma aumentava e não diminuía; (2) diminuição da solubilidade do esmalte resultante da alteração na fase mineral (Kuroda e Fowler, 1984), redução do conteúdo de carbonato, formação de compostos fosfatados como fosfato tricálcio na fase beta, fosfato tricálcio na fase alfa, fosfato tetracálcio (Nelson et al., 1986, 1987), ou seja, alterações químicas (Stern et al., 1972; Fowler e Kuroda, 1986). A redução do conteúdo de carbonato decorrente da irradiação com laser é um fator positivo visto que, o carbonato torna a apatita mais instável e solúvel em ácido (Rodrigues et al., 2004). No entanto, a formação de compostos fosfatados, como os citados acima, são mais solúveis em ácido; (3) derretimento e recristalização dos cristais de hidroxiapatita; (4) mudança da solubilidade da apatita aquecida pela formação de compostos fosfatados menos solúveis em ácido (pirofosfato). O pirofosfato inibe a dissolução dos cristais de hidroxiapatita, na medida em que o ânion P<sub>2</sub>O<sub>7</sub> tendo alta afinidade pela hidroxiapatita bloqueia os principais sítios de dissolução (Hirota e Furumoto, 2003); (5) alteração/redução da matriz orgânica podendo causar uma decomposição (pirólise). Esta decomposição poderia bloquear os espaços intra e interprismáticos com consequente comprometimento da difusão de íons (Hsu et al., 2000). O exato mecanismo ou associação de mecanismos de como se dá essa diminuição da desmineralização no esmalte não está ainda elucidado.

Apesar dos lasers de CO<sub>2</sub> serem objeto de estudo desde 1960, os trabalhos científicos ainda apresentam discrepâncias no modo de atuação destes lasers em relação aos efeitos benéficos obtidos no esmalte dentário irradiado na

prevenção da cárie dentária, principalmente quando se leva em conta o comprimento de onda utilizado. Consequentemente, para que esta tecnologia possa ser empregada clinicamente com segurança, torna-se necessária a realização de estudos e revisões sistemáticas que comprovem sua eficácia em situações de alto desafio cariogênico. Além disso, não está estabelecido se o efeito cumulativo de várias aplicações do laser de CO<sub>2</sub> aumentaria o seu efeito preventivo.

Sendo assim, os objetivos desta Tese foram: (1) revisar a literatura disponível que aborda os efeitos do laser de  $CO_2$ , no que concernem as modificações morfológicas e estruturais da superfície do esmalte e consequentemente, a redução da desmineralização, com ênfase no comprimento de onda 10,6 µm; (2) investigar se o aumento no número de aplicações do laser de  $CO_2$  (10,6 µm) promoveria um maior efeito na diminuição da desmineralização da superfície do esmalte dentário humano quando submetido a um desafio cariogênico *in vitro*.

# CAPÍTULOS

Esta tese está baseada na Resolução CCPG/002/06/UNICAMP que regulamenta o formato alternativo para dissertações de Mestrado e teses de Doutorado e permite a inserção de artigos científicos de autoria ou co-autoria do candidato (Anexo 1 e 2). Por tratar-se de pesquisa envolvendo seres humanos ou material biológico extraídos deles (dentes), o projeto de pesquisa do capítulo 2, foi submetido à apreciação do Comitê de Ética em Pesquisa da Faculdade de Odontologia de Piracicaba, tendo sido aprovado (Anexo 3). Assim sendo, esta tese é composta por dois capítulos contendo artigos científicos completos e/ou em redação, conforme descrito abaixo:

# **CAPÍTULO 1**

Effects of  $CO_2$  laser (10.6  $\mu$ m) irradiation on enamel demineralization. A systematic review.

Vieira KA, Rodrigues LKA, Nobre-dos-Santos M.

# **CAPÍTULO 2**

*In vitro* evaluation of several overlapping CO<sub>2</sub> laser applications on enamel demineralization.

Vieira KA, Steiner-Oliveira C, Soares LES, Rodrigues LKA, Nobre-dos-Santos M.

# CAPÍTULO 1

Effects of CO<sub>2</sub> laser (10.6  $\mu$ m) irradiation on enamel demineralization. A systematic review.

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#### Abstract

Lasers were introduced into the field of clinical dentistry with the hope of improving dental procedures and to modify dental enamel surface to increase its resistance to caries lesions. Among the many types of lasers commercially available, Carbon dioxide (CO<sub>2</sub>) lasers are presently thought to have a great potential for use in dentistry. The aim of this paper was to undertake a systematic review of the literature with regard to the effects of a CO<sub>2</sub> laser (10.6 µm) on enamel surface (structural/compositional changes) and its resistance to demineralization. The literature from 1960 to 2010 regarding the preventive effect of laser irradiation on enamel was searched on Pubmed, Cochrane Library and Scopus databases. The main terms used in the search were "CO<sub>2</sub> laser(s) and enamel", "CO<sub>2</sub> laser(s) 10.6  $\mu$ m wavelength, and enamel", "CO<sub>2</sub> laser(s) and cariogenic challenge", "CO<sub>2</sub> laser(s) and enamel demineralization", "CO<sub>2</sub> laser(s) and fusion", "CO<sub>2</sub> laser(s) and melting", "CO2 laser(s) and recrystallization", "CO2 laser(s) and morphological changes". Also, Carbon dioxide laser was searched. The inclusion criteria were studies that: related the structural/chemical changes on enamel, used human or bovine teeth as research specimens, had clear laser parameters, used the CO<sub>2</sub> laser irradiation at 10.6 µm wavelength as independent variable, and also performed a cariogenic challenge simulation. Case reports, reviews, protocols, brief/short communications, and also papers that had dentin, cementum, root or occlusal surfaces as specimens were excluded. The selected papers were assigned with a score (A to C), according to predetermined criteria. Of the 112 papers, 62 were critically assessed and 20 papers were included in the project critical appraisal. One paper was classified as grade A, 16 as grade B and 03 as grade C. Available data about irradiation of enamel with a CO<sub>2</sub> laser at 10.6 µm wavelength, suggest that there is a moderate evidence showing that structural/compositional changes occurring on enamel surface may be related to it's resistance to demineralization. Thus, further studies are required to standardize the CO<sub>2</sub> lasers parameters before its recommendation for use as a method of dental caries prevention. Keywords: CO<sub>2</sub> laser, enamel, demineralization, review

#### Introduction

Laser technology for caries removal, cavity preparation, increase acid resistance of dental enamel and soft tissue surgery is at a high state of refinement, having had several decades of development up to the present time. Since the development of a ruby laser by Maiman<sup>1</sup> in 1960s, several studies have demonstrated the potential of laser pre-treatment of enamel to inhibit subsequent acid induced. The use of laser irradiation as a mean of reducing enamel demineralization was first suggested by Stern and Sognnaes<sup>2</sup> (1964) and Stern et al<sup>3</sup> (1972).

Patel et al<sup>4</sup> were the first to develop a Carbon dioxide ( $CO_2$ ) laser in 1964. The  $CO_2$  laser is a gas-active medium laser that incorporates a sealed tube containing a gaseous mixture with  $CO_2$  molecules pumped via electrical discharged current.

Carbon dioxide lasers present over a hundred different emission laser lines with wavelengths ranging from 9 to 11  $\mu$ m. The wavelength of 10.6  $\mu$ m is placed at the end of the mid-infrared invisible nonionizing portion of the spectrum, and it is delivered through a hollow tube-like waveguide in continuous or gated pulsed mode.<sup>5</sup>

Dental hard tissues strongly absorb light in certain regions of the infrared spectrum because of its crystal structure. Enamel is known to be 97% mineralized by weight and composed of a singular crystalline structure, hydroxyapatite (HA). The physical properties of enamel are unique due to its structural components.

Carbon dioxide laser interacts with the phosphate groups in the dental mineral, is preferentially absorbed and efficiently transformed to heat. As the carbonated HA is more soluble (low thermal stability) in acid solution than pure hydroxyapatite, this process can raise the temperature to levels that drive off the carbonate.<sup>6</sup>

Mechanistically, what occurs is that the carbonated HA in the surface and immediate subsurface of the enamel is heated to temperatures greater than

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400°C, decomposing the carbonate and leaving behind a hydroxyapatite-like mineral that is much less soluble than the original mineral. It has been shown that a variety of conditions can be used to produce this effect.<sup>7</sup>

To prevent dental caries, the laser light must alter the composition or solubility of the dental substrate and the energy must be strongly absorbed and efficiently converted to heat without damage to underlying or surrounding tissues.<sup>5</sup> Energy transport into the tissue is primarily due to heat conduction away from this surface, and light scattering is insignificant. This condition is representative of the interaction between dental hard substrates and CO<sub>2</sub> lasers.<sup>5,8,9,11</sup>

As a result of laser interaction with dental enamel, melting, fusion and recrystallization can occur and change the morphology/structure of enamel<sup>3,8,9,10</sup>. Also, compositional changes such as decomposition of the organic material of enamel, loss of carbonate and water, alteration on the Ca/P ratio and as consequence resultant amount of more soluble phosphates <sup>8,9</sup> ( $\propto$  and  $\beta$  tricalcium phosphate) are presented as a result of this relation. Both morphological and compositional changes are related in literature as phenomena that could improve enamel resistance to demineralization.

What condition or the association of conditions are related with the exact action mechanism of  $CO_2$  laser in the inhibition of enamel demineralization are still unclear. Some theories focus on enamel mineral phase changes<sup>3,8,9</sup>. However, others studies<sup>10,11</sup> showed no conclusive evidence that such physical changes are necessary to increase enamel resistance to demineralization. Therefore, the aim of this paper was to review the literature with regard to the effects a  $CO_2$  laser (10.6 µm) on enamel (structural and compositional changes) and its resistance to demineralization.

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#### Materials and Methods

#### Question Addressed by this Review

Are the structural or compositional changes occurring on enamel surface irradiated with a  $CO_2$  laser at 10.6  $\mu$ m related to its resistance to demineralization?

#### Literature Searching

Papers, from January 1960 to January 2010, were sought and obtained from online databases, including Pubmed, Cochrane Library and Scopus. The main terms used in the search were "CO<sub>2</sub> laser(s) and enamel", "CO<sub>2</sub> laser(s) 10.6  $\mu$ m wavelength and enamel", "CO<sub>2</sub> laser(s) and cariogenic challenge", "CO<sub>2</sub> laser(s) and enamel demineralization", "CO<sub>2</sub> laser(s) and fusion", "CO<sub>2</sub> laser(s) and melting", "CO<sub>2</sub> laser(s) and recrystallization", "CO<sub>2</sub> laser(s) and morphological changes". Also, Carbon dioxide laser was searched. Eligibility of the selected studies was determined by reading the title and then the abstract of the articles identified by the search. If the abstracts were missing, the full-text articles were printed. All papers that appeared to meet the inclusion criteria were selected. Papers were included if the language was English, Spanish or Portuguese.

#### Inclusion and exclusion criteria

The search was limited to randomized, clinical trials, *in situ* and *in vitro* studies, which were considered as relevant papers. Case reports, reviews, protocols and brief/short communications were dismissed. Also, papers reporting on the effect of  $CO_2$  in animals (rats, dogs) and in dentin, cementum, root and occlusal surfaces were discharged.

In a second step, two authors (KAV-MNS) performed a detailed screening of the papers. Papers were included only if a  $CO_2$  laser at 10.6 µm wavelength was used, the laser parameters were described, and the structural or compositional changes on enamel and/or reduction of enamel demineralization were reported. A cariogenic challenge simulation was also required. The enamel slabs/specimens should have been buccal and/or lingual surfaces.

## Evaluation of Scientific Papers

Papers that met the inclusion criteria were submitted to critical appraisal, independently carried out. Data were extracted using a pilot-tested form and each paper was assed with a score from A to C, according to predetermined criteria for methodology and performance<sup>13</sup>, as defined in Table 1. If, for any reason, a selected paper was found to be irrelevant for the research question, the paper was excluded. Based on the evaluated literature, the final level of evidence was judged according to the protocol of the Swedish Council on Technology Assessment in Health Care<sup>12,13</sup>, as described in Table 2.

Table 1. Criteria	for grading	the assessed	papers
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Grade A	Irradiation of enamel with a $CO_2$ laser at 10.6 $\mu$ m wavelength;										
All criteria	Laser parameters completely described;										
stated on	Cariogenic challenge simulation;										
the right	Study group representative;										
side should	Clinical trial and <i>in situ</i> studies;										
be met	Randomization of teeth;										
	Reliability of evaluation methods described;										
	Control group;										
	Statistical Analysis.										
Grade B	Irradiation of enamel with a $CO_2$ laser at 10.6 $\mu$ m wavelength;										
All criteria	Laser parameters completely described;										
stated on	Cariogenic challenge simulation;										
the right	Study group representative;										
side should	<i>In vitro</i> studies;										
be met	Randomization of teeth;										
	Reliability of evaluation methods described;										
	Control group;										
	Statistical Analysis.										
Grade C	Irradiation of enamel with a $CO_2$ laser at 10.6 $\mu$ m wavelength;										
One or	Laser parameters not completely described;										
more of the	Cariogenic challenge simulation;										
conditions	Study group not representative;										
stated on	Randomization of teeth;										
the right	Reliability of evaluation methods not described;										
side	No control group;										
	No statistical Analysis.										

 Table 2. Definitions of evidence level<sup>12,13</sup>

1. Strong evidence	At least two studies with high level of evidence (grade A)
2. Moderate evidence	One study with high level of evidence (grade A) and at least
	two studies with a moderate level of evidence (grade B)
3. Limited evidence	At least two studies with a moderate level of evidence (grade
	B)
4.Inconclusive evidence	Fewer than two studies with a moderate level of evidence
	(grade B)

### Results

The systematic search for papers on the databases led to the identification of 112 articles. From those, 62 were selected. Of these articles that remained, only 20 fulfilled all the selection criteria and for this reason, they were used for the systematic review. Almost all the 20 articles were in *vitro studies* with just one *in situ* study. Since the heterogeneity of the studies did not allow a meta-analysis, they were qualitatively analyzed to obtain evidences that would clarify the question addressed.

One paper that reported an *in situ* study, fulfilled all criteria and was graded A. The papers (16) that were given grade B fulfilled all the grading criteria but were *in vitro* studies. The following papers by Hsu et al<sup>14</sup> (1998), Fox et al<sup>15</sup> (1992) and Stern et al<sup>3</sup> (1972) were graded C as they failed on some grading criteria as: study group not representative or missing (n=?) and no statistical analysis was performed (Table 3).

Reference number/First author	Year	Study design	Number of teeth	Type of teeth	Pulsed or Continuous- wave	Irradiation condition	Treatment with fluoride	Cariogenic challenge simulation	Analysis	Structural Changes on enamel	Conclusion	EL
20.Esteves- Oliveira <i>et al</i> .	2009	In vitro	276 slabs	в	Р	0.3 J/cm² 5 µs	1.23%APF (positive control)	pH Cycling	Lesion Depth SEM	No ablation, no melting, no surface damages (craks/fissures)	Irradiation with 0.3J/cm <sup>2</sup> , 5 µs increased caries resistance by up to 81% compared to control.	в
43.Steiner- Oliveira <i>et al.</i>	2008	In vitro	45	н	р	10 J/cm² 10ms	0.05% NaF	Acetate Buffer pH Cycling	PLM, Microhardness	122	The inhibition of demineralization ranged from 48-60%.	в
44.Steiner- Oliveira <i>et al.</i>	2008	In situ	80	н	р	10 J/cm² 10ms	-	20% sucrose	Microhardness	-	Reduction of enamel demineralization on cavity margins around composite restorations.	А
36.Schmidlin et al.	2007	In vitro	60	н	cw	2W 15s	1 %AMF solution	pH Cycling Lactic acid	SEM, Fluoride uptake	Melting, Cracks	The combination of laser irradiation with a topical application of AMF resulted in a higher fluoride uptake and better acid resistance.	в
33.Steiner- Oliveira <i>et al.</i>	2006	In vitro	90	Н	Ρ	10.0,11.5 J/cm <sup>2</sup> 10ms	-	pH Cycling	Thermal Analysis, Raman, Microhardness, SEM	Cracks, Melting, Fusion Tetracalcium diphosphate monoxide	Laser energy densities in the range of 10.0 and 11.5 J/cm <sup>2</sup> could be applied in enamel to produce chemical/morphological changes and reduce the acid reactivity (68%).	в
32.Klein et al.	2005	In vitro	33	н	Р	16 J/cm <sup>2</sup> 50ms	-	Thermal and pH Cycling	SEM, Microhardness	Melting, Fusion	Irradiation of the cavosurface margin of cavities is able to inhibit enamel demineralization (64%) around composite restorations.	в
37.Tepper et al.	2004	In vitro	20	н	cw	2W 15s	1% AMF solution	Lactic acid	Acid resistance, SEM, Fluoride uptake	Melting, Cracks, Fusion	Beneficial effect of combining enamel laser irradiation with topically applied AMF, resulting in high fluoride uptake and acid resistance.	в

Table 3. Results of references appraised

34.Tsai et al.	2002	In vitro	10	н	SP	1,33m J/pulse		Lactic acid	Calcium analysis, PLM, SEM	Etching pattern, Cracks Fissures	CO <sub>2</sub> laser-reated enamel was more resistance (12.6%) to acid challenge than was Nd-YAG laser- treated enamel.	8
41. Hossain et al.	2002	In vitro	20	н	CW	1W 30s	2% NaF	Lactic acid	Atomic Measurement, Morphological study	Carbonization, Melting	CO <sub>2</sub> laser irradiation with NaF solution has more caries-preventive effect than CO <sub>2</sub> laser irradiation alone.	8
42. Hsu et al.	2001	In vitro	24	н	Р	0.3 J/cm <sup>2</sup> 5ms	2% NaF	pH Cycling	Microradiography, SEM	No melting	The combined fluoride- laser treatment led to 98.3% reduction in mineral loss.	8
10. Lakshmi et al.	2001	In vitro	36	н	P	8 J/cm <sup>2</sup> 25 pulses	π.	Lactic Acid	PLM	Melting, Recrystallization	CO <sub>2</sub> laser irradiation can inhibit caries like lesion up to 82.7% and it was optimal at 25 pulses.	в
11. Hsu et al.	2000	In vitro	24	н	Р	0.3 J/cm² 5ms		pH Cycling,	PLM, Lesion Characterization, Microradiography, SEM	No melting, No craters	The laser irradiation resulted in a greater than 98% reduction in mineral loss.	B
38. Hossain et al.	1999	In vitro	40	н	cw	3 W 5s	-	Lactic acid	Atomic measurement, SEM	Melting, Solidification	CO <sub>2</sub> laser irradiation could sufficiently melt and solidify the enamel surface and thus enhance resistance to artificial caries-like formation.	B
14. Hsu et al.	1998	In vitro	826	н	cw	170 J/cm <sup>2</sup> 2-8s	0.2ppm Fluoride	Saturated demineralized solution	Light Microscopy, Microradiography	-	Laser irradiation of dental enamel results in significant reduction (92,7%) of solubility of enamel mineral, also there is a synergism between laser and fluoride.	c
31.Featherstone et al.	1998	In vit <mark>r</mark> o	160 slabs	н	Р	10 J/cm <sup>2</sup> 100µs	-	pH Cycling	Microhardness	No fusion, No crystallization	Inhibition of caries progression of 57% was achieved for 10.6µm.	8
39. Kantorowitz et al.	1998	In vitro	120	н	Р	12 J/cm <sup>2</sup> 50-500µs	(F)	pH Cycling	Microhardness, SEM	No morphological changes	Pulsed CO <sub>2</sub> laser – preventive treatment inhibited caries like lesion progression by up to 87%.	B

15. Fox et al.	1 <mark>99</mark> 2	In vitro		н	cw	130 J/cm² 4 s	-	Acetate buffer	X-ray of Mineral density	=5	The combination of laser irradiation and chemical agents has been shown to be very effective in increasing resistance to a strong acid challenge.	с
9. Neison et al.	1987	In vitro	51	н	Ρ	50 J/cm <sup>2</sup> 100- 200ns	-	Lactic Acid	PLM, Microradiography Refelected Light Microscopy, SEM, Raman	Melting, Roughening, Fusion, Fractures, Tetracaloium diphosphate monoxide	Tetracalcium diphosphate monoxide was identified as being a component of the surface melt together with an apatite phase that had a reduced carbonate content when compared to normal surface enamel.	в
8. Nelson et al.	1986	In vitro	106	н	P	10-50 J/cm <sup>2</sup> 100- 200ns	i.ē.	Lactic Acid	Microhardness, Calcium and Phosphorus	Melting, Tetracalcium diphosphate monoxide	Laser-treated enamel produced lesions that were 25 to 50% less demineralized than the controls.	в
3. Stern <i>et al.</i>	1972	In vitro	03	н	Р	13, 25, 50 J/cm <sup>2</sup> 80µs	12	Lactic Acid	Microradiographs, SEM	Crazed, Motied, Cracks, Fissures, Melting	Surface changes associated with reduced demineralization could be achieved at energy densities of 13 to 50 J/cm	с

EL = Evidence Level; H= Human enamel; B= Bovine enamel; P= Pulsed Mode; CW= Continuous wave; SP = Super Pulsed mode; NaF= Sodium Fluoride; APF= Acidulated Phosphate Fluoride Gel; AMF= Amine Fluoride; SEM= Scanning Electron Microscopy; PLM= Polarized Light Microscopy; TEM= Transmission Electron Microscopy; TCP= Tri-calcium Phosphate.

#### Discussion

#### Systematic Review

The validity of a review article depends on its methodological quality. While a traditional review articles or narrative reviews can be useful when properly conducted, there is evidence that they are usually of poor quality<sup>16</sup>. In contrast to a narrative review, the systematic review provides a summary of reports on a specific question, using explicit methods to search, critically appraise, and systematically synthesize the literature<sup>17</sup>.

The present systematic review searched and provided a comprehensive and contemporary appraisal of substantial literature in order to achieve conclusions about the effects of the CO<sub>2</sub> laser irradiation at (10.6  $\mu$ m wavelength) on enamel surface and its consequence on dental demineralization. Therefore, with regard to Dentistry based on scientific evidences, systematic reviews play a very important role. Moreover, subsequent quality assessments of included papers are now wellestablished measures for evidence-based dentistry<sup>13</sup>. In this way, this review may be important for aiding clinical decision-making and may contribute to the development of new methodologies in preventive dentistry.

#### *Hierarchy of evidence – study design*

It is well recognized that some research designs are more powerful than others in their ability to answer research questions on the effectiveness of interventions. The hierarchy provides a framework for ranking evidence that evaluates health care interventions (opinion, *in vitro* studies, case reports, case series, case-control studies, cohort studies, systematic review of randomized clinical trials with or without meta-analysis)<sup>18</sup>.

In this review, two types of models-studies were found as tools to assess scientific questions: *in situ* study (01 paper) and *in vitro* studies (19 papers). There are some important differences that should be considered when these different models are used.

While *in vitro* studies have limitations, such as sample size and possibly decreased clinical relevance, they have a high level of scientific control and low variation<sup>13,19</sup>. The *in situ* model uses the mouth as a laboratory and is therefore much more realistic than *in vitro* models, but on the other hand, there are many more variables in the mouth.

Although *in vitro* studies are adequate for the evaluation of cariespreventive methods, only *in situ* and *in vivo* studies are capable of offering the clinical evidence necessary to prove the efficacy of a therapy.

#### Type of Teeth

Only the study of Esteves-Oliveira et al<sup>20</sup> (2009) used bovine teeth as the research specimen. The advantages of using bovine teeth are the better availability and the possibility of obtaining bigger areas of plane enamel. Although, these teeth present composition and optical properties similar to human teeth, as well as, the same mechanism of caries formation<sup>21,22</sup>, the rate of demineralization progression is higher<sup>23</sup> and should be taken into account.

## The CO<sub>2</sub> laser 10.6 µm wavelength choice

Carbon dioxide laser irradiation should occur with absorbed energies (fluence = energy/surface area) in the order  $9.6 < 9.3 < 10.3 < 10.6 \ \mu$ m. The  $9.6 \ \mu$ m wavelength has a 10 times higher absorption in enamel ( $8,000 \ cm^{-1}$ ) than the 10.6  $\mu$ m ( $825 \ cm^{-1}$ ) and has therefore been considered the most promising for use in caries prevention. However, the lower absorption of the 10.6  $\mu$ m wavelength results in a higher penetration depth and can therefore affect a thicker enamel layer.<sup>20</sup> Consequently, it has been suggested that most of the caries-preventive effect obtained with 10.6  $\mu$ m wavelength could be longer-lasting.<sup>24</sup> Moreover, the 10.6  $\mu$ m laser line is the commercially available medical CO<sub>2</sub> laser<sup>25</sup>.

#### Continuous X Pulsed wavelength

This review showed that 14 papers used a pulsed mode laser and only 06 papers used a continuous wave (cw) laser.

Many researchers have studied the interaction of the  $CO_2$  laser 10.6  $\mu$ m continuous-wave with dental hard tissues. Usually, they used relatively high

fluences (>50 J/cm<sup>2</sup>) and long interactions times of 5 ms to  $15s^{26,27,28}$ . These interactions times are far in excess of the thermal relaxation time for enamel (approximately 60-90 µs)<sup>29</sup>. Nevertheless, pulse duration much longer than the thermal relaxation time of enamel, results in ablation, which is an undesirable laser effect when caries prevention is intended<sup>5</sup>.

Pulsed lasers provide a way of increasing the peak power density while keeping the pulse energy density at low levels (hundred of  $mJ/cm^2$ )<sup>11,20</sup>. The energy deposited at pulse durations shorter than the thermal relaxation time is thermally confined to a thin layer at the enamel surface (cooling between exposures pulses). The studies <sup>20,31,39</sup> which used pulses in the range of 5-500 µs were able to obtain an inhibition varying from 57-87% and used a pulsed wavelength.

The pulsed lasers are better than cw lasers because they can provide a way for increasing the peak power density while keeping the pulse energy density constant. Using high power densities and short interaction times the fusion, melting and recrystallization of the enamel crystallites are confined to the surface region without affecting the underlying enamel or more importantly the underlying dentin or pulp<sup>30</sup>. For a given pulse energy, shorter pulse time means that, the peak power density deposited increases.<sup>8</sup>

#### Irradiation Conditions

The irradiation condition showed that the preventive effect of enamel irradiation with a CO<sub>2</sub> laser (10.6  $\mu$ m) varied for energy densities (0.3 – 170 J/cm<sup>2</sup>) and for pulse durations (5  $\mu$ s – 15s)<sup>8,9,20,31,32,33,34</sup>. It is important to note that low energy densities and short pulse durations are said to cause less thermal damage to the surface and less irreversible pulp inflammation, which can improve *in vivo* application<sup>20</sup>.

## Treatment with fluoride

The use of  $CO_2$  laser (10.6  $\mu$ m) irradiation and its interaction with fluoride were related by 06 papers. The consequences of this interaction were not the aim of this present review. However, some observations are related below.

There is still no consensus with regard to the mechanisms of laser and fluoride interaction aimed at caries prevention was achieved, or even whether fluoride treatment should be performed before or after laser irradiation<sup>14,36,37,41,42,43</sup>. This finding emphasizes the need for more researches to be performed under well-controlled conditions in order to provide evidences about the effects of the combination of laser irradiation and fluoride. All papers showed better results in the inhibition of enamel demineralization when the combined therapy was used compared to one single treatment. The use of combination therapy may be clinically effective while, at the same time, involving only moderate daily doses of both fluoride and low energy levels of laser irradiation<sup>14,25</sup>.

# Cariogenic Challenge Simulation – acid resistance

Two models were predominantly found among the papers: pH Cycling and the use of lactate buffer solution. The use of the acetate buffer was only employed in two papers. The pH cycling delivers results more comparable to clinical outcomes, because this process can be delineated in order to mimic a situation more closely related to the oral conditions. This attribute differentiates it from all others methods of artificial caries induction (such as lactate or acetate buffer), whose static behavior simulates a condition different from what occurs in the oral environment. Thus, when the substrate is kept in the demineralizing solution, it simulates the several moments of pH decrease that happens between the plaque and enamel. The remineralization stage is simulated by using solutions that contains calcium and phosphate in a degree of saturation similar to that of saliva<sup>31,35</sup>.

Relating the use of acetate and lactic buffers, it was found that only 02 studies<sup>43,15</sup> used it as cariogenic challenge and 09 studies<sup>36,37,34,41,10,38,9,8,3</sup> employed the lactic buffer. It is important to remember that the buffer effect depends on two parameters, the pK of the acid and the ratio of salt to acid, according to the equations: pH= pK + log [salt] / [acid]. The buffer effect is maximum when [salt] / [acid] equals 1, in which case log [salt] / [acid] = 0 and pH = pK. Hence, the buffer effects of acetate and lactate, with pKs of 4.75 and 3.86, are

optimal at pH 4.75 and 3.86, respectively. Since the pH of dental plaque rarely falls below 4.0, acetate would be expected to be a more effective intra-oral buffer than lactate<sup>45</sup>.

#### Analysis methods

Two quantitative methods were used for evaluation of enamel demineralization: microhardness and microradiography. Both are known to be very sensitive methods.

Microhardness test was the most (7 papers)<sup>8,31,32,33,39,43,44</sup> used method when the mineral loss or percentage of inhibition of enamel demineralization was studied. The advantages of this test are: determine the mineral content quantitatively, estimate the mineral loss and mineral gain values, obtain the mineral profile (volume % of mineral as a function of the distance from the outer surface. Even of being a sensitive and an easy-learn test, and be accessible in most laboratories, it has disadvantages in that the method does not allow direct measurement of the mineral content and also requires a flat polished surface to perform accurate results<sup>46,47</sup>.

Microradiography analysis was also reported<sup>42,14,11,9,3</sup> as the method of choice for quantifying enamel mineral loss. It gives a direct quantitative analysis of the amount of mineral seen by the x-ray beam. However, this methodology is only available in laboratories of developed countries, and excludes the organic content. It is important to commit to memory that the hardness values are presumably created by the combination of inorganic material and organic matrix<sup>48</sup>.

Comparative data from microhardness and microradiography measurements are scarce but have shown some correlation<sup>48</sup>. In this review, none of the studies performed the two tests so the association was not possible.

Extensive studies<sup>3,9,10,11,20,32,33,34,36,37,38,39</sup> used Scanning Electron Microscopy (SEM) to investigate the effects of CO<sub>2</sub> laser irradiation on enamel surface<sup>33</sup>. In this review, SEM analysis was performed in 12 studies but only 08 reported surface changes<sup>3,9,32,33,34,36,37,38</sup> such as melting, cracks, fusion, recrystallization and carbonization. As the temperature induced modifications on
enamel, the absence of surface changes showed in the others studies<sup>11,20,31,42</sup> means that the temperature achieved was not sufficient to modify the enamel surface (<800°C).

After laser irradiation the chemical characteristics of enamel structure are also important. Ft-Raman analysis gives information about chemical state without damage. In this review, the FT-Raman Spectroscopy was performed in only two studies<sup>9,33</sup>. In both, Tetracalcium diphosphate monoxide was identified as being a component of the melting surface indicating that enamel achieved high temperatures.

#### Changes that can occur in dental enamel surface after the laser irradiation

As a result of laser interaction with dental enamel, melting, fusion, and recrystallization are structural changes that can modify enamel morphology. Melting occurs when the enamel surface is changed to a liquid condition by heat. It is defined as the initial stage of individual crystals beginning to coalesce. Fusion is defined as the stage when melting is complete and individual crystals have fused together to form large, new crystals. Recrystallization occurs when a liquid phase resulting from the heat process is exposed to the environment<sup>40</sup>. For hydroxyapatite, the melting temperature is in the range of ~800–1620°C. Although using different irradiation parameters, the studies of Schmidlin et al<sup>36</sup> (2007), Steiner-Oliveira et al<sup>33</sup> (2006), Klein et al<sup>32</sup> (2005), Tepper et al<sup>37</sup> (2004), Hossain et al <sup>38,41</sup> (2002; 1999), Lakshmi et al<sup>10</sup> (2001), Nelson et al<sup>9,8</sup> (1987; 1986) and Stern et al<sup>3</sup> (1972) reported this surface change (melting). Therefore, this phenomenon depends on the melting point of carbonated hydroxyapatite that varies with carbonate content<sup>40,41</sup>, in a way that the lower the carbonate content, the lower the dissolution rate<sup>26</sup>.

The relation between the melting and carbonate content must be remembered for the reason that during the heating and melting process hydroxyapatite looses carbonate<sup>49</sup>, up to a complete loss, becoming more resistant demineralization. Of the carbonate 30% is lost between 400 and 600 °C and it is completely removed only after repeated irradiation beyond the melting temperature

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of enamel<sup>50</sup>. Complete carbonate removal derives both from the absorption depth of the surface and from the pulse intensity and duration<sup>51</sup>.

The studies of Featherstone et al <sup>31</sup> (1998); Kantorowitz et al<sup>39</sup> (1998); Hsu et al<sup>11,42</sup> (2000; 2001) and Esteves-Oliveira et al<sup>20</sup> (2009) did not relate surface changes. The absence of these changes, especially melting, implies that the maximum temperature reached by enamel in these studies was lower than 800°C. This implies that melting of enamel surface may occur as a consequence of enamel irradiation but it is not a necessary change for laser irradiation to inhibit enamel demineralization.

#### Inhibition of enamel demineralization – mineral loss

The percentage of caries inhibition had a wide range varying from 25 to 98%. A search in the scientific databases showed that the studies that used an energy density between 8 and 16 J/cm<sup>2</sup> achieved a maximum inhibition of 87%<sup>8,31,32,34,39,41,43</sup>. In the other side, Esteves-Oliveira et al<sup>20</sup> (2009) and Hsu et al<sup>11</sup> (2000) related an inhibition of caries progression of 81% and 98%, respectively, using an energy density of 0.3 J/cm<sup>2</sup>. However, the first authors assessed enamel demineralization by lesion depth measurement while in most of the others studies a quantitative analysis of mineral loss was performed (microhardness or microradiography). Moreover, Hsu et al <sup>11</sup> (2000) did not analyze their specimens to determine how much of the organic matrix was removed, and neither did they verify whether the mineral phase had been altered by sodium hypochlorite (NaCIO). As some magnesium and carbonate ions could be removed from the dental substrate upon NaCIO treatment<sup>52</sup>, the inhibition showed by Hsu et al <sup>11</sup> (2000), might be the effect of the NaCIO rather than the laser effect.

When concerning pulse duration, short pulses allow the thermal relaxation (~60-90  $\mu$ s) time of enamel. In this way, the second pulse interacts differently with the surface than the first one and the optical and thermal properties of the surface changes until a certain balance is achieved. The interaction between enamel surface and each additional pulse are not clear and additional studies are needed to further understand the sequence of events<sup>41,44</sup>. The overlapping of a

higher number of pulses on the same area has the potential to increase the resistance of enamel to demineralization, until a threshold of stabilization is reached<sup>39</sup>.

In summary, the effectiveness of  $CO_2$  laser in turning enamel more resistant to acid dissolution is directly related to the way the laser parameters are defined (energy density/effect relationship), and the main limitation when selecting these laser parameters is the operating mode of the laser equipment.

#### Conclusions

Beyond the limits of this systematic review, and the criteria proposed for the evidence level, there is moderate evidence that the structural or compositional changes occurring on enamel surface irradiated with a  $CO_2$  laser at 10.6 µm are related to its resistance to demineralization.

In spite of this moderate evidence, further well-designed and randomized *in vitro* and *in situ/in vivo* studies are needed to establish and validate a standard protocol for  $CO_2$  laser (10.6 µm) application of before it can be recommended for caries prevention in dental practice.

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# **CAPÍTULO 2**

*In vitro* evaluation of several overlapping CO<sub>2</sub> laser applications on enamel demineralization.

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#### Abstract

Background and Objective: Studies have shown that  $CO_2$  (10.6 µm) laser can be used to modify the morphological and chemical composition of tooth enamel to render it less soluble. Among the different laser sources designed to be utilized in the dental clinical practice,  $CO_2$  lasers have attracted considerable attention due to their high absorption coefficient by dental hard tissues. The aim of this study was to evaluate the effects of repeated  $CO_2$  laser applications on the inhibition of enamel demineralization. The hypothesis was if the increased in the number of  $CO_2$  laser irradiations would increase the effect of this laser in reducing enamel demineralization.

Study Design/Material and Methods: Sixty-five human dental enamel slabs were randomly assigned to the following 5 groups (n=13): Control (C), 1 application of  $CO_2$  laser (L1), 2 applications of  $CO_2$  laser (L2), 3 applications of  $CO_2$  laser (L3) and 4 applications of  $CO_2$  laser (L4). Enamel slabs were irradiated by a 10.6  $\mu$ m  $CO_2$  laser at 10 J/cm<sup>2</sup>, for 10 ms, with a repetition rate of 50 Hz. They were submitted to a pH-cycling regimen and then analyzed by FT-Raman Spectroscopy, Energy-Dispersive X-Ray fluorescence spectrometry, Cross Sectional Microhardness and Scanning Electron Microscopy. Statistical analysis was performed by ANOVA and Tukey's test (p<0.05).

Results: The main change showed by FT-Raman spectroscopy was on the phosphate (585cm<sup>-1</sup>) band for control and 1 irradiation, 2 irradiations and 3 irradiations groups (p<0.05). Cross sectional microhardness data showed statistically significant difference between the control and all irradiated groups (p<0.05), but no difference was found among the irradiated groups (p>0.05). The 3 irradiations group showed a reduction in demineralization until even the 50  $\mu$ m. The SEM results showed that with repeated applications of CO<sub>2</sub> laser, a progressive melting and recrystallization of enamel surface occurred.

Conclusions: Three repeated irradiations of dental enamel with a  $CO_2$  laser at 10.6  $\mu$ m, at an energy density of 10 J/cm<sup>2</sup>, enhanced the inhibition of enamel demineralization.

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#### Introduction

In spite of reduction in the prevalence of dental caries, it still remains a major public health problem in some strata of the population<sup>1</sup>. Thus, the development of new methods to prevent dental caries is important to control the disease.

Since the development of the ruby laser by Maiman<sup>2</sup> in 1960, several studies have demonstrated that laser (pre)treatment of enamel can inhibit subsequent artificial caries-like lesions<sup>3,4</sup>. For more than 40 years, several studies have shown that irradiation of dental enamel with Carbon dioxide (CO<sub>2</sub>) laser, created by Patel et al.<sup>5</sup> in 1964, turns this substrate more resistant to demineralization. Nelson et al.<sup>6</sup> (1986) described in their study that a pulsed CO<sub>2</sub> laser irradiation (10-50 J/cm<sup>2</sup>) of enamel caused marked surface fusion and inhibited the progression of subsurface caries-like lesions by as much as 50%. This could be explained by the presence of hydroxyapatite, which has absorption bands in the infrared region (9.0 - 11.0  $\mu$ m) due the presence of phosphate, carbonate and hydroxyl groups in its crystal structure<sup>6</sup>. This absorption coincides closely with the radiation produced by the CO<sub>2</sub> laser<sup>4</sup>, which implies that enamel should efficiently absorb this radiation<sup>7,8,9</sup>.

Irradiation of enamel by a CO<sub>2</sub> laser usually involves the melting, fusion and recrystallization process if the proper laser energy is applied, and this process produces apparent morphological and crystallographic changes in enamel.<sup>10,11,12,13,14</sup> These changes of enamel crystals can be coffined to a thin surface region without affecting the underlying dentin or pulp.<sup>8,9</sup>

In all previous studies, the inhibition of enamel demineralization effect was obtained when  $CO_2$  laser irradiation was performed over the enamel only one time. However, at least to our knowledge, it is not known if more than one laser application could bring some additional effect on the inhibition of enamel demineralization. Thus, the aim of this *in vitro* study was to evaluate the effects of cumulative irradiation of  $CO_2$  laser on the inhibition of enamel demineralization.

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#### Materials and Methods

This study was approved by the Research and Ethics Committee of the Piracicaba Dental School at University of Campinas in Piracicaba, SP, Brazil (Protocol N° 017/2009).

#### Sample preparation

To perform this *in vitro* study, fifty extracted impacted human third molars, stored in 0.1% thymol solution, were selected, cleaned and sterilized using gamma radiation<sup>15</sup>. These teeth were sectioned using a water-cooled diamond saw cutting machine (Isomet 1000, Buehler, Lake Bluff, IL, USA) to obtain 65 enamel slabs (4 x 4 mm). All slabs were obtained from the medium third of buccal or lingual coronal surfaces of each tooth. Then, the enamel surface was screened for cracks and white spots under a stereoscopic microscope. The enamel slabs were kept moist before experimentation was begun. The slabs were randomly assigned according to a computer generated list to one of the following 5 groups (n=13): Control (C), 1 application of CO<sub>2</sub> laser (L1), 2 applications of CO<sub>2</sub> laser (L2), 3 applications of CO<sub>2</sub> laser (L3) and 4 applications of CO<sub>2</sub> laser (L4).

#### Laser treatment

The enamel surface irradiation was carried out using a X-Y position platform. They were scanned at a distance of 10 mm from the tip of the handpiece for approximately 30 seconds. The scanning speed was approximately 1 mm/s. A commercially available Model UM-L30 pulsed CO<sub>2</sub> laser (Union Medical Engineering Co., Yangju-si, Gyeonggi-Do, Korea) at a wavelength of 10.6 µm was used for irradiating the groups L1, L2, L3 and L4 with the following parameters: 0.7 W of power, 10 ms pulse duration, 10 ms of time off, 50 Hz repetition rate, 0.3 mm beam diameter. Using a power meter (Scientech Inc., Boulder, CO, USA), the average power output was measured and found to be 0.7 W. Thus, the laser fluence applied on enamel was approximately 10.0 J/cm<sup>2</sup> per pulse. These parameters have been previously tested<sup>10</sup> and showed no possibility of pulp

damage. No cooling process was performed in the slabs during the laser irradiation, and also there was no waiting time between the laser applications. The irradiated slabs (16 mm<sup>2</sup>) were coated with an acid-resistant varnish leaving a window (4 mm<sup>2</sup>) of exposed enamel surface for the artificial caries-like lesion production.

#### Fourier Transform Raman Spectroscopy (FTRS)

After laser treatment, the samples of each group were evaluated by Fourier Transform Raman Spectroscopy (FTRS). Spectra of the samples were obtained using a FT-Raman spectrometer (RFS 100/S, Bruker Inc., Karlsruhe, Germany) both before and after irradiation with one Ge diode detector cooled by liquid N<sub>2</sub>. To excite the spectra, a focused  $\lambda$ = 1,064.1 nm beam of an air-cooled Nd:YAG laser source was used. Maximum incident laser power on the sample surface was about 150 mW and spectrum resolution was 4 cm<sup>-1</sup>. The samples were positioned in a sample holder, and an IR354 lens collected radiation scattered over 180° on the exposed surface. The FT-Raman spectra were obtained using 100 scans. The selected point was located in the central region of the dental slab. Frequency ranged from 300 to 4,000 cm<sup>-1</sup>, thereby allowing a characterization of both mineral (hydroxyapatite) and organic (essentially collagen) constituents.

#### Energy-dispersive X-ray fluorescence spectrometry (EDXRF) Measurements

Semiquantitative elemental analyses of calcium (Ca) and phosphorus (P) were carried out by an energy-dispersive micro X-ray fluorescence spectrometer, model  $\mu$ -EDX 1300, Shimadzu (Kyoto, Japan), equipped with a rhodium X-ray tube and a Si (Li) detector cooled by liquid nitrogen (N<sub>2</sub>). The spectrometer was coupled to a computer system for data acquisition and processing. The voltage in the tube was set at 15 kV, with automatic adjustment of the current and incident beam diameter of 50  $\mu$ m.

One point located in the center of the irradiated enamel slab was chosen for the measurement. The measurements were performed with a count rate of 100 s per point (live time) and a dead time of 25%. The energy range of scans was 0.0–40.0eV. The equipment was calibrated and adjusted using certified commercial stoichiometric hydroxyapatite (Aldrich, synthetic  $Ca_{10}(PO_4)_6(OH)_2$ , grade 99.999%, lot10818HA) as a reference. The measurements were collected using classic parameters for Ca and P X-ray emission. The elements oxygen (O) and hydrogen (H) were used as a chemical balance. Energy calibration was performed using equipment-specific internal standards.

#### Caries-like lesion formation (pH cycling process)

The pH-cycling model used in this study was based on the one described by Featherstone et al.<sup>16</sup> (1988) with modifications by Steiner-Oliveira et al.<sup>17</sup> (2008). The enamel slabs were kept individually in a demineralizing solution (2.0 mM calcium, 2.0 mM phosphate, in 75 mM acetate buffer, pH 4.6) for 3 hours (20 mL per slab - 5 mL/mm<sup>2</sup>), and in a remineralizing solution (1.5 mM calcium, 0.9 mM phosphate, 150 mM of KCl, in 20 mM cacodylic buffer, pH 7.0) for approximately 21 hours (10 mL per slab -2.5 mL/mm<sup>2</sup>) each day. After each cycle, the slabs were returned to the solutions. This cycle was repeated daily for 5 days and the enamel slabs remained in the remineralizing solution for 2 days. Both solutions were changed daily. This pH-cycling regimen was carried out at 37°C. Between immersions in demineralizing and remineralizing solutions and at the end of the pH-cycling regimen, the enamel slabs were rinsed with deionized distilled water for 10 seconds and wiped with tissue paper. The demineralizing and remineralizing solutions contained thymol to avoid bacterial and fungal growth. The enamel slabs were kept moist until the analyses.

#### Cross-sectional enamel microhardness (CSEM) analysis

For cross-sectional enamel microhardness analysis, each enamel slab was longitudinally sectioned with a cut through the center of the exposed area (one half for CSEM and the other for SEM). The segments to be used for CSEM analysis were embedded in a thermo-acrylic resin Vipicril Plus (VIPI Ind e Com, Pirassununga, SP, Brazil) and heated in the PRE 30MI (Arotec SA Ind. E Com, Cotia, SP, Brazil). Then they were serially flattened and polished using an AROTEC APL-4 (Arotec SA Ind. E Com, Cotia, SP, Brazil) polishing machine and sandpapers, followed by diamond abrasive paste on polishing cloths. The slabs were assessed for microhardness test with a Knoop diamond under a 25g load for 5 seconds mounted on a HMV 2000 microhardness testing device (Shimadzu, Japan) and read at 200X magnification. Thirty-six indentations (three rows of 12 indentations each) were made with the long axis of the Knoop diamond parallel to the outer surface, maintaining a 10- $\mu$ m interval between 10  $\mu$ m and 60  $\mu$ m and then a 20  $\mu$ m interval from 80  $\mu$ m to 180  $\mu$ m across the lesion and into the underlying enamel. The distance between the rows was 100  $\mu$ m. The mean Knoop hardness number (KHN) values at each distance was obtained.

#### Scanning Electron Microscopy (SEM) analysis

Morphological investigation was performed in order to verify the effects of repeated applications of CO<sub>2</sub> laser on the enamel surface. Thus, the other half of the cut enamel slab was examined under scanning electron microscopy. All the specimens were previously submitted to an ultra-sound system for 10 seconds twice and then air-dried. They were mounted on aluminum stubs and sputter-coated with gold (~10-12nm thickness) by the BAL-TEC SCD 050 (Wetzlar, Liechtenstein/Vienna, Austria). Observations were made with a JEOL JSM-5600 LV Scanning Electron Microscope (Jeol, Peaboy, MA, USA) at 15 kV with magnifications up to x2000.

#### **Statistical Analysis**

The Kolmorogov-Smirnov test verified the normal distribution of the sample data, and the Levene's test verified the variance homogeneity.

FTRS - In order to normalize measurements and allow their comparison, the band surface parameter was used, which corresponds to the area under the curve for "n" analyzed band. Fluorescence spectrum was removed with a

polynomial fitting with varying degrees from the spectra, by means of Origin 5.0 ® software (Microcal Software, Northampton. USA). Areas under the curve were calculated for each band using Microcal Origin 5.0 ®. Changes in mineral and organic components were evaluated by comparing the relative peak areas in enamel in the different groups. Statistical analysis was performed by ANOVA and Tukey's test (p<0.05) using BioEstat 5.0 ® software.

EDXRF - The Calcium and Phosphorus percentages obtained by X-ray analysis were statistically compared among the control and lasers groups. Those comparisons were performed using the Tukey's test (p<0.05).

CSEM - A one-way analysis of variance (ANOVA) model was constructed to assess the enamel mineral loss effects of the laser irradiations. Next, the Tukey's test was chosen to evaluate the significance of all pair-wise comparisons. Values of p<0.05 were accepted as statistically significant using the SAS (Statistical Analysis System).

#### Results

Fig. 1 shows the average Raman spectra of all groups. The region spanning from 300 to 1,100 cm<sup>-1</sup> was characteristic of phosphate groups and representative of the mineral phase of enamel. The FT-Raman bands at  $v_2$  (430-450 cm<sup>-1</sup>),  $v_4$ (585-612 cm<sup>-1</sup>),  $v_1$ (960 cm<sup>-1</sup>), represent the phosphate vibrations in hydroxyapatite (Fig. 1). The band in the range of 1026-1072 cm<sup>-1</sup> can also represent the  $v_3$  carbonate vibration (type B carbonate). For the bands  $v_2$  (430-450 cm<sup>-1</sup>), 612 cm<sup>-1</sup> e 1072 cm<sup>-1</sup>, no statistically significant differences in enamel spectrum could be observed among all groups (Table 1). For the 585 cm<sup>-1</sup> band, the control group was statistically different from the L1 (p<0.05); and L1 differ statistically from L2 and L3 (p<0.05). For the 960 cm<sup>-1</sup> band, only the L2 was statistically different from L4 (p<0.05). For the 1026 cm<sup>-1</sup> band, just L1 was statistically different from L4. For the 1045 cm<sup>-1</sup> band, the control group was different from L4. For the 1045 cm<sup>-1</sup> band, the control group was different from L4. For the 1045 cm<sup>-1</sup> band, the control group was different from L4. For the 1045 cm<sup>-1</sup> band, the control group was different from L4. For the 1045 cm<sup>-1</sup> band, the control group was different from L1, L3 and L4 groups (p<0.05).

The EDXRF measurements showed no statistical difference between the control and irradiated groups for Calcium and Phosphorus components (p>0.05) (Table 2).

Mean HKN represented the severity of average caries-like lesion that was developed in each group. Our results showed a statistically significant inhibition of enamel demineralization in all irradiated groups when compared to control group (Table 3). The effect of laser was observed from 10-30  $\mu$ m depths, and for the group L3, the irradiation effect was deeper (until 50  $\mu$ m depths). However, no statistically significant difference in the mean of the HKN number was evidenced among the irradiated groups.

The SEM observations showed evidence of melting and fusion in the specimens treated with the CO<sub>2</sub> laser in all the laser applications (Fig. 2). Enamel surfaces showed fusion across the prisms boundaries and melted structures several times larger than prismatic structures (Fig.2.d; Fig.2.e). Recrystallization was observed specially in group L3 (Fig.2.d.). Fusion and melting of the enamel surface were more frequent in the groups submitted to more than one laser application.

# Fig1. Raman spectra for all groups



Raman Peaks	430 cm <sup>-1</sup>	450 cm⁻¹	585 cm <sup>-1</sup>	612 cm <sup>-1</sup>	960 cm <sup>-1</sup>	1026 cm <sup>-1</sup>	1045 cm <sup>-1</sup>	1072 cm <sup>-1</sup>
Groups								
Control	0.08±0.02 <sup>a</sup>	0.08±0.01 <sup>a</sup>	0.13±0.03 <sup>ab</sup>	0.05±0.03 <sup>a</sup>	0.69±0.10 <sup>ab</sup>	0.03±0.02 <sup>ab</sup>	0.07±0.02 <sup>a</sup>	0.12±0.01 <sup>a</sup>
L1	0.07±0.01 <sup>a</sup>	0.06±0.02 <sup>a</sup>	0.07±0.04 <sup>c</sup>	0.03±0.01 <sup>a</sup>	0.60±0.10 <sup>ab</sup>	0.04±0.02 <sup>a</sup>	0.02±0.02 <sup>b</sup>	0.08±0.03 <sup>a</sup>
L2	0.07±0.01 <sup>a</sup>	0.08±0.01 <sup>a</sup>	0.13±0.01 <sup>ab</sup>	0.02±0.00 <sup>a</sup>	0.81±0.14 <sup>a</sup>	0.02±0.01 <sup>ab</sup>	0.05±0.01 <sup>ab</sup>	0.12±0.05 <sup>a</sup>
L3	0.07±0.02 <sup>a</sup>	0.06±0.02 <sup>ª</sup>	0.13±0.01 <sup>ab</sup>	0.02±0.01 <sup>a</sup>	0.67±0.19 <sup>ab</sup>	0.03±0.01 <sup>ab</sup>	0.02±0.01 <sup>b</sup>	0.10±0.04 <sup>a</sup>
L4	0.05±0.01 <sup>a</sup>	0.06±0.01 <sup>a</sup>	0.09±0.02 <sup>ac</sup>	0.02±0.01 <sup>a</sup>	0.56±0.10 <sup>b</sup>	0.01±0.01 <sup>b</sup>	0.02±0.01 <sup>b</sup>	0.08±0.02 <sup>a</sup>

Table 1. Areas under the peaks bands (means  $\pm$  SD) for all groups

Different letters indicate statistically significant differences by *Tukey*'s test (p< 0.05).

Components	Groups	Mean (DV)	p_value <sub>anova</sub>
Calcium	Control	32.93 (1.91)	
	L1	32.85 (9.33)	
	L2	30.27 (8.98)	0.865
	L3	33.98 (6.34)	
	L4	33.12 (7.80)	
Phosphorus	Control	17.53 (0.78)	
	L1	16.87 (5.49)	
	L2	16.28 (3.55)	0.840
	L3	18.04 (2.26)	
	L4	17.49 (2.85)	
Oxygen	Control	49.54 (2.67)	
	L1	50.28 (14.71)	
	L2	53.45 (12.50)	0.861
	L3	47.98 (8.60)	
	L4	49.39 (10.64)	

Table 2. Mean and standard deviations of calcium, phosphorus and oxygen percentages obtained by EDXRF

	<b>Depths (</b> μm)											
Groups	10µm	20µm	30µm	40µm	50µm	60µm	80µm	100µm	120µm	140µm	160µm	180µm
Control	151.29	246.76	280.81	317.53	324.20	339.23	372.74	390.08	389.64	391.46	406.59	411.87
	(47.72)	(88.64)	(84.13)	(80.70)	(70.10)	(71.06)	(58.07)	(51.80)	(42.20)	(48.02)	(41.55)	(47.53)
	В	В	В	В	В	A	В	В	В	В	A	В
L1	323.00	368.74	400.90	389.33	394.87	393.49	445.23	452.21	449.87	452.87	451.28	460.49
	(46.91)	(71.83)	(79.71)	(78.60)	(71.84)	(71.50)	(44.14)	(42.90)	(51.28)	(36.09)	(52.05)	(42.24)
	A	A	A	BA	BA	A	A	BA	A	A	A	BA
L2	344.62	343.82	367.46	350.03	379.97	375.43	439.54	423.85	458.39	447.54	448.64	458.77
	(52.95)	(56.67)	(63.01)	(53.86)	(77.32)	(88.27)	(62.53)	(69.59)	(55.72)	(43.26)	(41.53)	(34.04)
	А	A	A	BA	BA	A	A	BA	A	A	A	BA
L3	319.97	370.85	421.21	424.46	428.69	425.33	461.33	474.54	469.87	483.23	469.80	481.13
	(79.25)	(85.92)	(80.53)	(79.42)	(61.73)	(63.23)	(56.23)	(55.24)	(60.14)	(56.41)	(55.17)	(59.62)
	А	A	A	A	A	A	A	A	A	A	A	A
L4	332.59	354.13	352.95	391.69	399.64	378.00	417.97	435.77	442.82	447.64	435.42	439.16
	(65.82)	(66.87)	(70.80)	(87.05)	(56.40)	(57.69)	(58.81)	(71.26)	(59.80)	(51.03)	(81.69)	(70.95)
	A	A	A	BA	A	A	BA	BA	BA	A	A	BA
р	< 0.0001	0.0003	0.0002	0.0083	0.0047	0.0515	0.0020	0.0091	0.0043	0.0002	0.0741	0.0186
value <sup>1</sup>												

<sup>1</sup>One way ANOVA, post-hoc test: Tukey (p<0.05).

Means followed by different letters within lines denote statistically significant differences.

Fig 2 (a,b,c,d,e). SEM micrographs (15 kV X2,500 Bar = 10  $\mu$ m)



Fig. 2.a. Control Group. SEM micrograph of non-irradiated enamel and after pH cycling.



Fig. 2.b. L1 Group. SEM micrograph of 1 application of  $CO_2$  laser on enamel and after pH cycling. Crystalline phase formation (melting of enamel crystallites). Enamel crystals fusion.



Fig. 2.c. L2 Group. SEM micrograph of 2 applications of  $CO_2$  laser on enamel and after pH cycling. Surface alterations (melting and fusion). Enamel crystal fusion had progressed to the point that crystal definition was no longer visible.



Fig. 2.d. L3 Group. SEM micrograph of 3 applications of  $CO_2$  laser on enamel and after pH cycling. Crystal coalescence and alignment of crystals along the enamel rods. Droplets of recondensed enamel mineral in the irradiation area.



Fig. 2.e. L4 Group. SEM micrograph of 4 applications of  $CO_2$  laser on enamel and after pH cycling. No enamel crystal boundaries are visible. Fusion. Solid Mass. Holes.

#### **Discussion and Conclusion**

The infrared spectrum of dental enamel contains bands due to each of the phosphate internal vibrational modes and carbonate modes, which have been previously reported<sup>17,18</sup> and immediately identified in this study.

No relevant differences were found between Raman spectra in respect to phosphate and carbonate bands, when irradiated groups were compared to the control one. However, except for group L2, the 1,045 cm<sup>-1</sup> band, which represents carbonate vibration, was significantly decreased in all irradiated groups when compared to control group. It is possible that these effects of reduction in the carbonate content, which was previously reported by Zuerlein et al.<sup>19</sup> (1999), Steiner-Oliveira et al.<sup>10</sup> (2006) and Tagliaferro et al.<sup>20</sup> (2009), were responsible for the increased enamel acid resistance demonstrated in the present study.

Relating to phosphate and carbonate bands, Nishino et al.<sup>21</sup> (1981) reported that the peak intensities of  $CO_3^{-2}$ , and  $PO_4^{-3}$  bands are dependent of the  $CO_3^{-2}$  content of the specimens. The higher the  $CO_3^{-2}$  content, the higher the intensity of  $CO_3^{-2}$  bands, while the intensity of  $PO_4^{-3}$  bands shows a tendency to decrease. This suggests that if apatite had high concentrations of  $CO_3^{-2}$ , amounts of  $CO_3^{-2}$  substituted  $PO_4^{-3}$  in the lattice. Although without statistically significant differences in all cases, a numerical tendency of decreasing of the bands ascribed to phosphate vibrations in hydroxyapatite was found in irradiated enamel. This effect may be attributed to the lower degree of enamel crystallinity after irradiation. In this way, our results are in line with those reported by Steiner-Oliveira et al.<sup>10</sup> (2006) and Tagliaferro et al.<sup>20</sup> (2009).

In addition, it should be emphasized that no difference in carbonate content was found among the irradiated groups, thus demonstrating that the execution of several overlapping laser applications did not cause a higher carbonate reduction. Since carbonate fits less well in the lattice, causing distortions in the hydroxyapatite structure, generating a less stable apatite, its reduction may generate a more acid-resistant apatite phase<sup>22,23</sup>. Consequently, it can be

suggested that repeated laser applications are not relevant in augmenting enamel demineralization inhibition effect of CO<sub>2</sub> laser.

A broad band at around 740 cm<sup>-1</sup> Raman shift of the enamel spectrum has been described in CO<sub>2</sub> laser irradiated enamel<sup>13,24,25</sup>, but in this study such band was not observed in none of the irradiated groups as the enamel did not reach the high temperatures (>800-1100°C) required for identifying this band in Raman spectrum. Moreover, as a pulsed laser was used, and the thermal relaxation time for the enamel at a wavelength of 10.6  $\mu$ m is around ~60-80  $\mu$ s<sup>26</sup>, the temperature increase caused by laser irradiation in enamel surface was not cumulative with more than one laser application.

The EDXRF analysis is based on bombarding the specimen with a beam of high voltage electrons that are refracted at different energy levels from individual minerals. The change in the energy returned from the specimen reflects the change in its mineral content<sup>27</sup>. This method allows the analysis of specimens accurately and non-invasively.<sup>7</sup> In our study, there was no statistically significant difference in mineral content between the lased and control groups. These findings are in agreement with the study of Moshonov et al.<sup>7</sup> (2005) and confirms that no ablation happened during the laser irradiation which was not desire as the laser was being used in a preventive way.

The control and irradiated groups differ statistically in the 10, 20, 30  $\mu$ m depths. This is in agreement with Hirose et al.<sup>28</sup> (1996) and Zuerlein et al.<sup>19</sup> (1999) when they affirmed that the depth of laser impact in enamel could be estimated to be within 20-30  $\mu$ m. The irradiated groups did not differ statistically, in the mean of HKN. Interesting was the group L3, which showed differences between the control group until 50  $\mu$ m depth. Maybe, the reduced demineralization was correlated to permeability due to the sealing of enamel and its pores as reported by Nelson et al.<sup>6</sup> (1986). Furthermore, this melt layer presents reduced carbonate content when compared to normal surface enamel. As this phenomenon was not observed in the group L4, it is implied that this physical barrier has a limited effect on the enamel demineralization reduction. Moreover, it is possible that the organic blocking effect

reaches a maximum between 300 ~ 400 °C and decreases after complete decomposition of organic matrix above 400 °C<sup>30</sup>.

The mechanism of laser action remains unclear although reports of several related studies have been published. One of the hypotheses for laser effects states that caries inhibition is due to the melting and fusing of hydroxyapatite crystals<sup>27</sup>. In the present study, the SEM images (Fig.2.a-e) suggested that the fusion and melting phenomena might be related to the inhibition of demineralization found in the irradiated groups. These results suggest that enamel surface was sealed by the laser irradiation and became less permeable to the subsequent diffusion of ions into and from the enamel<sup>29</sup>. These findings are in agreement with those found by Steiner-Oliveira et al.<sup>10</sup> (2006) and Klein et al.<sup>9</sup> (2005).

Rapid melting creates large hydrodynamic forces of expansion due to the volume change of the material upon melting and can eject material as liquid droplets<sup>26</sup>. SEM observations of irradiated enamel on group L3 (Fig.2.d.) showed this droplets and surface asperities, evidencing this hydrodynamic sputtering mechanism. Aside from that, holes (Fig.2.e.) were evidenced by SEM indicating that the pressure created by subsurface water vaporization was catastrophic.

In conclusion, our results showed that 3 repeated irradiations of dental enamel with a CO<sub>2</sub> laser at 10.6  $\mu$ m, at an energy density of 10 J/cm<sup>2</sup>, enhance the inhibition of enamel demineralization until 50  $\mu$ m depth. The physical changes and also the organic blocking effect reduce enamel demineralization until a threshold is achieved (>400°C).

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### CONCLUSÃO GERAL

- O estudo do laser de CO<sub>2</sub> (10.6 μm) como um dos métodos alternativos na redução da desmineralização do esmalte dentário tem crescido ao longo de 40 anos. No entanto, ainda não há protocolos definidos que padronizem os parâmetros do laser, nem tão pouco, um número significante de estudos clínicos/ *in situ* randomizados que viabilizem seu uso na prática clínica.
- Uma aplicação do laser de CO<sub>2</sub> (10.6 μm 10 J/cm<sup>2</sup>) sobre a superfície do esmalte dentário foi capaz de modificar a superfície do esmalte aumentando sua resistência à desmineralização. No entanto, 3 aplicações produziram uma redução da perda mineral até 50 μm.

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<sup>\*</sup> De acordo com a Norma da FOP/UNICAMP, baseada na norma do International Committee of Medical Journal Editors – Grupo de Vancouver. Abreviatura dos periódicos em conformidade com o Medline.

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# ANEXO

**Anexo 1 -** Resolução CCPG/002/06 a qual dispõe a respeito do formato das teses de mestrado e doutorado aprovados pela UNICAMP (Parte I).

INFORMAÇÃO CCPG/OO2/06 <sup>6</sup>
Tendo em vista a necessidade de revisão da regulamentação das normas sobre o formato e a impressão das dissertações de mestrado e teses de doutorado e com base no entendimento exarado no Parecer PG nº 1985/96, que trata da possibilidade do formato alternativo ao já estabelecido, a CCPG resolve:
Artigo 1º - O formato padrão das dissertações e teses de mestrado e doutorado da UNICAMP deverão obrigatoriamente conter:
<ol> <li>Capa com formato único ou em formato alternativo que deverá conter informações relativas ao nível (mestrado ou doutorado) e à Unidade de defesa, fazendo referência à Universidade Estadual de Campinas, sendo o projeto gráfico das capas definido pela PRPG.</li> <li>Primeira folha interna dando visibilidade à Universidade, a Unidade de defesa, ao nome do autor, ao título do trabalho, ao número de volumes (quando houver mais de um), ao nível (mestrado ou doutorado), a área de concentração, ao nome do orientador e co-orientador, ao local (cidade) e ao ano de depósito. No seu verso deve constar a ficha catalográfica.</li> <li>Folha de aprovação, dando visibilidade à Comissão Julgadora com as respectivas assinaturas.</li> <li>Resumo em português e em inglês (ambos com no máximo 500 palavras).</li> <li>Sumário.</li> <li>Corpo da dissertação ou tese dividido em tópicos estruturados de modo característico à área de conhecimento.</li> <li>Referências, formatadas segundo normas de referenciamento definidas pela CPG da Unidade</li> </ol>
ou por critério do orientador. VIII. Todas as páginas deverão, obrigatoriamente, ser numeradas, inclusive páginas iniciais, divisões de capítulos, encartes, anexos, etc As páginas iniciais poderão ser numeradas utilizando-se algarismos romanos em sua forma minúscula. IX. Todas as páginas com numeração "impar" serão impressas como "frente" e todas as páginas com numeração "par" serão impressas como "verso".
§ 1º - A critério do autor e do orientador poderão ser incluídos: dedicatória; agradecimento; epígrafe; lista de: ilustrações, tabelas, abreviaturas e siglas, símbolos; glossário; apêndice; anexos.
§ 2º - A dissertação ou tese deverá ser apresentada na língua portuguesa, com exceção da possibilidade permitida no artigo 2º desta Informação.
§ 3º - As dissertações e teses cujo conteúdo versar sobre pesquisa envolvendo seres humanos, animais ou biossegurança, deverão apresentar anexos os respectivos documentos de aprovação.
Artigo 2º - A critério do orientador e com aprovação da CPG da Unidade, os capítulos e os apêndices poderão conter cópias de artigos de autoria ou de co-autoria do candidato, já publicados ou submetidos para publicação em revistas científicas ou anais de congressos sujeitos a arbitragem, escritos no idioma exigido pelo veículo de divulgação.
<sup>6</sup> Disponível em: http://www.prpg.unicamp.br/ccpg_inf002_06.pdf

# **Anexo 2 -** Resolução CCPG/002/06 a qual dispõe a respeito do formato das teses de mestrado e doutorado aprovados pela UNICAMP (Parte II).

§ único - O orientador e o candidato deverão verificar junto às editoras a possibilidade de inclusão dos artigos na dissertação ou tese, em atendimento à legislação que rege o direito autoral, obtendo, se necessária, a competente autorização, deverão assinar declaração de que não estão infringindo o direito autoral transferido à editora.

Artigo 3º - Dependendo da área do conhecimento, a critério do orientador e com aprovação da CPG da Unidade, a dissertação ou tese poderá ser apresentada em formato alternativo, desde que observados os incisos I, II, III IV, V e VII do artigo 1º.

Artigo 4º - Para impressão, na gráfica da Unicamp, dos exemplares definitivos de dissertações e teses defendidas, deverão ser adotados os seguintes procedimentos:

§ 1º - A solicitação para impressão dos exemplares de dissertações e teses poderá ser encaminhada à gráfica da Unicamp pelas Unidades, que se responsabilizarão pelo pagamento correspondente.

§ 2º - Um original da dissertação ou tese, em versão definitiva, impresso em folha tamanho carta, em uma só face, deve ser encaminhado à gráfica da Unicamp acompanhado do formulário "Requisição de Serviços Gráficos", onde conste o número de exemplares solicitados.

§ 3º - A gráfica da Unicamp imprimirá os exemplares solicitados com capa padrão. Os exemplares solicitados serão encaminhados à Unidade em, no máximo, cinco dias úteis.

§ 4º - No formulário "Requisição de Serviços Gráficos" deverão estar indicadas as páginas cuja reprodução deva ser feita no padrão "cores" ou "foto", ficando entendido que as demais páginas devam ser reproduzidas no padrão preto/branco comum.

§ 5º - As dissertações e teses serão reproduzidas no padrão frente e verso, exceção feita às páginas iniciais e divisões de capítulos; dissertações e teses com até 100 páginas serão reproduzidas no padrão apenas frente, exceção feita à página que contém a ficha catalográfica.

§ 6º - As páginas fornecidas para inserção deverão ser impressas em sua forma definitiva, ou seja, apenas frente ou frente/verso.

§ 7º - O custo, em reais, de cada exemplar produzido pela gráfica será definido pela Administração Superior da Universidade.

Artigo 5º - É obrigatória a entrega de dois exemplares para homologação.

Artigo 6º - Esta Informação entrará em vigor na data de sua publicação, ficando revogadas as disposições em contrário, principalmente as Informações CCPG 001 e 002/98 e CCPG/001/00.

Campinas, 13 de setembro de 2006

Profa. Dra. Teresa Dib Zambon Atvars Presidente Comissão Central de Pós-Graduação


Anexo 3 - Comitê de Ética (Estudo in vitro - Capítulo 2).